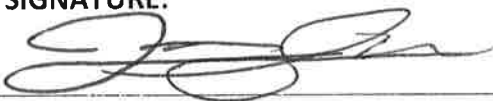


APPLICATION INFORMATION SHEET

1	COMPANY NAME	Holistic Industries LLC		
2	STREET ADDRESS	12204 Grove Park Court		
3	CITY, STATE, ZIP	Potomac, MD 20854		
4	TELEPHONE NUMBER			
	AREA CODE 301	NUMBER: 922-7786	EXTENSION: N/A	
5	FAX NUMBER			
	AREA CODE N/A	NUMBER: N/A	EXTENSION: N/A	
6	TOLL FREE NUMBER			
	AREA CODE N/A	NUMBER: N/A	EXTENSION: N/A	
7	Contact Person for providing information, signing documents, or ensuring actions are taken per COMAR 10.62.19-.24			
	Name: Josh Genderson			
	Title: CEO			
	Address: 12204 Grove Park Court, Potomac, MD 20854			
	Email Address: HolisticIndustries@gmail.com			
8	TELEPHONE NUMBER AND FAX FOR CONTACT PERSON			
	AREA CODE 301	TELEPHONE NUMBER: 922-7786	EXTENSION: N/A	
	AREA CODE N/A	FAX NUMBER: N/A		
9	CONTACT PERSON SIGNATURE			
	SIGNATURE: 		DATE: 11/03/2015	

FORMS/Addenda CHECKLIST

FORM/Exhibit #	Name/Description of Exhibit	Included Yes	Not Included
Form 1	Consent for Investigation – Individual/Processor Agent	X	
Form 2	Consent for Investigation – Business Entity	X	
Form 3	Trade Secret & Business Data Notification	X	
Form 4	Business Interest Identification & Authorization Form	X	
Form 5	Investors, Agents, Owners & Managing Director Certification Statement	X	
Addenda		X	

- A detailed plan evidencing how the processor will distribute to dispensaries;
- A list of proposed medical cannabis extracts and medical cannabis-infused products to be produced with proposed cannabinoid profiles, including:
 - Varieties with high cannabidiol content;
 - Whether the product has any demonstrated success in alleviating symptoms of specific diseases or conditions.

SECTION U: Affirmation Section

The Applicant understands the following:

	Yes	No
1. The burden of proving an Applicant’s qualifications rests on the party applying for the license.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. The Commission may deny an Application that contains a misstatement, omission, misrepresentation, or untruth.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. An Application shall be complete in every material detail.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. The Commission may request any additional information the Commission determines is necessary to process and fully investigate an Application.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. The party applying for the license shall provide requested additional information by the close of business of the 14th business day after the request has been received by the Applicant.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. If the party applying for the license does not provide the requested information within 14 business days, the Commission may consider the Application to be suspended.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. The Commission intends to award the licenses to the best Applications that most efficiently and effectively ensure public safety and safe access to medical cannabis and medical cannabis-infused products.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. The Commission, or a Commission independent contractor, shall review for a pre-approval for a license the submitted Applications. The Applications shall be ranked based on weighted criteria.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. The party applying for the license will provide an amended Application within 3 business days to include the name and documentation of a request to forward the criminal history record information and audited financial statement to the Commission of a new individual investor of an	<input checked="" type="checkbox"/>	<input type="checkbox"/>

	Yes	No
interest of 5 percent or more, or another manager or director of the entity, even after a license is issued.		
10. For each individual identified in the Application specified in Regulation .02B(1) and (2) of this chapter, an Applicant will provide to the Director of the Central Repository:		
a. Two sets of legible fingerprints taken in a format approved by the Director of CJIS and the Director of the FBI and the fee authorized under Criminal Procedure Article, §10-221(B)(7), Annotated Code of Maryland, for access to State criminal history and records for each processor agent and investor identified in the Application; and	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. A request that the individual’s state and national criminal history record information be forwarded to the Commission.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. The Commission may deny issuing a pre-approval of a license if, for any individual identified in the Application:		
a. The criminal history record information or background information demonstrate an absence of good moral character; or	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The payment of taxes due in any jurisdiction is in arrears.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
12. The Commission may rescind pre-approval of a processor license if the processor is not operational within 1 year of pre-approval.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
13. The Commission may issue a processor license on a determination that:		
a. The criminal history background check and background investigation reveal no evidence that demonstrates the absence of good moral character;	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. All inspections are passed and all of the Applicant’s operations conform to the specifications of the applicable regulations;	<input checked="" type="checkbox"/>	<input type="checkbox"/>
a. The proposed premises:		
i. Are under the legal control of the Applicant;	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii. Comply with all zoning and planning requirements; and	<input checked="" type="checkbox"/>	<input type="checkbox"/>

- | | Yes | No |
|---|-------------------------------------|--------------------------|
| iii. Conform to the specifications of the Application as pre-approved pursuant to the applicable regulations; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iv. The first year’s license fee specified in COMAR 10.62.35 has been paid. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 14. The Commission may deny transfer of an interest in a license if, for any proposed transferee: | | |
| a. The criminal history record information or the background investigation demonstrate an absence of good moral character; or | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. The payment of taxes due in any jurisdiction is in arrears. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 15. The Commission, after review of the criminal history record information, may disqualify any prospective registered processor agent from registration for an absence of good moral character or if the payment of taxes in any jurisdiction is in arrears. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 16. An identification card remains the property of the Commission and the Commission may order the return or seizure of an identification card if the registration is revoked or expires. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Please review and answer the following:

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| 1. The party applying for the processor license irrevocably gives consent to the Commission and persons authorized by the Commission to: | | |
| a. Verify all information provided in the Application documents; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Conduct a background investigation of the individual(s). | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 2. The party applying for the processor license waives any contractual, statutory, or common law obligation of confidentiality and authorizes any government agency in any jurisdiction to release to and provide access to the Commission of any and all information the Applicant has provided to any other jurisdiction while seeking a cannabis-related license in that other jurisdiction, as well as the information obtained by that other jurisdiction during the course of any investigation it may have conducted regarding the Applicant. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| 3. The party applying for the processor license releases all financial institutions, fiduciaries, and other parties from any contractual, statutory or common law obligation of confidentiality to provide financial, personal and background information to the Commission relevant to the Applicant’s capacity to manage a licensed processor facility and the Applicant’s good moral character. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 4. All processor agents affiliated with this Application are 21 years old or older at the time of Application. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 5. All of the processor agents affiliated with this Application have never been convicted of a felony drug offense | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

An Applicant Shall Commit to the Following:

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| 1. All processor agents will be 21 years or older. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 2. The party applying for the license commits to having any and all processor agents registered with the Commission before the agent may volunteer or work for a Licensee. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 3. The party applying for the license commits to registering a processor agent by submitting to the Commission: | | |
| a. The name, address, date of birth and Social Security Number of a processor agent; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Documentation of the submission of fingerprints of the processor agent to the Central Registry; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. The request for the criminal history record information of the processor agent to be forwarded to the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 4. The Applicant will not register a prospective processor agent if the prospective processor agent has ever been convicted of a felony drug offense. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 5. The party applying for the license will provide an amended Application within 3 business days to include the name and documentation of a request to forward the criminal history record information and audited financial statement to the Commission of a new individual investor of an interest of 5 percent or more, or another manager or director of the entity, | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| even after a license is issued. | | |
| 6. For each individual identified in the Application the processor agent commits to requiring any prospective medical cannabis processor agent register with the Commission before the Applicant will employ the agent or permit the agent to volunteer for the Applicant. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 7. If an Applicant is issued a pre-approval for a license the party applying for the license commits to submitting to the Commission, as part of its Application: | | |
| a. An audited financial statement for the Applicant and for each individual, partnership, corporation, or other entity review that has invested, or is proposed to invest, 5 percent or more of the capital of the Applicant; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Payment of the stage 2 Application fee specified in COMAR 10.62.35. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 8. The party applying for the license commits to having no interest of 5 percent or more of a license issued pursuant to this chapter assignable or transferable unless: | | |
| a. The Commission has received notice in a manner determined by the Commission of the intent of the owner of the interest, or of the estate of the owner of the interest, to transfer or assign an interest in a license to another party; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. The transferee has had forwarded the criminal history record information and audited financial statement to the Commission of the transferee; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. The Commission does not object to the transfer or assignment within 45 days of its receipt of notice; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| d. The transferee has paid the required fee specified in COMAR 10.62.35. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 9. The party applying for the license acknowledges that a Licensee is eligible to apply to renew a license every 2 years. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 10. The party applying for the license acknowledges that ninety days before the expiration of a license, the Commission will notify the Licensee of the: | | |

- | | Yes | No |
|---|-------------------------------------|--------------------------|
| a. Date on which the license expires; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Process and the fee required to renew the license; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. Consequences of a failure to renew the license. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 11. The party applying for the license acknowledges that at least 30 business days before a license expires a Licensee shall submit: | | |
| a. The renewal Application as provided by the Commission; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Proof that fingerprints have been submitted to CJIS and the FBI for every processor agent and investor of an interest of 5 percent or more; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. To full inspection of the operation, unless a full inspection was satisfactorily completed within 3 months before the date of the license expiration; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| d. Payment of the fee specified in COMAR 10.62.35. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 12. The party applying for the license acknowledges that the Commission shall renew a license that meets the requirements for renewal as stated in COMAR 10.62.19.08(C). | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 13. The party applying for the license acknowledges that the Commission shall issue to each registered processor agent an identification card that shall include a photograph of the face of the registered processor agent taken no more than 6 months before the date of the Application. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 14. At all times at the premises of a Licensee, every processor agent shall visibly wear the identification card issued to the registered processor agent by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 15. The party applying for the license commits to renewing the identification card every 2 years. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 16. If a registered processor agent’s identification card is lost, destroyed or stolen, within 24 hours of becoming aware of the loss, destruction or theft, the Licensee commits to: | | |

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| a. Reporting the loss, destruction or theft to a the Commission; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Applying for a replacement card; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. Paying a replacement card fee specified in COMAR 10.62.35. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 17. As soon as possible upon termination of a registered processor agent’s association with a Licensee, the Licensee commits to: | | |
| a. Take custody of the terminated registered processor agent’s identification card; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Obtain any keys or other entry devices from the terminated registered processor agent; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. Ensure the terminated registered processor agent can no longer gain access to the premises of the Licensee. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 18. Within 1 business day of the termination of a registered processor agent’s association with a Licensee, the Licensee commits to: | | |
| a. Notify the Commission: | | |
| i. Of the termination and the circumstances of a termination; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| ii. Whether the terminated registered processor agent has returned the agent’s identification card; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iii. Initiate delivery of the terminated registered processor agent’s identification card to the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 19. The party applying for the license acknowledges that the Commission will revoke an identification card of a processor agent upon receiving notification that a processor agent is no longer associated with a Licensee. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 20. The party applying for the license acknowledges that if a registered processor agent does not return the agent’s identification card within 30 days, the Commission shall notify the Maryland State Police and place a notice in the register of that fact. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 21. The party applying for the license acknowledges that the Licensee shall require a prospective processor agent to submit to a drug screen before commencement of association. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

- | | Yes | No |
|---|-------------------------------------|--------------------------|
| a. The party applying for the license acknowledges that the drug screen shall be carried out following the procedures set forth in COMAR 17.04.09.04—.08. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. In addition to the drugs to be screened in accordance with the procedures set forth in COMAR 17.09.04-.08, the screen shall include any other drugs as required by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 22. The party applying for the license acknowledges that unless medically justified, a prospective processor agent who has a positive response to any tested substance on a drug screen that meets the requirements of COMAR 17.04.09.07 may not be registered by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 23. The party applying for the license acknowledges that a registered processor agent shall retain training materials and attendance records and make the training materials available for inspection. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 24. The party applying for the license acknowledges that a registered processor agent shall declare in writing that the registered processor agent will adhere to the State alcohol and drug free workplace policy, as identified in COMAR 21.11.08.03. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 25. The party applying for the license acknowledges that the Licensee will retain the declaration in the registered processor agent’s personnel record. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 26. The party applying for the license commits to notifying the Commission that the Licensee has verified that no registered processor agent has been convicted of a felony drug offense, every year, on a date determined by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 27. The party applying for the license commits to locating the premises of a Licensee within Maryland. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 28. The party applying for the license commits to conspicuously displaying the processor license at the location where the Licensee is authorized to operate. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 29. The party applying for the license commits conforming the premises and operations to all local zoning and planning requirements. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 30. The party applying for the license commits to notifying the Commission before any major renovation or modification is undertaken. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |


Yes No

31. The party applying for the license acknowledges that if the Commission does not renew a license due to a failed inspection or an inadequate Application for renewal, the Licensee may apply for reinstatement by:
- a. Submitting a plan to correct the deficiencies noted during an inspection; and
 - b. Amending the Application for renewal.
32. The party applying for the license acknowledges that the Commission may decline to renew a license if:
- a. The plan to correct deficiencies identified in an inspection is deficient;
 - b. The amended Application for renewal is deficient; or
 - c. The Licensee has repeatedly failed inspections.
33. The party applying for the license acknowledges that a Licensee who fails to apply for renewal of a license by the date specified by the Commission, or whose license was not renewed by the Commission:
- a. Shall cease operations at all premises; and
 - b. May not process medical cannabis.
34. The party applying for the license acknowledges that a license may be reinstated upon:
- a. Payment of the reinstatement fee specified in COMAR 10.62.35; and
 - b. Submission of a reinstatement Application approved by the Commission.
35. The party applying for the license may apply to change the location of the Licensee's operation.
36. The party applying for the license, to change the location of the Licensee's operation, must submit an Application to the Commission along with the fee specified in COMAR 10.62.35.

Yes No

37. The party applying for the license, to change the location of the Licensee's operation, may not begin processing medical cannabis at a new location until all inspections have been passed.
38. The party applying for the license commits to providing the Commission or law enforcement agency for just cause with any recording of security video surveillance as requested.

The undersigned attests that the Applicant organization will adhere to the statutory/regulatory requirements listed above and that they have the authority to bind the Applicant organization to the statutory requirements.



Signature

11/4/15

Date

Josh Genderson
Printed Name

FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Dr. Donald E. Wilson
(Investor/Agent's Name)

I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.



Signature of Applicant

10/28/2015

Date

Dr. Donald E. Wilson
Printed Name of Applicant

NOTARY

The undersigned, a Notary Public in and for the County of Baltimore, in the State of Maryland, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 28 day of October, 20 15 and to which witness my hand and seal.



Notary Public

Adrienne Chavis

Printed Name



Stamp or Seal

My Commission Expires: August 13, 2019

FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Henry P. Miller, Jr.
(Investor/Agent's Name)

I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.


Signature of Applicant

10.28.15
Date

Henry P. Miller, Jr.
Printed Name of Applicant

NOTARY

The undersigned, a Notary Public in and for the County of Queen Annes, in the State of Maryland, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 28th day of October, 2015, and to which witness my hand and seal.

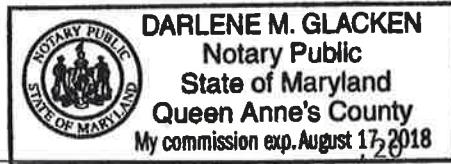


Darlene M. Glacken
Notary Public

Darlene M. Glacken
Printed Name

Stamp or Seal

My Commission Expires:



FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Ismael Vincent Canales
(Investor/Agent's Name)

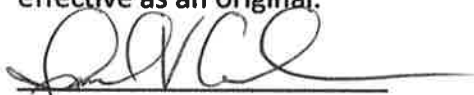
I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.



Signature of Applicant

10/21/15

Date

Ismael Vincent Canales
Printed Name of Applicant

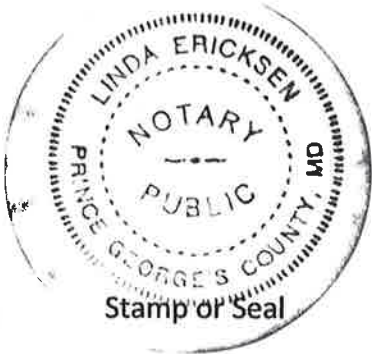
NOTARY

The undersigned, a Notary Public in and for the County of Prince Georges, in the State of Maryland, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 21st day of October, 2015, and to which witness my hand and seal.

Linda Ericksen
Notary Public

Linda ERICKSEN
Printed Name



My Commission Expires: 9/13, 2017

FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Josh Genderson
(Investor/Agent's Name)

I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.



Signature of Applicant

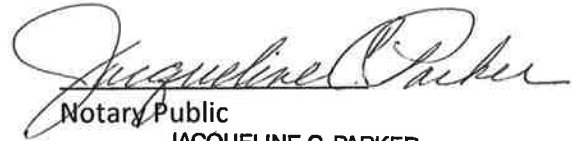
10/20/15
Date

Josh Genderson
Printed Name of Applicant

NOTARY

The undersigned, a Notary Public in and for the County of _____, in the State of District of Columbia, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 20th day of October, 2015, and to which witness my hand and seal.



Notary Public
JACQUELINE C. PARKER
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires June 14, 2017

Printed Name

Stamp or Seal

JACQUELINE C. PARKER
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires June 14, 2017

My Commission Expires: _____, 20____

FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Nelson Sabatini
(Investor/Agent's Name)

I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.



Signature of Applicant

10/27/2015
Date

Nelson Sabatini
Printed Name of Applicant

NOTARY

The undersigned, a Notary Public in and for the County of Baltimore, in the State of Maryland, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

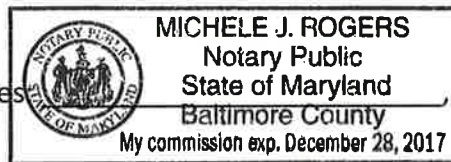
This 27th day of October, 2015, and to which witness my hand and seal.

Michele J. Rogers
Notary Public

Michele J. Rogers
Printed Name

Stamp or Seal

My Commission Expires _____, 20____



FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Richard Cohen
(Investor/Agent's Name)

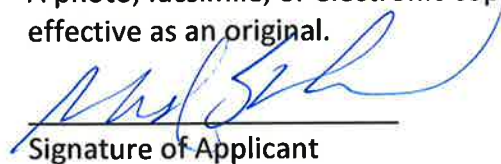
I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.



Signature of Applicant

10-19-15
Date

Richard Cohen
Printed Name of Applicant

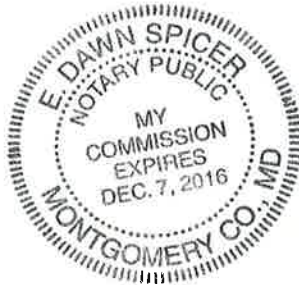
NOTARY

The undersigned, a Notary Public in and for the County of Montgomery, in the State of Maryland, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 19th day of October, 2015, and to which witness my hand and seal.

E. Dawn Spicer
Notary Public

E. Dawn Spicer
Printed Name



Stamp or Seal

My Commission Expires: December 7, 2016

FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Richard Polanksy
(Investor/Agent's Name)


I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.



Signature of Applicant



Date

Richard Polanksy
Printed Name of Applicant

NOTARY

The undersigned, a Notary Public in and for the County of Cecil, in the State of Maryland, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 27th day of October, 2015, and to which witness my hand and seal.



Notary Public

Marcy Hill

Printed Name

Stamp or Seal

My Commission Expires: March 4, 2016

FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Wilton Morgan Lash
(Investor/Agent's Name)

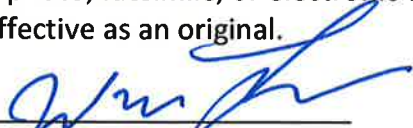
I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission ("Commission") is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.



Signature of Applicant

10-19-15
Date

Wilton Morgan Lash
Printed Name of Applicant

NOTARY

The undersigned, a Notary Public in and for the County of MONTGOMERY, in the State of MARYLAND, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 19th day of October, 2015, and to which witness my hand and seal.

E. Dawn Spicer
Notary Public

E. Dawn Spicer
Printed Name



Stamp or Seal

My Commission Expires: December 7, 2016

FORM 2

AUTHORIZATION FOR RELEASE OF INFORMATION-BUSINESS ENTITY

Business Entity Name: Holistic Industries LLC
Name of Person Completing Form: Josh Genderson
(Authorized Representative)

Josh Genderson is an Authorized Representative, empowered by the Business Entity to execute this form on its behalf.


Holistic Industries LLC is an Applicant for a Medical Cannabis Processor (Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission (“Commission”) is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about the Business Entity. The Business Entity irrevocably gives its consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of the Business Entity; and (3) to have access to any and all information that the Business Entity has provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about the Business Entity.

By executing this Authorization, the Business Entity authorizes any of the following entities to release to the Commission any and all information about the Business Entity that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form. _____

With respect to any claims or liability arising from the release of the requested information to the Commission, the Business Entity expressly waives, releases, discharges and forever holds harmless and agrees to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.


Signature of Authorized Representative

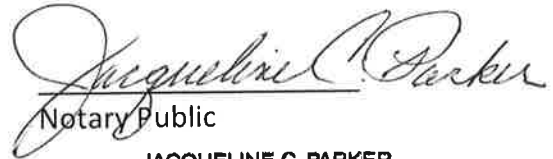
11/4/15
Date

Josh Genderson
Printed Name of Authorized Representative

NOTARY

The undersigned, a Notary Public in and for the County of _____, in the State of District of Columbia, certifies that the above named individual, as an Authorized Representative of Holistic Industries LLC, appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This 4th day of November, 2015, and to which witness my hand and seal.



Notary Public

JACQUELINE C. PARKER
NOTARY PUBLIC DISTRICT OF COLUMBIA
~~My Commission Expires June 14, 2017~~
Printed Name



Stamp or Seal

My Commission Expires: JACQUELINE C. PARKER
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires June 14, 2017, 20

FORM 3

Trade Secret & Financial Data Notification

Holistic Industries LLC is an Applicant for a Medical Cannabis Processor License. Holistic Industries LLC understands that the Commission is an entity of the State of Maryland and any documents or data that is submitted to the State of Maryland may be disclosed by the State pursuant to a Maryland Public Information Act (“MPIA”) Request.

While the MPIA permits certain exclusions from disclosure, Holistic Industries LLC understands the State makes no guarantees or promises that such data will not be disclosed. Holistic Industries LLC has reviewed the MPIA, as it is available online at <http://www.lexisnexis.com/hottopics/mdcode>. Holistic Industries LLC understands that other helpful resources may be found at www.oag.state.md.us/Opengov.

Holistic Industries LLC understands that the documents or data it provides to the State of Maryland may not be confidential, or if confidential, may or may not be disclosed pursuant to a MPIA request.



Signature of Person or Authorized Representative

11/5/15

Date

Josh Genderson
Printed Name

FORM 4

Regulatory Agency Form

BUSINESS INTEREST IDENTIFICATION & AUTHORIZATION FORM

I/We, the undersigned Applicant, hereby state(s) as follows:

I/We have either applied for or are currently or have been previously licensed or authorized to produce or otherwise deal in the distribution of Cannabis in any form, in the following States or jurisdiction and corresponding agency or authority:

State & Name of Agency	Type of License	Name of License	License or Registration #
Washington, D.C. Department of Health- Medical Marijuana Program	Cultivation	Holistic Remedies LLC	MMP 00013
Washington, D.C. Department of Health- Medical Marijuana Program	Cultivation	Organic Wellness LLC	MMP 00235
[Type text]	[Type text]	[Type text]	[Type text]
[Type text]	[Type text]	[Type text]	[Type text]

I/We hereby specifically grant the Maryland Department of Health & Mental Hygiene permission to contact the above listed States or jurisdiction and their licensing agency or authority to confirm the information contained in the Application for a dispensary license. I/We hereby specifically grant permission to the above listed States or jurisdiction and their licensing agency or authority to release to the Maryland Department of Health & Mental Hygiene any and all information relating to the Application, licensure or authorization to produce or otherwise deal in the distribution of Cannabis in any form, including the following:

- a. Any denial, suspension, revocation or other sanction of the Application, license or authorization; and
- b. A copy of documentation so indicating; or
- c. A statement that the Applicant was so licensed or authorized and was never sanctioned.

The undersigned attests that the Applicant organization will adhere to the statutory requirements listed above and that they have the authority to bind the Applicant organization to the statutory requirements.



11/4/15

DHMH—Maryland Medical Cannabis Commission
Application for Medical Cannabis Processor License



Name- Signature

Date

Josh Genderson
Name- Printed

FORM 5

Investors, Agents, Owners & Managing Director
Certification Statement Form

<p>1. I certify that any Cannabis business entity or its equivalent in which I hold or have held an interest, has not had the registration or license, suspended, revoked, placed on probationary status or subject to any disciplinary action. If no, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>2. I certify that no business or non-profit entity on whose board of directors I have served has been convicted of a crime, fined, censured or had any registration or authorization to do business revoked or suspended, or been the subject of an administrative or judicial proceedings challenging the entity’s proper operation under law. If no, please explain and refer to case or news reports.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>3. Are you a party to any legal proceeding where damages, fines, or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim? If yes, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>4. I certify that I am not delinquent on the filing of State or Federal taxes. If delinquent, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>5. If you have held a medical Cannabis or medical marijuana license or registration in another State, have you been disciplined (including, but not limited to restricted, suspended, or terminate) by any State? If yes, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>6. I certify that I have not been denied a professional license, privilege of taking an examination, or had a professional license or permit disciplined by a licensing authority in Maryland or other State. If no, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>

7. Are you employed by the State of Maryland? If no, skip next question.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
8. If you are employed by the State, please state the name, agency and position. [Type text]		
9. I acknowledge that I fully understand that: Cannabis is a Schedule I controlled substance under the Controlled Substances Act of 1970 (21 U.S.C. 801 <u>et seq.</u>); Manufacture, distribution, cultivation, processing, possession, or possession with intent to distribute a Schedule I controlled substance, or conspiring or attempting to do so, are offenses subject to harsh penalties under federal law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges; and Any activity regarding cannabis that does not comply with Maryland law or regulations is a violation of State law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
10. I certify that I have not been charged with or have been convicted of a felony offense which is reflective of an absence of good moral character.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
11. I certify my acknowledgement that Application Fees are non-refundable.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
12. I acknowledge that in filing an Application for a license and receiving a date and time stamped receipt, the following: a. The Commission is vested with broad discretion to select the Applicants to be awarded a License; and b. The Commission’s decisions in selecting the Applicants shall be final.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

Dated this 28th day of October, 20 15.



Signature of Owner/ Managing Director

Dr. Donald E. Wilson
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 28 day of October, 20 15.





Notary Public



FORM 5

Investors, Agents, Owners & Managing Director
Certification Statement Form

<p>1. I certify that any Cannabis business entity or its equivalent in which I hold or have held an interest, has not had the registration or license, suspended, revoked, placed on probationary status or subject to any disciplinary action. If no, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>2. I certify that no business or non-profit entity on whose board of directors I have served has been convicted of a crime, fined, censured or had any registration or authorization to do business revoked or suspended, or been the subject of an administrative or judicial proceedings challenging the entity's proper operation under law. If no, please explain and refer to case or news reports.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>3. Are you a party to any legal proceeding where damages, fines, or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim? If yes, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>4. I certify that I am not delinquent on the filing of State or Federal taxes. If delinquent, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>5. If you have held a medical Cannabis or medical marijuana license or registration in another State, have you been disciplined (including, but not limited to restricted, suspended, or terminate) by any State? If yes, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>6. I certify that I have not been denied a professional license, privilege of taking an examination, or had a professional license or permit disciplined by a licensing authority in Maryland or other State. If no, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>

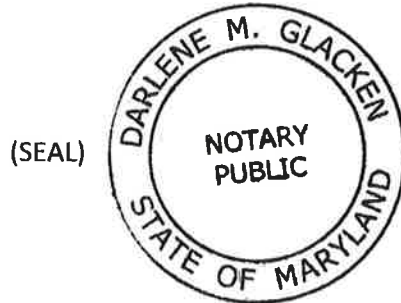
7. Are you employed by the State of Maryland? If no, skip next question.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
8. If you are employed by the State, please state the name, agency and position. [Type text]		
9. I acknowledge that I fully understand that: Cannabis is a Schedule I controlled substance under the Controlled Substances Act of 1970 (21 U.S.C. 801 <u>et seq.</u>); Manufacture, distribution, cultivation, processing, possession, or possession with intent to distribute a Schedule I controlled substance, or conspiring or attempting to do so, are offenses subject to harsh penalties under federal law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges; and Any activity regarding cannabis that does not comply with Maryland law or regulations is a violation of State law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
10. I certify that I have not been charged with or have been convicted of a felony offense which is reflective of an absence of good moral character.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
11. I certify my acknowledgement that Application Fees are non-refundable.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
12. I acknowledge that in filing an Application for a license and receiving a date and time stamped receipt, the following: a. The Commission is vested with broad discretion to select the Applicants to be awarded a License; and b. The Commission’s decisions in selecting the Applicants shall be final.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

Dated this 28 day of Oct, 2015.

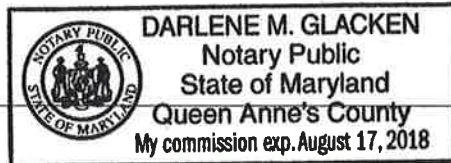
Henry P. Miller, Jr.
Signature of Owner/ Managing Director

Henry P. Miller, Jr.
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 28th day of October, 2015.



Darlene M. Glacken
Notary Public



FORM 5

Investors, Agents, Owners & Managing Director
Certification Statement Form

<p>1. I certify that any Cannabis business entity or its equivalent in which I hold or have held an interest, has not had the registration or license, suspended, revoked, placed on probationary status or subject to any disciplinary action. If no, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>2. I certify that no business or non-profit entity on whose board of directors I have served has been convicted of a crime, fined, censured or had any registration or authorization to do business revoked or suspended, or been the subject of an administrative or judicial proceedings challenging the entity's proper operation under law. If no, please explain and refer to case or news reports.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>3. Are you a party to any legal proceeding where damages, fines, or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim? If yes, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>4. I certify that I am not delinquent on the filing of State or Federal taxes. If delinquent, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>5. If you have held a medical Cannabis or medical marijuana license or registration in another State, have you been disciplined (including, but not limited to restricted, suspended, or terminate) by any State? If yes, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>6. I certify that I have not been denied a professional license, privilege of taking an examination, or had a professional license or permit disciplined by a licensing authority in Maryland or other State. If no, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>

<p>7. Are you employed by the State of Maryland? If no, skip next question.</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>8. If you are employed by the State, please state the name, agency and position.</p> <p>[Type text]</p>		
<p>9. I acknowledge that I fully understand that:</p> <p>Cannabis is a Schedule I controlled substance under the Controlled Substances Act of 1970 (21 U.S.C. 801 <u>et seq.</u>);</p> <p>Manufacture, distribution, cultivation, processing, possession, or possession with intent to distribute a Schedule I controlled substance, or conspiring or attempting to do so, are offenses subject to harsh penalties under federal law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges; and</p> <p>Any activity regarding cannabis that does not comply with Maryland law or regulations is a violation of State law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges.</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>10. I certify that I have not been charged with or have been convicted of a felony offense which is reflective of an absence of good moral character.</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>11. I certify my acknowledgement that Application Fees are non-refundable.</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>12. I acknowledge that in filing an Application for a license and receiving a date and time stamped receipt, the following:</p> <ul style="list-style-type: none"> a. The Commission is vested with broad discretion to select the Applicants to be awarded a License; and b. The Commission’s decisions in selecting the Applicants shall be final. 	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>

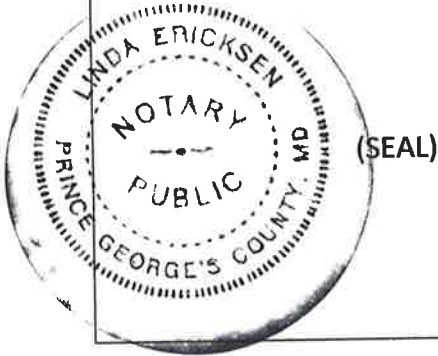
Dated this 21st day of October, 20 15.



Signature of Owner/ Managing Director

Ismael Vincent Canales
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 21st day of October, 20 15.





Notary Public

FORM 5

Investors, Agents, Owners & Managing Director
Certification Statement Form

<p>1. I certify that any Cannabis business entity or its equivalent in which I hold or have held an interest, has not had the registration or license, suspended, revoked, placed on probationary status or subject to any disciplinary action. If no, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>2. I certify that no business or non-profit entity on whose board of directors I have served has been convicted of a crime, fined, censured or had any registration or authorization to do business revoked or suspended, or been the subject of an administrative or judicial proceedings challenging the entity's proper operation under law. If no, please explain and refer to case or news reports.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>3. Are you a party to any legal proceeding where damages, fines, or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim? If yes, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>4. I certify that I am not delinquent on the filing of State or Federal taxes. If delinquent, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
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Dated this 20th day of October, 20 15.


Signature of Owner/ Managing Director

Josh Genderson
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 20th day of October, 20 15.




Notary Public

JACQUELINE C. PARKER
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires June 14, 2017

FORM 5

Investors, Agents, Owners & Managing Director
Certification Statement Form

<p>1. I certify that any Cannabis business entity or its equivalent in which I hold or have held an interest, has not had the registration or license, suspended, revoked, placed on probationary status or subject to any disciplinary action. If no, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
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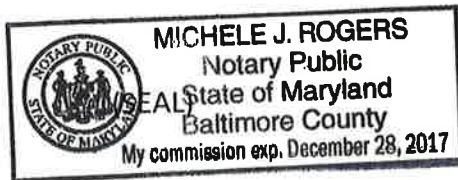
Dated this 27 day of October, 20 15.



Signature of Owner/ Managing Director

Nelson Sabatini
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 27th day of October, 20 15.





Notary Public

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Dated this 19th day of October, 20 15.



Signature of Owner/ Managing Director

Richard Cohen
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 19th day of October, 20 15.

(SEAL)



Notary Public

FORM 5

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Dated this 27th day of October, 20 15.



Signature of Owner/ Managing Director

Richard Polanksy
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 27th day of October, 20 15.

(SEAL)



Notary Public
MARCY HILL
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires March 4, 2016

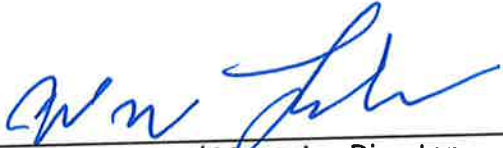
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Dated this 19th day of October, 2015.



Signature of Owner/ Managing Director

Wilton Morgan Lash
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this 19th day of October, 2015.



E. Dawn Spicer
Notary Public

**Maryland Department of Health Mental Hygiene
Maryland Medical Cannabis Commission (“MMCC”)**

Application for Medical Cannabis Processor License



MARYLAND
MMCC

Natalie M. LaPrade
Maryland Medical Cannabis Commission

Publication Release Date:

September 28, 2015; Revised, October 7, 2015

Application Response Deadline:

Accepting Applications Period: September 28, 2015–November 6, 2015

Business Days: M–F, 8:00 am–4:00 pm

For additional information regarding the Application process, please contact:

Natalie M. LaPrade Medical Cannabis Commission

Department of Health and Mental Hygiene

Dedicated Email Address for Applicant Questions:

dhmh.medicalcannabisApplications@maryland.gov

APPLICATION INFORMATION SHEET

1	COMPANY NAME	Holistic Industries LLC		
2	STREET ADDRESS	12204 Grove Park Court		
3	CITY, STATE, ZIP	Potomac, MD 20854		
4	TELEPHONE NUMBER			
	AREA CODE 301	NUMBER: 922-7786	EXTENSION: N/A	
5	FAX NUMBER			
	AREA CODE N/A	NUMBER: N/A	EXTENSION: N/A	
6	TOLL FREE NUMBER			
	AREA CODE N/A	NUMBER: N/A	EXTENSION: N/A	
7	Contact Person for providing information, signing documents, or ensuring actions are taken per COMAR 10.62.19-.24			
	Name: Josh Genderson			
	Title: CEO			
	Address: 12204 Grove Park Ct., Potomac, MD 20854			
	Email Address: HolisticIndustries@gmail.com			
8	TELEPHONE NUMBER AND FAX FOR CONTACT PERSON			
	AREA CODE 301	TELEPHONE NUMBER: 922-7786	EXTENSION: N/A	
	AREA CODE N/A	FAX NUMBER: N/A		
9	CONTACT PERSON SIGNATURE			
	SIGNATURE:		DATE: 11/3/2105	

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FORMS/Addenda CHECKLIST

FORM/Exhibit #	Name/Description of Exhibit	Included Yes	Not Included
Form 1	Consent for Investigation – Individual/Processor Agent		
Form 2	Consent for Investigation – Business Entity		
Form 3	Trade Secret & Business Data Notification		
Form 4	Business Interest Identification & Authorization Form		
Form 5	Investors, Agents, Owners & Managing Director Certification Statement		
Addenda			

SECTION A: INTRODUCTION

Maryland Department of Health and Mental Hygiene
Natalie M. LaPrade Maryland Medical Cannabis Commission

Medical Cannabis Processor License Application

The State of Maryland, Department of Health and Mental Hygiene Natalie M. LaPrade Maryland Medical Cannabis Commission (“MMCC” or “Commission”) is seeking Applications from qualified Applicants interested in receiving a Medical Cannabis Processor License.

On October 1, 2013, the Commission became responsible for administering Maryland’s Medical Cannabis program, the effective date of the enactment of Ch. 403, Laws of Maryland (2013); subsequently amended by Ch. 240, 256, Laws of Maryland (2014); and Ch. 251, Laws of Maryland (2015), also referred to as the Maryland Session Laws. The Commission develops policies, procedures, and regulations to implement programs to make medical cannabis available to patients in a safe and effective manner. The Commission will license medical cannabis Growers, Processors, and Dispensaries. This Program allows a qualifying patient or caregiver who is registered with MMCC to purchase medical cannabis from a licensed dispensary. See also Md. Code, Health-Gen §§13-3301-13-3316; COMAR §§10.62.01-10.62-35.

The Commission intends to award licenses to Applicants that most efficiently and effectively ensure public safety and safe access to medical cannabis.

SECTION B: Number of Processor Licenses

In accordance with COMAR 10.62.19.05(A), the Commission will pre-approve a number of licenses for licensed processors sufficient to supply the demand for medical cannabis concentrates and medical cannabis-infused products in a range of routes of administration desired by qualifying patients.

SECTION C: Processor Intention to Operate a Dispensary

A Processor planning to operate a medical cannabis dispensary **must submit a separate Dispensary Application.**

SECTION D: Processor Intention to Operate as a Grower

A Processor planning to operate a medical cannabis grower facility **must submit a separate Grower Application.**

SECTION E: TERMS AND DEFINITIONS

Please refer to the COMAR Regulations in Section 10.62.01 “Definitions,” which are applicable to all MMCC license Applications. The Regulations are posted on the Maryland Medical Cannabis Commission’s website at <http://mmcc.maryland.gov>.

For the purposes of this Application, the following terms and definitions will be used.

TERM	DEFINITION
Annotated Code of Maryland	Maryland’s statutory law created by the State Legislature, the General Assembly.
Applicant	A person or entity applying for a license.
Audited Financial Statement	An audited financial statement that is: (a) Performed by a certified public accountant licensed or with practice privileges in Maryland pursuant to Business Occupations and Professions Article, Title 2, Annotated Code of Maryland; (b) Prepared in accordance with the Professional Standards of the American Institute of Certified Public Accountants; and (c) In the case of a publicly owned corporation, in conformity with the standards of the Public Company Oversight Board.
COMAR	Maryland State Regulations issued by State agencies.
Commission	The Natalie M. LaPrade Medical Cannabis Commission.
Caregiver	An individual 21 years old or older designated by a patient who has agreed to assist with a qualifying patient’s medical use of medical cannabis, and for a qualifying patient younger than 18 years old, a parent, or legal guardian.
Grower Agent	An owner, an employee, a volunteer, an officer, or a director of a licensed grower.
Independent Testing Laboratory	A facility, an entity, or a site that offers or performs tests related to the inspection and testing of cannabis and products containing cannabis in the State of Maryland.
Licensed Dispensary	An entity licensed by the Commission that acquires, possess, repackages, transfers, transports, sells, distributes, or dispenses, products containing cannabis, related supplies, related products including tinctures, aerosols, oils, or ointments, or educational materials for use by a qualifying patient or caregiver.
Licensed Grower	An entity licensed by the Commission that cultivates, manufactures, packages or distributes medical cannabis to licensed processors, licensed dispensaries or registered independent testing laboratories.

TERM	DEFINITION
Licensed Premises	The locations at which a licensed grower, licensed processor, or licensed dispensary operates.
Licensed Processor	An entity licensed by the Commission that: (a) transforms the medical cannabis into another product or extract; and (b) packages and labels medical cannabis.
Maryland Entity	A business entity registered to do business in the State of Maryland.
Maryland Residency	One who lives in Maryland.
Medical Cannabis	Any product containing usable cannabis or medical cannabis finished product.
Medical Cannabis Concentrate	A product derived from medical cannabis that is kief, hashish, bubble hash, oil, wax, or other product, produced by extracting cannabinoids from the plant through the use of: (a) Solvents; (b) Carbon dioxide; or (c) Heat, screens, presses or steam distillation.
Medical Cannabis Finished Product	Any product containing a medical cannabis concentrate or a medical cannabis infused product packaged and labeled for release to a qualifying patient.
Medical Cannabis Infused Products	Any oil, wax, ointment, salve, tincture, capsule, suppository, dermal patch, cartridge or other product containing medical cannabis concentrate or usable cannabis that has been processed so that the dried leaves and flowers are integrated into other material. (b) “Medical cannabis-infused product” does not include a food as that term is defined in Health-General Article, §21-101, Annotated Code of Maryland.
Must/Shall	The referenced action is “Mandatory” and not discretionary.
Pre-Approval of License	A preliminary approval of a potential authorization (license) to conduct business as a licensed processor.
Processing	The manufacture of usable medical cannabis into a medical cannabis concentrate, or manufacture of a medical cannabis-infused product.
State	The State of Maryland, Department of Health & Mental Hygiene, or the Natalie M. LaPrade Medical Cannabis Commission.
Site Plan	A drawing and brief description of the preliminary plan for the locations of any and all buildings and any and all security measures, including walls and doors within the facility.
Third Party Reviewers	An independent reviewer (or entity) hired to assist the Commission in the evaluation of Applications.
Transportation Agent	A registered grower agent, registered processor agent or a registered dispensary agent, authorized by the Licensee to

TERM	DEFINITION
	transport products containing medical cannabis, who meet the criteria specified in COMAR 10.62.18; or a licensed and bonded courier of a secure transportation company.

SECTION F: APPLICATION TIMELINE

The following represents the timeline for this project.

TASK	DATE/TIME
Applications Posted on Website	Week commencing September 28, 2015
Deadline for Submission of Applications (hard copy, electronic copy and payment) to the Commission	40 calendar days after the Application is posted
Application Evaluation, Scoring and Ranking Period by Third Party Reviewers	Anticipated completion in December 2015 / January 2016
Commission Vote on Stage One Applications at Public Meeting	Anticipated in December 2015 / January 2016
Notice of Stage One Awards via Email	Anticipated in December 2015 / January 2016
Posting of Stage One Awards on website	Anticipated in December 2015 / January 2016
Site Visits/Inspections of Stage One Applicant Premises	Following request of an Applicant for inspection.
Granting licenses by the Commission.	Following request of an Applicant for final inspection.

Stage 1: Selection

Once the Stage 1 Applicants have been determined, the Commission will inspect the Applicant’s processing and cultivation (if applicable) operations as evidence of the Applicant’s expertise and compliance.

Please indicate in the Application the existing operations that would serve as your inspection site location including the address and a contact to arrange for the site visit.

Stage 2: Final Approval

Upon selecting the successful Applications, the Commission shall notify all Applicants of their status by email and in writing. The Commission’s decision to award or not award a license to an Applicant shall be final.

If a Licensee cannot commence operations within 365 days of being issued a pre-approval, the Commission may rescind the pre-approval.

SECTION G: APPLICATION SUBMISSION INSTRUCTIONS

Applicants must submit a complete Application package by the deadline outlined in Section F. The Application package will consist of the following:

1. A hard copy of the Applicant's completed Application and all related documents (as outlined in Section H),
2. An electronic copy of the Applicant's Application and all related documents (as outlined in Section H) in Microsoft Word format on a USB drive, and
3. The Application payment to MMCC in the form of a cashier's check or money order, only. The Application fee will be retained by the Commission and will not be returned under any circumstances.

The Application is only considered complete if all of these components are submitted. The Applicant is responsible for delivery of all of the Application material to MMCC on or before the deadline indicated in Section F. Any Applications or related documents received after the deadline will not be accepted or considered.

Other than the redacted material, the information provided in the hard copy and electronic copy of the Application should be identical. The hard copy of the Application will be retained by MMCC for its records. Only the information that is submitted in the electronic copy of the Application as well as the electronic related documents will be sent to evaluators for review.

Applicants must use the following file naming structure when submitting electronic documents: "Applicant Name_Submission Date_File Type." For example, the Word document file name would be "John Doe_10012015_Application." In contrast, the site plan file name would be "John Doe_10012015_Site Plan."

To ensure the integrity of the evaluation process, specific sections of the electronic copy of the Application and related documents will be redacted for the evaluation. It is the responsibility of the Applicant to redact this information in the electronic copy of the Application. Further details on what information should be redacted are outlined in Section H.

SECTION H: Evaluation and Selection Procedures

The Regional Economic Studies Institute (RESI) of Towson University has been commissioned by MMCC to conduct an evaluation of the license Applications. This section will review the evaluation process.

MMCC will upload all electronic copies of all completed Applications together with any related documents that it receives within the timeline specified in Section F onto a Secure File Transfer Protocol (SFTP) for RESI to download. RESI will review every Application that is transferred to RESI by MMCC through the SFTP to ensure that it meets the mandatory qualification criteria, including the three following points:

1. All sections of the Application that are marked as mandatory with an asterisk (*) are completed;
2. The checkboxes in Section U are marked with an affirmation to all questions posed; and
3. The electronic version of the Application (Microsoft Word document) and related documents are submitted as redacted documents.

The Word document must be devoid of any identifying information after Form 5, including the Applicant's name, the company name of the Applicant (if applicable), and the names of any investors and/or employees. The related documents must be devoid of any identifying information including the Applicant's name, the company name of the Applicant (if applicable), and the names of any investors and/or employees. Only the redacted Word document and related documents will be sent to evaluators if the Application meets the mandatory qualification criteria. Any Application that does not comply with these mandatory qualification criteria will be removed from the process and will not be evaluated.

RESI will process the Applications that meet the mandatory qualification criteria. RESI will assign unique identifying numbers to each Application and will separate each Application into sections. RESI has contracted a panel of third party evaluators, which will be composed of subject matter experts (SMEs) from across the country. Each SME will review assigned sections of the Application that align with the SME's field of expertise. The SME will be sent these sections via email. As each SME will not review the entire Application, it is of the utmost importance that the information outlined in each section of the Application is provided in that section. If section-specific information is found outside the section in which it should be, the SME will not consider that information during the evaluation process. In addition, each section has a set word count. If the word count in a section is exceeded, the SME will not review any information beyond the maximum number of words nor will the SME take into account this information during the evaluation.

Each Application section will be scored by the respective SME according to the quality of the responses provided. The scoring of the Application sections will be based on a scale of 1 to 5 as well as yes/no questions. The yes/no questions will focus on specific issues that are clearly set out in the processor regulations and that do not need further explanation from the Applicant. The scoring scale will be used to evaluate the questions that cannot be scored as yes/no and therefore need further explanation from the Applicant. Using this scale, a 3 will be given to Applications that meet the basic requirements set forth in the aforementioned regulations. A score of 1 will be given to Applications that fall significantly below meeting these basic requirements, and a score of 5 will be given to Applications that significantly exceed the basic requirements. An Application will receive a score of 0 in any section where the SME notices an egregious problem or error within that section. Any Application section receiving a 0 will be reviewed separately by the Commission to determine if the Application will continue in the evaluation process.

Using the scores provided by the SMEs in the evaluation panel, RESI will aggregate the scores from each Application, taking into account the weighting outlined in Section T of this document.

RESI will rank the Applications based on these scores for the Commission to review. The Commission will make the final decision on issuing any processor licenses.

SECTION I: IMPORTANT NOTICES/DISCLAIMERS

- This Application form is an **OFFICIAL DOCUMENT** of the Maryland Medical Cannabis Commission. It **MAY NOT** be altered or changed in any fashion except to fill-in the areas provided with the information that is required. Should any alteration or revision of a question occur, the Commission reserves the right to deny the Application in its entirety, or may determine to attribute no weight to the response.
- The license to operate as a processor is a privilege.
- The burden of proving an Applicant's qualifications at all times rests on the Applicant. The Applicant accepts any and all risk of adverse public notice, criticism, emotional distress, or financial loss that may result from any action with respect to this Application. The Applicant expressly waives any and all claims for damages as a result thereof.
- The Commission may deny an Application that contains a misstatement, omission, misrepresentation, or untruth.
- An Application shall be complete in every material detail, including all of the mandatory sections that are marked with an asterisk (*).
- If the electronic version of the Application cannot be read by MMCC, the Application will be suspended and not reviewed, and the Applicant will be contacted via email. The Applicant has 3 business days from the date when the email is sent to deliver another USB drive containing the electronic version of the Application to the Commission. In the event that the Applicant fails to comply, the Application will be withdrawn and the fee may be forfeited to the Commission.
- The Commission will notify Applicants via email when their Applications are successfully received.
- The Commission may request any additional information that it determines is necessary to process and fully investigate an Application. The Applicant shall provide all information, documents, materials, and certifications at the Applicant's own expense.
- Should the Commission request any additional information that it determines necessary to process and fully investigate an Application, the Applicant shall provide the additional information within 14 business days after the request has been sent to the Applicant. If the Applicant does not provide the requested information within 14 business days, the Commission will remove the Application from the evaluation process.
- The Applicant is not able to contribute additional information after the Application is submitted, unless the Commission requests more information.
- The Applicant is under a continuing duty to promptly disclose any changes to the Commission in investors with an interest of five percent or more. **The duty to make such additional disclosures shall continue throughout any period of any license that may be granted by the Commission.**

- All notices regarding an Application submission will be sent to the email address provided on this form. The Applicant must immediately notify the Commission if the email address changes.
- An Applicant who applies for and obtains a license from the Commission may be required to submit to warrantless searches as stated in the law or regulation.
- After the Application has been submitted, the Applicant may withdraw the submitted Application only after written notice to the Commission.
- All submissions with and for this Application become the property of the Commission and will not be returned.
- **The Commission's decision to approve or deny an Application is final.**

SECTION J: Communications with MMCC

All questions about the Application or Application process must be forwarded to MMCC by email only at dhmh.medicalcannabisApplications@maryland.gov with the subject line "Medical Cannabis Application Question."

- Questions and answers of a substantive nature will be posted on the MMCC website (<http://mmcc.maryland.gov/>) so that all Applicants will have access to the same information.
- For questions received after Friday, October 23, 2015, the Commission may not respond prior to the submission deadline. Applicants are therefore encouraged to identify and raise any questions as soon as possible.
- All questions must be sent to the Commission email address only. Violation of this guideline will result in disqualification.

SECTION K: Consent for Investigation - COMAR Section 10.62.19.03 (A)

An individual who is required to provide personal and background information under this chapter shall provide a statement that irrevocably gives consent to the Commission and persons authorized by the Commission to:

1. Verify all information provided in the Application documents; and
2. Conduct a background investigation of the individual.

SECTION L: Waiver of Any Contractual, Statutory, or Common Law Obligation of Confidentiality – COMAR Section 10.62.19.03 (B), (C)

An Applicant shall waive any contractual, statutory, or common law obligation of confidentiality and authorize any government agency in any jurisdiction to release to and provide access to the Commission of any and all information that the Applicant has provided to any other jurisdiction while seeking a cannabis-related license in that other jurisdiction, as well as the information

obtained by that other jurisdiction during the course of any investigation it may have conducted regarding the Applicant.

An Applicant shall release all financial institutions, fiduciaries, and other parties from any contractual, statutory, or common law obligation of confidentiality to provide financial, personal, and background information to the Commission relevant to the Applicant's capacity to manage a licensed processor facility and the Applicant's good moral character.

SECTION M: Records & Maryland Public Information Act

All materials submitted in response to this Application will be retained by MMCC. All pages containing confidential information must be marked "Confidential."

Data submitted during the Application process, including private data on individuals or nonpublic data, may or may not be disclosed pursuant to the Maryland Public Information Act ("MPIA"). Md. Code., Gen'l Prov §§4-101-601. While there are exceptions to production contained in the statute, and certain common law privileges may apply to the data, MMCC cannot guarantee that all data submitted to it will remain confidential at all times. Be advised, however, that the MPIA does contain provisions that relate to data that is a trade secret or that contains financial information. Md. Code, Gen'l Prov §§4-335, 36. MMCC recommends that the Applicant review the applicable law prior to submitting an Application as MMCC is unable to provide legal advice as to the absolute confidentiality of the data received.

Be further advised, that if a license is awarded to an Applicant, MMCC may use or disclose the trade secret or financial data to the extent provided by law. Any decision by the State to disclose information determined to be trade secret information or financial data will be made consistent with the MPIA and other relevant laws and regulations. Maryland Public Information Act ("MPIA"). Md. Code., Gen'l Prov §§4-101-601.

If the Applicant submits information in response to this Application that the Applicant believes to be trade secret information or financial data as defined by Maryland Statutes section Md. Code, Gen'l Prov §4-335-36, and the Applicant does not want such data used or disclosed for any purpose other than the evaluation of this proposal, the Applicant shall:

- A. Clearly mark every page of trade secret or financial materials in its proposal at the time the proposal is submitted with the words "**TRADE SECRET OR FINANCIAL DATA INFORMATION**" in capitalized, underlined and bolded type that is at least 20 pt.
- B. Acknowledge that the State does not assume liability for the use or disclosure of unmarked or unclearly marked trade secret information;
- C. Fill out and submit the attached "Trade Secret & Financial Data Information Notification Form," specifying the pages of the proposal that are to be restricted and justifying the trade secret designation for each item. If no materials is

- designated as trade secret information or financial data, a statement of “None” should be listed on the form; and
- D. Satisfy the statutory burden to justify any claim of trade secret information.

MMCC may reject a claim that any particular information in a response is trade secret information if it determines that the Applicant has not met the burden of establishing the content to be trade secret information under any circumstance. Use of generic trade secret language encompassing substantial portions of the proposal or simple assertions of trade secret interest without substantive explanation of the basis therefore will not be sufficient to warrant a trade secret designation. If certain information is found to constitute a “trade secret” or “financial” exception to disclosure, then, the remainder of the Proposal will become public in the event a public information request is received. Applicants should understand that only the trade secret or financial data will be redacted prior to disclosure.

The Applicant must defend any action seeking release of the materials that it believes to be trade secret information, and indemnify and hold harmless the State, its agents, and employees, from any judgments against the State in favor of the party requesting the materials, and any and all costs connected with that defense. This indemnification survives the State’s award of a license. In submitting an Application, the Applicant agrees that this indemnification survives as long as the trade secret information is in the possession of MMCC.

MMCC is required to keep all Processor Application documents in accordance with the document retention schedule adopted by the Commission after the conclusion of the license term. Non-selected Processor Applications will be kept by MMCC for a minimum of three years after the award of the licenses.

SECTION N: AMENDING AN APPLICATION - COMAR 10.62.19.02 (D)

In the event that an Applicant amends an Application to include either a new individual investor with an interest of five percent or more, or another manager or director of the entity, then the Applicant shall forward to the Commission a copy of the request to the Central Repository.

SECTION O: Criminal History Record Check – COMAR Section 10.62.19.03

For each individual identified in the Application, an Applicant shall provide to the Director of the Central Repository:

1. Two sets of legible fingerprints taken in a format approved by the Central Repository and the Director of the FBI together with the fee authorized under Md. Code Ann., Criminal Procedure Article, §10-221(B)(7), for access to State criminal history and records for each medical cannabis processor agent and investor identified in the Application; and

2. A request that the individual's State and national criminal history record information be forwarded to the Commission.

SECTION P: How to Apply

It is recommended all potential Applicants become familiar with Md. Code, Health-Gen §§13-3301-13-3316; COMAR §§10.62.01-10.62.35; Ch. 403, Laws of Maryland (2013); Ch. 240, 256, Laws of Maryland (2014); and Ch. 251, laws of Maryland (2015), governing processor operations for the Medical Cannabis program.

Applicants should use the definitions and descriptive sections of those documents to assist in interpreting this Application. The burden of proving an Applicant's qualifications rests solely on the Applicant.

GENERAL APPLICATION INSTRUCTIONS

Read each question carefully. Answer each question completely. Do not leave blank spaces. If a question does not apply, write "Does Not Apply" or "N/A." If the correct answer to a particular question is "None," write "None." If a question has an asterisk (*), it is mandatory and must be completed. Answering a mandatory question with "Does Not Apply" or "N/A" is insufficient. Failure to submit an Application with all of the mandatory questions completed will result in the removal of the Application from the evaluation process.

- All entries on the Application should be single spaced and typed in 12-point Times New Roman font. Signatures must be in handwriting, unless otherwise stated by the Commission, by the individual providing the information. Do not misstate or omit any material fact(s).
- All required documentation, such as business formation papers, tax returns and appendices, as well as the Application forms that comprise an Application package for a license, as listed above, **must be submitted at the time of filing this Application.** Further, the Applicant is under a **continuing duty to promptly notify** the Commission if there is a change in the information provided to the Commission.
- An Applicant shall clearly identify those portions of its Application that it deems to be confidential, proprietary commercial information, trade secrets, or financial data, and provide justification of why such materials, upon request, should not be disclosed by the State pursuant to the Public Information Act ("MPIA"), Md. Code, Gen'l Prov §§4-101-601. Confidential information may be contained in the Application. A blanket statement by an Applicant that its entire Application is confidential is unacceptable. Applications shall be open to public inspection only after award of a license has been made, to the extent permitted by the MPIA. The Applicant is advised that, upon request for this information from a third party, the Commission will make an

independent determination whether the information may be disclosed. An Applicant or Licensee waives any liability of the State of Maryland, and its employees and agents, the Commission, and the Department of Health and Mental Hygiene for any damages resulting from any disclosure or publication in any manner.

The Commission may request additional financial and other information as needed. COMAR 10.62.19.04(D)-(F).

APPLICATION CONTENTS

A complete Application package must include:

1. A USB drive containing a redacted Microsoft Word document as well as related documents outlined in Section H;
2. A hard copy of the Application; and
3. A two thousand dollar (\$2,000) Stage 1 non-refundable Application fee in the form of a money order or a cashier's check.

The submittal of an Application constitutes acceptance of the requirements, administrative stipulations, and all of the terms and conditions of this Application. All costs and expenses incurred in submitting an Application in response to this Application will be borne by the Applicant.

APPLICATION DELIVERY

- It is the Applicant's responsibility to allow sufficient time to address potential delays.
- Sole responsibility rests with the Applicant to ensure that their Application is received by MMCC on or before the submission deadline.
- Applicants are required to use a courier service to deliver the Applicant contents including the contents outlined in the "APPLICATION CONTENTS" section above.
- Late Applications will not be accepted.

MMCC Delivery Address:

Attn: Precious Wells, Administrative Specialist
Maryland Department of Health and Mental Hygiene
Maryland Medical Cannabis Commission
4201 Patterson Avenue
Baltimore, MD 21215
410-764-2400

SECTION Q: AWARDING OF LICENSE PRE-APPROVAL – COMAR Section-10.62.19.05(D)

The Commission shall notify an Applicant who has been pre-approved for a license within 10 business days of the Commission’s decision.

SECTION R Rescission of Processor License – COMAR Section-10.62.19.06(E)

The Commission may rescind the pre-approval of a processor license if the processor is not operational within 1 year of pre-approval.

SECTION S: Denial or Disqualification of Application

MMCC may deny any Application under any of the following circumstances:

- The Application contains a misstatement, omission, misrepresentation, or untruth COMAR 10.62.19.04(B).
- The Applicant fails to submit the Application by the submission deadline.
- The Applicant fails to pay the Application fee prior to the submission deadline.
- The criminal history record information or any other evidence demonstrates an absence of good moral character. COMAR 10.62.19.05(C)(1).
- The payment of taxes due in any jurisdiction is in arrears. COMAR 10.62.19.04(B)(6).
- The Application fails to meet the mandatory criteria as outlined in Section G of this document.

MMCC may deny issuing a pre-approval of a license if, for any individual identified in the Application:

- The criminal history record information or any other evidence that demonstrates an absence of good moral character. COMAR 10.62.19.05(C)(1); or
- The payment of taxes due in any jurisdiction is in arrears. COMAR 10.62.19.05(C)(2).

SECTION T: Application Ranking and Weighted Criteria – COMAR Section 10.62.19.04 (I)

SELECTION PROCESS: Pre-Approval of License—Stage One

The Commission, or a Commission independent contractor, shall review the submitted Applications for a **pre-approval** for a license. The Applications shall be ranked based on the following weighted criteria.

Operational Factors—20%

- A detailed operational plan for the production of medical cannabis extracts and medical cannabis-infused products;
- Summaries of policies and procedures for:
 - Laboratory operations;
 - Processing;
 - Packaging.

Safety and Security Factors—20%

- A detailed plan or information describing the security features and procedures;
- A detailed plan describing how the processor will prevent diversion;
- A detailed plan describing safety procedures.

Commercial Laboratory, Pharmaceutical Manufacturing, and Consumer Products Production Factors—15%

- Experience, knowledge, and training in:
 - Chemical plant management;
 - Pharmaceutical manufacturing;
 - Consumer product production.

Production Control Factors—15%

- A detailed quality control plan;
- A detailed inventory control plan;
- A detailed medical cannabis waste disposal plan.

Business and Economic Factors—15%

- A business plan:
 - Demonstrating a likelihood of success;
 - Demonstrating a sufficient business ability and experience on the part of the Applicant;
 - Providing for appropriate employee working conditions, benefits, and training;
- Demonstrating of adequate capitalization;
- A detailed plan evidencing how the processor will enforce the alcohol and drug free workplace policy.

Additional Factors—15%

- Demonstrated Maryland residency among the owners and investors;
- Evidence that the Applicant is not in arrears regarding any tax obligation in Maryland and other jurisdictions;

- A detailed plan evidencing how the processor will distribute to dispensaries;
- A list of proposed medical cannabis extracts and medical cannabis-infused products to be produced with proposed cannabinoid profiles, including:
 - Varieties with high cannabidiol content;
 - Whether the product has any demonstrated success in alleviating symptoms of specific diseases or conditions.

SECTION U: Affirmation Section

The Applicant understands the following:

	Yes	No
1. The burden of proving an Applicant’s qualifications rests on the party applying for the license.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. The Commission may deny an Application that contains a misstatement, omission, misrepresentation, or untruth.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. An Application shall be complete in every material detail.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. The Commission may request any additional information the Commission determines is necessary to process and fully investigate an Application.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. The party applying for the license shall provide requested additional information by the close of business of the 14th business day after the request has been received by the Applicant.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. If the party applying for the license does not provide the requested information within 14 business days, the Commission may consider the Application to be suspended.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. The Commission intends to award the licenses to the best Applications that most efficiently and effectively ensure public safety and safe access to medical cannabis and medical cannabis-infused products.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. The Commission, or a Commission independent contractor, shall review for a pre-approval for a license the submitted Applications. The Applications shall be ranked based on weighted criteria.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. The party applying for the license will provide an amended Application within 3 business days to include the name and documentation of a request to forward the criminal history record information and audited financial statement to the Commission of a new individual investor of an	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Yes No

interest of 5 percent or more, or another manager or director of the entity, even after a license is issued.

10. For each individual identified in the Application specified in Regulation .02B(1) and (2) of this chapter, an Applicant will provide to the Director of the Central Repository:

- a. Two sets of legible fingerprints taken in a format approved by the Director of CJIS and the Director of the FBI and the fee authorized under Criminal Procedure Article, §10-221(B)(7), Annotated Code of Maryland, for access to State criminal history and records for each processor agent and investor identified in the Application; and
- b. A request that the individual’s state and national criminal history record information be forwarded to the Commission.

11. The Commission may deny issuing a pre-approval of a license if, for any individual identified in the Application:

- a. The criminal history record information or background information demonstrate an absence of good moral character; or
- b. The payment of taxes due in any jurisdiction is in arrears.

12. The Commission may rescind pre-approval of a processor license if the processor is not operational within 1 year of pre-approval.

13. The Commission may issue a processor license on a determination that:

- a. The criminal history background check and background investigation reveal no evidence that demonstrates the absence of good moral character;
- b. All inspections are passed and all of the Applicant’s operations conform to the specifications of the applicable regulations;
 - a. The proposed premises:
 - i. Are under the legal control of the Applicant;
 - ii. Comply with all zoning and planning requirements; and

- | | Yes | No |
|---|-------------------------------------|--------------------------|
| iii. Conform to the specifications of the Application as pre-approved pursuant to the applicable regulations; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iv. The first year’s license fee specified in COMAR 10.62.35 has been paid. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 14. The Commission may deny transfer of an interest in a license if, for any proposed transferee: | | |
| a. The criminal history record information or the background investigation demonstrate an absence of good moral character; or | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. The payment of taxes due in any jurisdiction is in arrears. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 15. The Commission, after review of the criminal history record information, may disqualify any prospective registered processor agent from registration for an absence of good moral character or if the payment of taxes in any jurisdiction is in arrears. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 16. An identification card remains the property of the Commission and the Commission may order the return or seizure of an identification card if the registration is revoked or expires. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Please review and answer the following:

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| 1. The party applying for the processor license irrevocably gives consent to the Commission and persons authorized by the Commission to: | | |
| a. Verify all information provided in the Application documents; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Conduct a background investigation of the individual(s). | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 2. The party applying for the processor license waives any contractual, statutory, or common law obligation of confidentiality and authorizes any government agency in any jurisdiction to release to and provide access to the Commission of any and all information the Applicant has provided to any other jurisdiction while seeking a cannabis-related license in that other jurisdiction, as well as the information obtained by that other jurisdiction during the course of any investigation it may have conducted regarding the Applicant. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| 3. The party applying for the processor license releases all financial institutions, fiduciaries, and other parties from any contractual, statutory or common law obligation of confidentiality to provide financial, personal and background information to the Commission relevant to the Applicant’s capacity to manage a licensed processor facility and the Applicant’s good moral character. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 4. All processor agents affiliated with this Application are 21 years old or older at the time of Application. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 5. All of the processor agents affiliated with this Application have never been convicted of a felony drug offense | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

An Applicant Shall Commit to the Following:

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| 1. All processor agents will be 21 years or older. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 2. The party applying for the license commits to having any and all processor agents registered with the Commission before the agent may volunteer or work for a Licensee. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 3. The party applying for the license commits to registering a processor agent by submitting to the Commission: | | |
| a. The name, address, date of birth and Social Security Number of a processor agent; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Documentation of the submission of fingerprints of the processor agent to the Central Registry; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. The request for the criminal history record information of the processor agent to be forwarded to the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 4. The Applicant will not register a prospective processor agent if the prospective processor agent has ever been convicted of a felony drug offense. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 5. The party applying for the license will provide an amended Application within 3 business days to include the name and documentation of a request to forward the criminal history record information and audited financial statement to the Commission of a new individual investor of an interest of 5 percent or more, or another manager or director of the entity, | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

- | | Yes | No |
|--|-------------------------------------|--------------------------|
| even after a license is issued. | | |
| 6. For each individual identified in the Application the processor agent commits to requiring any prospective medical cannabis processor agent register with the Commission before the Applicant will employ the agent or permit the agent to volunteer for the Applicant. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 7. If an Applicant is issued a pre-approval for a license the party applying for the license commits to submitting to the Commission, as part of its Application: | | |
| a. An audited financial statement for the Applicant and for each individual, partnership, corporation, or other entity review that has invested, or is proposed to invest, 5 percent or more of the capital of the Applicant; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Payment of the stage 2 Application fee specified in COMAR 10.62.35. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 8. The party applying for the license commits to having no interest of 5 percent or more of a license issued pursuant to this chapter assignable or transferable unless: | | |
| a. The Commission has received notice in a manner determined by the Commission of the intent of the owner of the interest, or of the estate of the owner of the interest, to transfer or assign an interest in a license to another party; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. The transferee has had forwarded the criminal history record information and audited financial statement to the Commission of the transferee; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. The Commission does not object to the transfer or assignment within 45 days of its receipt of notice; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| d. The transferee has paid the required fee specified in COMAR 10.62.35. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 9. The party applying for the license acknowledges that a Licensee is eligible to apply to renew a license every 2 years. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 10. The party applying for the license acknowledges that ninety days before the expiration of a license, the Commission will notify the Licensee of the: | | |

	Yes	No
a. Date on which the license expires;	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Process and the fee required to renew the license; and	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. Consequences of a failure to renew the license.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. The party applying for the license acknowledges that at least 30 business days before a license expires a Licensee shall submit:		
a. The renewal Application as provided by the Commission;	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Proof that fingerprints have been submitted to CJIS and the FBI for every processor agent and investor of an interest of 5 percent or more;	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. To full inspection of the operation, unless a full inspection was satisfactorily completed within 3 months before the date of the license expiration; and	<input checked="" type="checkbox"/>	<input type="checkbox"/>
d. Payment of the fee specified in COMAR 10.62.35.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
12. The party applying for the license acknowledges that the Commission shall renew a license that meets the requirements for renewal as stated in COMAR 10.62.19.08(C).	<input checked="" type="checkbox"/>	<input type="checkbox"/>
13. The party applying for the license acknowledges that the Commission shall issue to each registered processor agent an identification card that shall include a photograph of the face of the registered processor agent taken no more than 6 months before the date of the Application.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
14. At all times at the premises of a Licensee, every processor agent shall visibly wear the identification card issued to the registered processor agent by the Commission.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
15. The party applying for the license commits to renewing the identification card every 2 years.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
16. If a registered processor agent’s identification card is lost, destroyed or stolen, within 24 hours of becoming aware of the loss, destruction or theft, the Licensee commits to:		

- | | Yes | No |
|---|-------------------------------------|--------------------------|
| a. Reporting the loss, destruction or theft to a the Commission; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Applying for a replacement card; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. Paying a replacement card fee specified in COMAR 10.62.35. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|
 | | |
| 17. As soon as possible upon termination of a registered processor agent’s association with a Licensee, the Licensee commits to: | | |
| a. Take custody of the terminated registered processor agent’s identification card; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Obtain any keys or other entry devices from the terminated registered processor agent; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. Ensure the terminated registered processor agent can no longer gain access to the premises of the Licensee. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|
 | | |
| 18. Within 1 business day of the termination of a registered processor agent’s association with a Licensee, the Licensee commits to: | | |
| a. Notify the Commission: | | |
| i. Of the termination and the circumstances of a termination; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| ii. Whether the terminated registered processor agent has returned the agent’s identification card; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iii. Initiate delivery of the terminated registered processor agent’s identification card to the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|
 | | |
| 19. The party applying for the license acknowledges that the Commission will revoke an identification card of a processor agent upon receiving notification that a processor agent is no longer associated with a Licensee. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|
 | | |
| 20. The party applying for the license acknowledges that if a registered processor agent does not return the agent’s identification card within 30 days, the Commission shall notify the Maryland State Police and place a notice in the register of that fact. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|
 | | |
| 21. The party applying for the license acknowledges that the Licensee shall require a prospective processor agent to submit to a drug screen before commencement of association. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

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- | | Yes | No |
|---|-------------------------------------|--------------------------|
| a. The party applying for the license acknowledges that the drug screen shall be carried out following the procedures set forth in COMAR 17.04.09.04—.08. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. In addition to the drugs to be screened in accordance with the procedures set forth in COMAR 17.09.04-.08, the screen shall include any other drugs as required by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 22. The party applying for the license acknowledges that unless medically justified, a prospective processor agent who has a positive response to any tested substance on a drug screen that meets the requirements of COMAR 17.04.09.07 may not be registered by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 23. The party applying for the license acknowledges that a registered processor agent shall retain training materials and attendance records and make the training materials available for inspection. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 24. The party applying for the license acknowledges that a registered processor agent shall declare in writing that the registered processor agent will adhere to the State alcohol and drug free workplace policy, as identified in COMAR 21.11.08.03. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 25. The party applying for the license acknowledges that the Licensee will retain the declaration in the registered processor agent’s personnel record. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 26. The party applying for the license commits to notifying the Commission that the Licensee has verified that no registered processor agent has been convicted of a felony drug offense, every year, on a date determined by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 27. The party applying for the license commits to locating the premises of a Licensee within Maryland. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 28. The party applying for the license commits to conspicuously displaying the processor license at the location where the Licensee is authorized to operate. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 29. The party applying for the license commits conforming the premises and operations to all local zoning and planning requirements. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 30. The party applying for the license commits to notifying the Commission before any major renovation or modification is undertaken. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

- | | Yes | No |
|---|-------------------------------------|--------------------------|
| 31. The party applying for the license acknowledges that if the Commission does not renew a license due to a failed inspection or an inadequate Application for renewal, the Licensee may apply for reinstatement by: | | |
| a. Submitting a plan to correct the deficiencies noted during an inspection; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Amending the Application for renewal. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 32. The party applying for the license acknowledges that the Commission may decline to renew a license if: | | |
| a. The plan to correct deficiencies identified in an inspection is deficient; | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. The amended Application for renewal is deficient; or | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| c. The Licensee has repeatedly failed inspections. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 33. The party applying for the license acknowledges that a Licensee who fails to apply for renewal of a license by the date specified by the Commission, or whose license was not renewed by the Commission: | | |
| a. Shall cease operations at all premises; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. May not process medical cannabis. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 34. The party applying for the license acknowledges that a license may be reinstated upon: | | |
| a. Payment of the reinstatement fee specified in COMAR 10.62.35; and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| b. Submission of a reinstatement Application approved by the Commission. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 35. The party applying for the license may apply to change the location of the Licensee's operation. | | |
| | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 36. The party applying for the license, to change the location of the Licensee's operation, must submit an Application to the Commission along with the fee specified in COMAR 10.62.35. | | |
| | <input checked="" type="checkbox"/> | <input type="checkbox"/> |



- | | Yes | No |
|---|-------------------------------------|--------------------------|
| 37. The party applying for the license, to change the location of the Licensee's operation, may not begin processing medical cannabis at a new location until all inspections have been passed. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 38. The party applying for the license commits to providing the Commission or law enforcement agency for just cause with any recording of security video surveillance as requested. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

The undersigned attests that the Applicant organization will adhere to the statutory/regulatory requirements listed above and that they have the authority to bind the Applicant organization to the statutory requirements.

Signature

Date

Josh Genderson
Printed Name

FORM 1

AUTHORIZATION FOR RELEASE OF INFORMATION-
INVESTOR/PROCESSOR AGENT

Investor/Agent: Josh Genderson
(Investor/Agent’s Name)

I am an investor or an agent applying for a Medical Cannabis Processor
(Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission (“Commission”) is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about me. I irrevocably give consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of me; and (3) to have access to any and all information that I have provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about me.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about me that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.

Signature of Applicant

Date

Josh Genderson
Printed Name of Applicant

NOTARY

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Printed Name

Stamp or Seal

My Commission Expires: _____, 20____

FORM 2

AUTHORIZATION FOR RELEASE OF INFORMATION-BUSINESS ENTITY

Business Entity Name: Holistic Remedies LLC
Name of Person Completing Form: Josh Genderson
(Authorized Representative)

Josh Genderson is an Authorized Representative, empowered by the Business Entity to execute this form on its behalf.

Holistic Industries is an Applicant for a Medical Cannabis Processor (Grower/Processor/Dispensary) License in the State of Maryland.

The Maryland Medical Cannabis Commission (“Commission”) is required by law to conduct an investigation of an Applicant for a Medical Cannabis Dispensary License. That investigation requires the Commission to collect and evaluate information about the Business Entity. The Business Entity irrevocably gives its consent to the Commission, the Maryland State Police, and persons authorized by the Commission to: (1) verify all information provided in the license Application documents; (2) conduct a background investigation of the Business Entity; and (3) to have access to any and all information that the Business Entity has provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as information obtained by that other jurisdiction during the course of any investigation that it may have conducted about the Business Entity.

By executing this Authorization, the Business Entity authorizes any of the following entities to release to the Commission any and all information about the Business Entity that the Commission requests: any local, State or Federal unit; any commercial or business enterprise; any non-profit entity; any individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, the Business Entity expressly waives, releases, discharges and forever holds harmless and agrees to indemnify, the unit, entity, or individual that releases the information to the Commission under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally as effective as an original.

Signature of Authorized Representative

Date

Josh Genderson
Printed Name of Authorized Representative

NOTARY

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual, as an Authorized Representative of _____, appeared in person, and before me, either known to me or satisfactorily proved to be individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Printed Name

Stamp or Seal

My Commission Expires: _____, 20____

FORM 3

Trade Secret & Financial Data Notification

Holistic Industries LLC is an Applicant for a Medical Cannabis Processor License. Holistic Industries LLC understands that the Commission is an entity of the State of Maryland and any documents or data that is submitted to the State of Maryland may be disclosed by the State pursuant to a Maryland Public Information Act (“MPIA”) Request.

While the MPIA permits certain exclusions from disclosure, Holistic Industries LLC understands the State makes no guarantees or promises that such data will not be disclosed. Holistic Industries LLC has reviewed the MPIA, as it is available online at <http://www.lexisnexis.com/hottopics/mdcode>. Holistic Industries LLC understands that other helpful resources may be found at www.oag.state.md.us/Opengov.

Holistic Industries LLC understands that the documents or data it provides to the State of Maryland may not be confidential, or if confidential, may or may not be disclosed pursuant to a MPIA request.

Signature of Person or Authorized Representative

Date

Josh Genderson
Printed Name

FORM 4

Regulatory Agency Form

BUSINESS INTEREST IDENTIFICATION & AUTHORIZATION FORM

I/We, the undersigned Applicant, hereby state(s) as follows:

I/We have either applied for or are currently or have been previously licensed or authorized to produce or otherwise deal in the distribution of Cannabis in any form, in the following States or jurisdiction and corresponding agency or authority:

State & Name of Agency	Type of License	Name of License	License or Registration #
Washington, D.C. Department of Health- Medical Marijuana Program	Cultivation	Holistic Remedies LLC	MMP 00013
Washington, D.C. Department of Health- Medical Marijuana Program	Cultivation	Organic Wellness LLC	MMP 00235
[Type text]	[Type text]	[Type text]	[Type text]
[Type text]	[Type text]	[Type text]	[Type text]

I/We hereby specifically grant the Maryland Department of Health & Mental Hygiene permission to contact the above listed States or jurisdiction and their licensing agency or authority to confirm the information contained in the Application for a dispensary license. I/We hereby specifically grant permission to the above listed States or jurisdiction and their licensing agency or authority to release to the Maryland Department of Health & Mental Hygiene any and all information relating to the Application, licensure or authorization to produce or otherwise deal in the distribution of Cannabis in any form, including the following:

- a. Any denial, suspension, revocation or other sanction of the Application, license or authorization; and
- b. A copy of documentation so indicating; or
- c. A statement that the Applicant was so licensed or authorized and was never sanctioned.

The undersigned attests that the Applicant organization will adhere to the statutory requirements listed above and that they have the authority to bind the Applicant organization to the statutory requirements.

DHMH—Maryland Medical Cannabis Commission
Application for Medical Cannabis Processor License



Name- Signature

Date

Josh Genderson
Name- Printed

FORM 5

Investors, Agents, Owners & Managing Director
Certification Statement Form

<p>1. I certify that any Cannabis business entity or its equivalent in which I hold or have held an interest, has not had the registration or license, suspended, revoked, placed on probationary status or subject to any disciplinary action. If no, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>2. I certify that no business or non-profit entity on whose board of directors I have served has been convicted of a crime, fined, censured or had any registration or authorization to do business revoked or suspended, or been the subject of an administrative or judicial proceedings challenging the entity's proper operation under law. If no, please explain and refer to case or news reports.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>3. Are you a party to any legal proceeding where damages, fines, or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim? If yes, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>4. I certify that I am not delinquent on the filing of State or Federal taxes. If delinquent, provide an explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>5. If you have held a medical Cannabis or medical marijuana license or registration in another State, have you been disciplined (including, but not limited to restricted, suspended, or terminate) by any State? If yes, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input checked="" type="checkbox"/></p>
<p>6. I certify that I have not been denied a professional license, privilege of taking an examination, or had a professional license or permit disciplined by a licensing authority in Maryland or other State. If no, provide a brief explanation.</p> <p>[Type text]</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>

7. Are you employed by the State of Maryland? If no, skip next question.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
8. If you are employed by the State, please state the name, agency and position. [Type text]		
9. I acknowledge that I fully understand that: Cannabis is a Schedule I controlled substance under the Controlled Substances Act of 1970 (21 U.S.C. 801 <u>et seq.</u>); Manufacture, distribution, cultivation, processing, possession, or possession with intent to distribute a Schedule I controlled substance, or conspiring or attempting to do so, are offenses subject to harsh penalties under federal law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges; and Any activity regarding cannabis that does not comply with Maryland law or regulations is a violation of State law and could result in arrest, prosecution, conviction, incarceration, fine, seizure of property, and loss of licenses or other privileges.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
10. I certify that I have not been charged with or have been convicted of a felony offense which is reflective of an absence of good moral character.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
11. I certify my acknowledgement that Application Fees are non-refundable.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
12. I acknowledge that in filing an Application for a license and receiving a date and time stamped receipt, the following: a. The Commission is vested with broad discretion to select the Applicants to be awarded a License; and b. The Commission’s decisions in selecting the Applicants shall be final.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

DHMH—Maryland Medical Cannabis Commission
Application for Medical Cannabis Processor License



Dated this _____ day of _____, 20_____.

Signature of Owner/ Managing Director

Josh Genderson
Printed Name of Owner/ Managing Director

Sworn to and subscribed before me on this _____ day of _____, 20_____.

(SEAL)

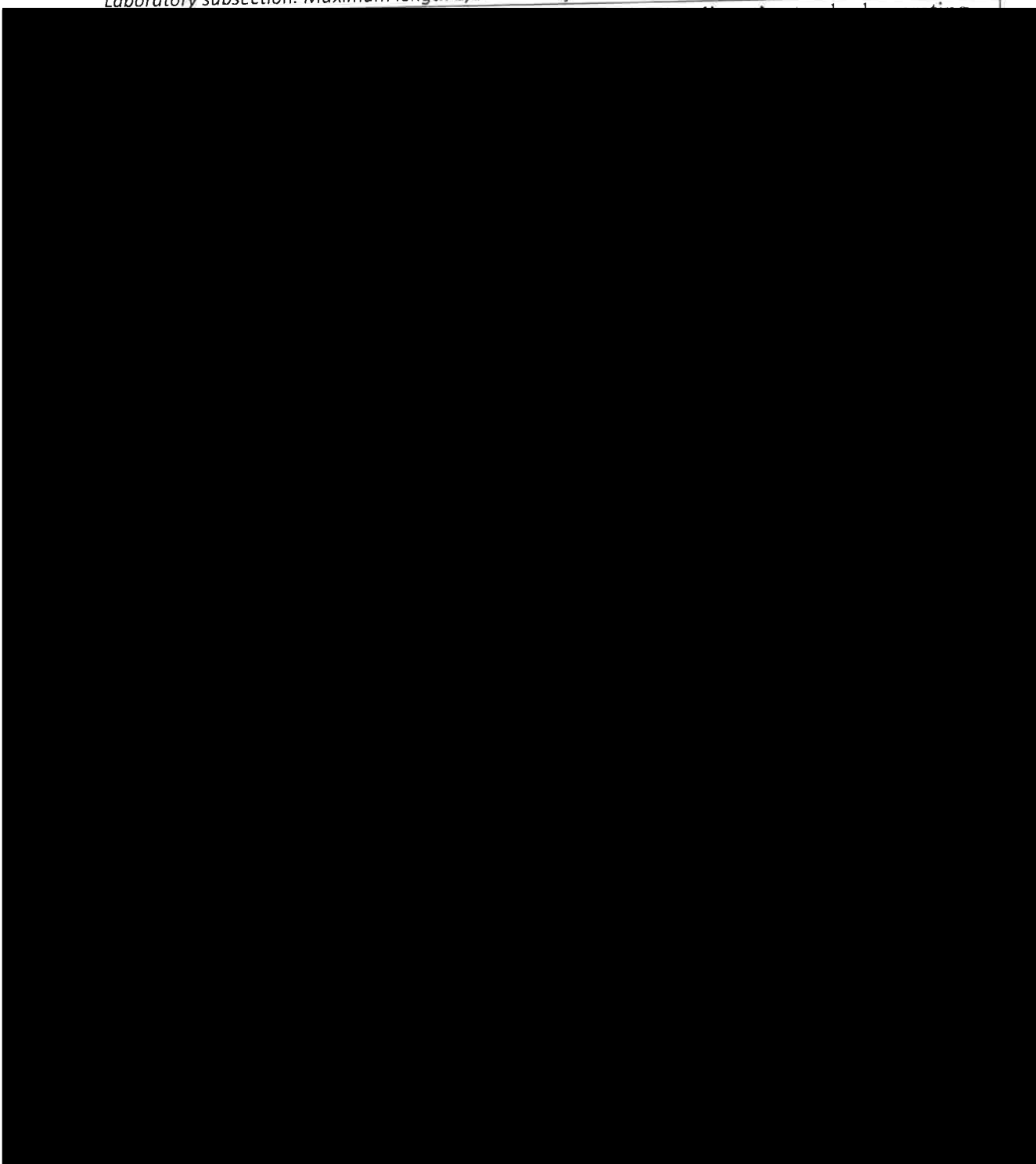
Notary Public

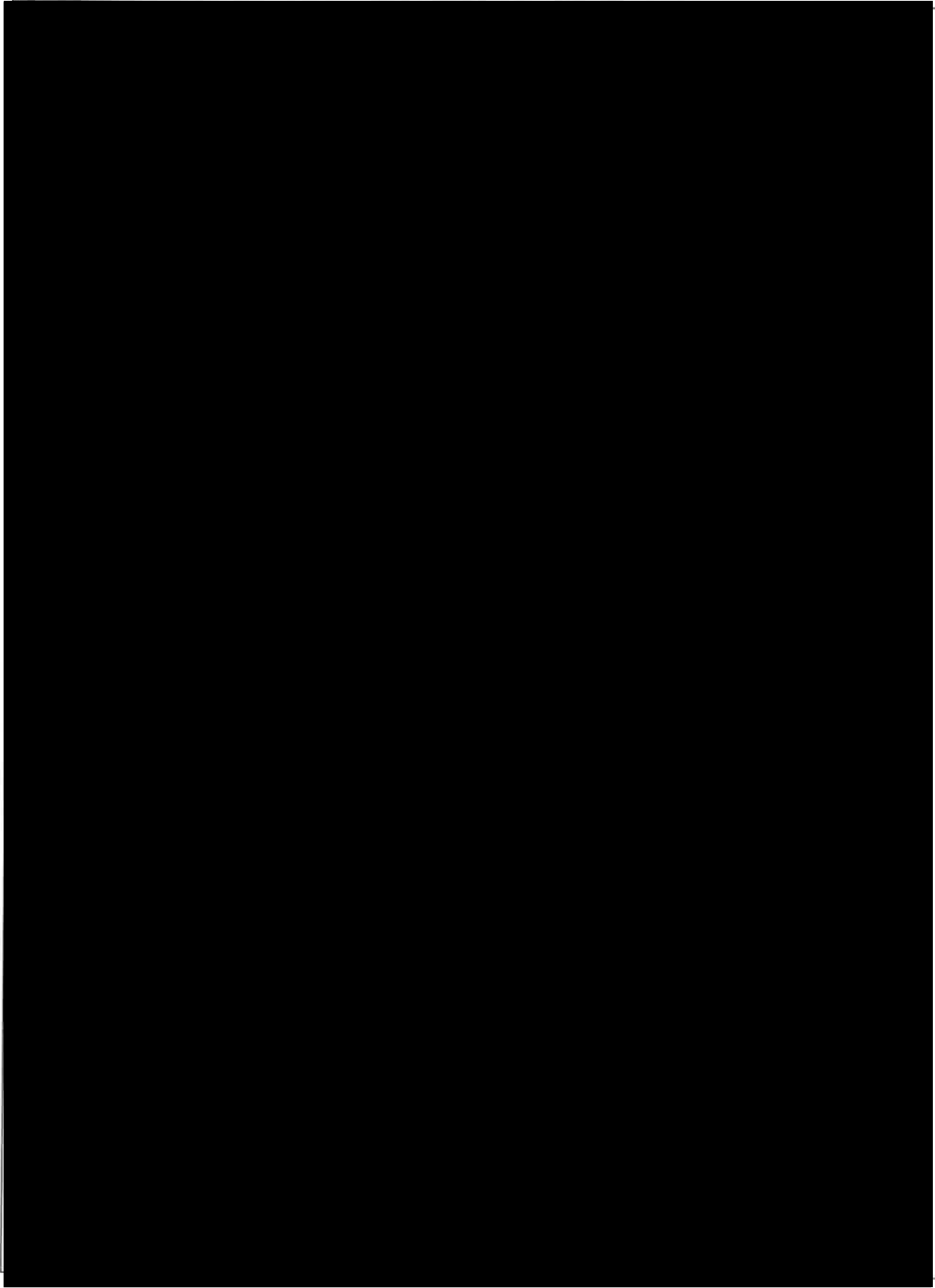
10.62.19.04

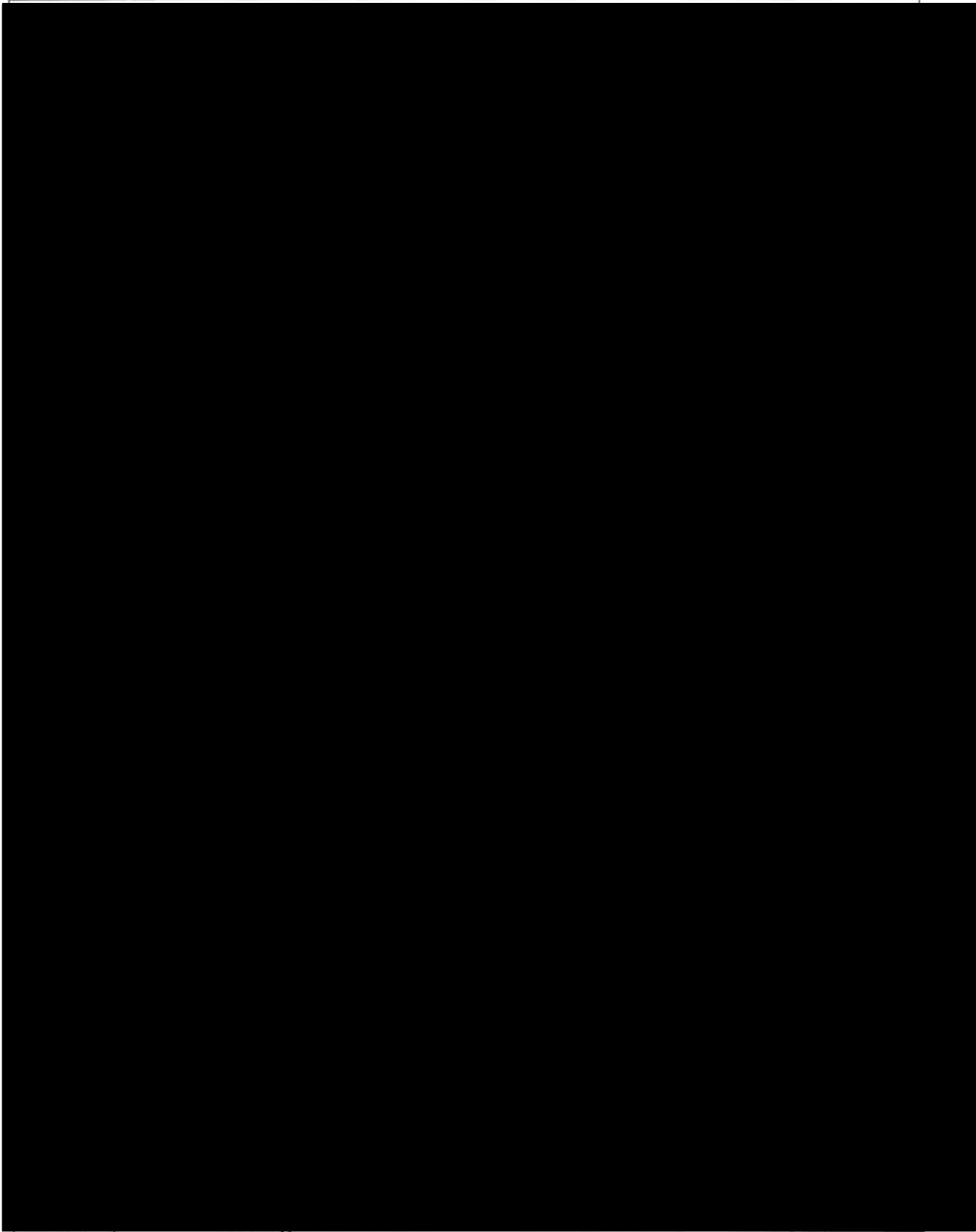
1. Please describe how the Applicant will address the following commercial laboratory, pharmaceutical manufacturing, and consumer products production factors:

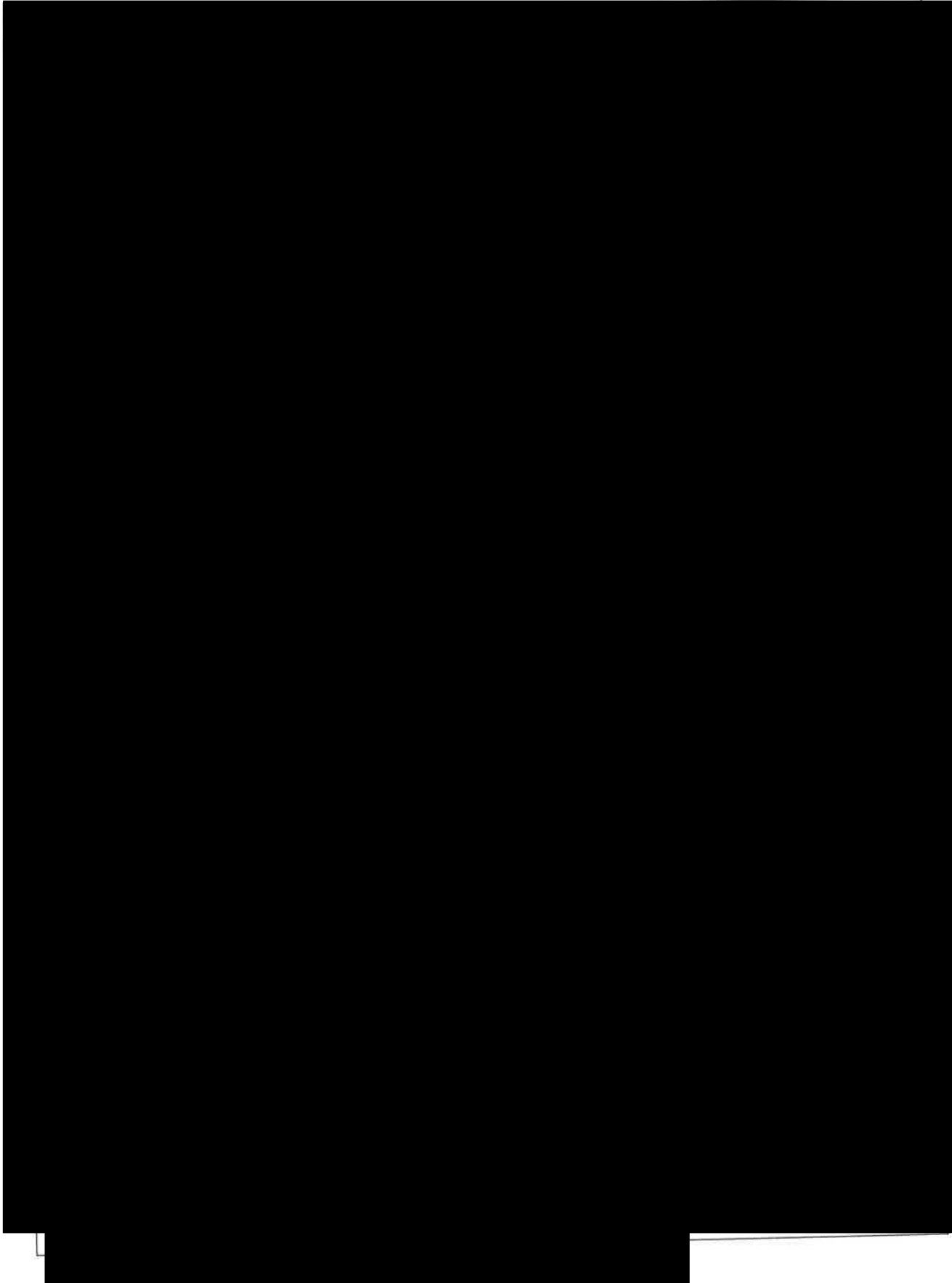
a. chemical plant manufacturing, *

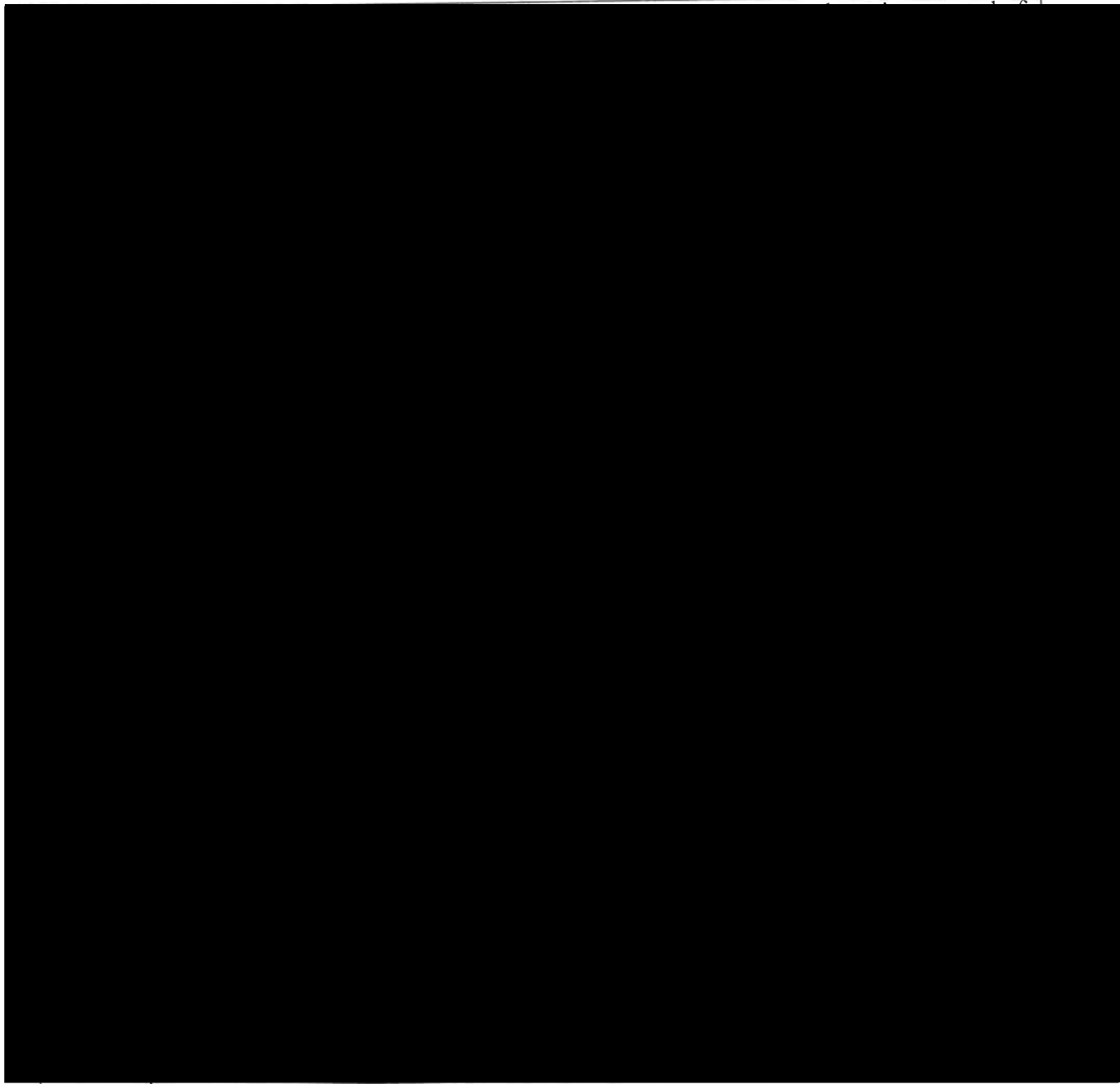
(a) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 40% of the Commercial Laboratory subsection. Maximum length 2,250 words.]





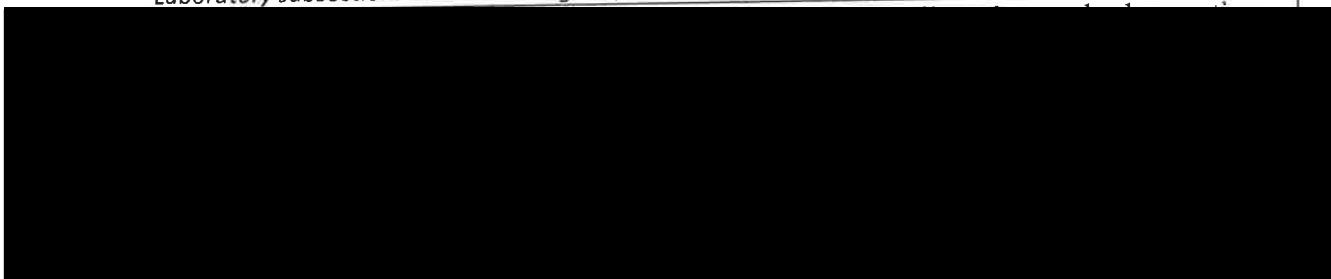


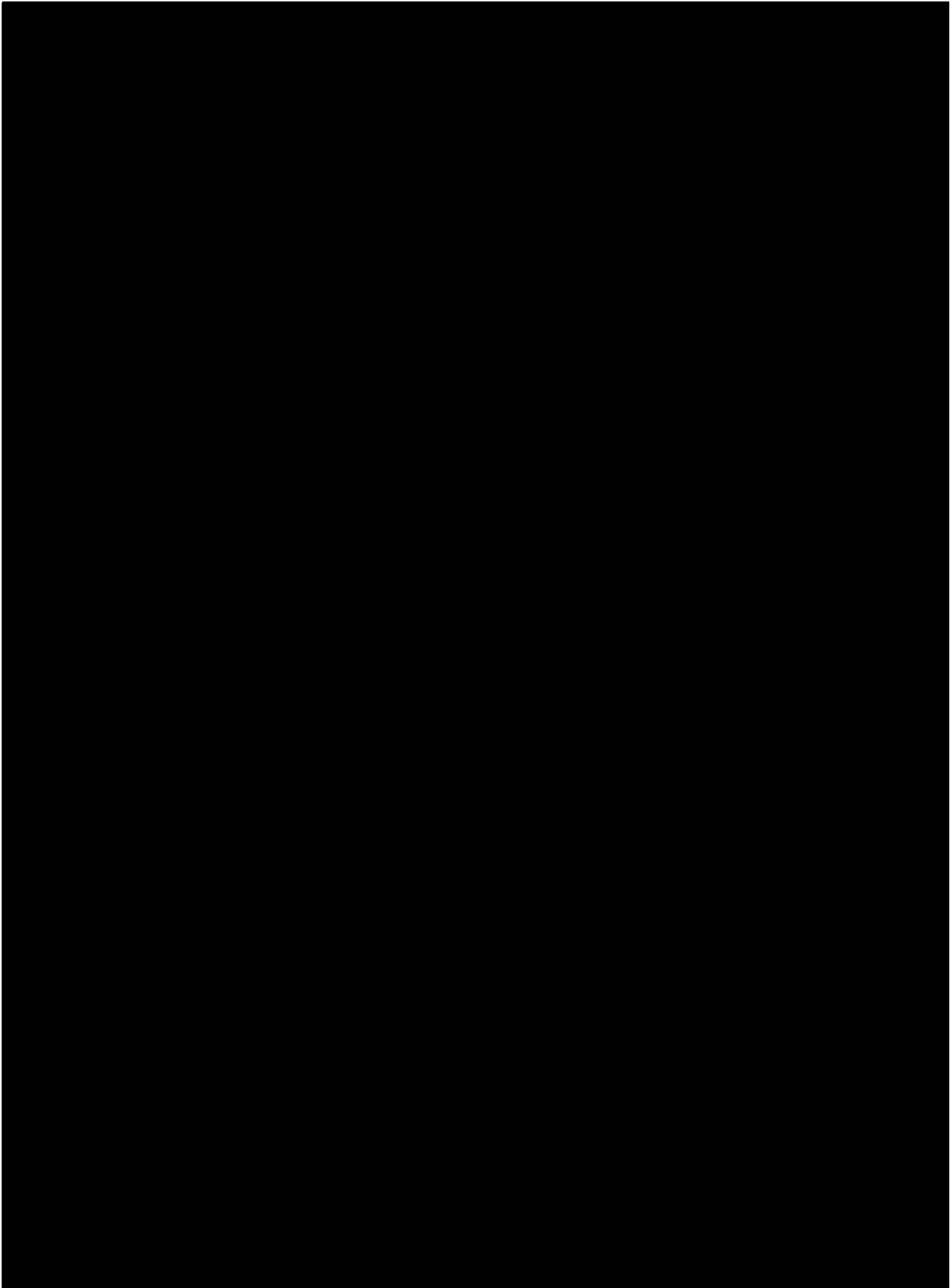


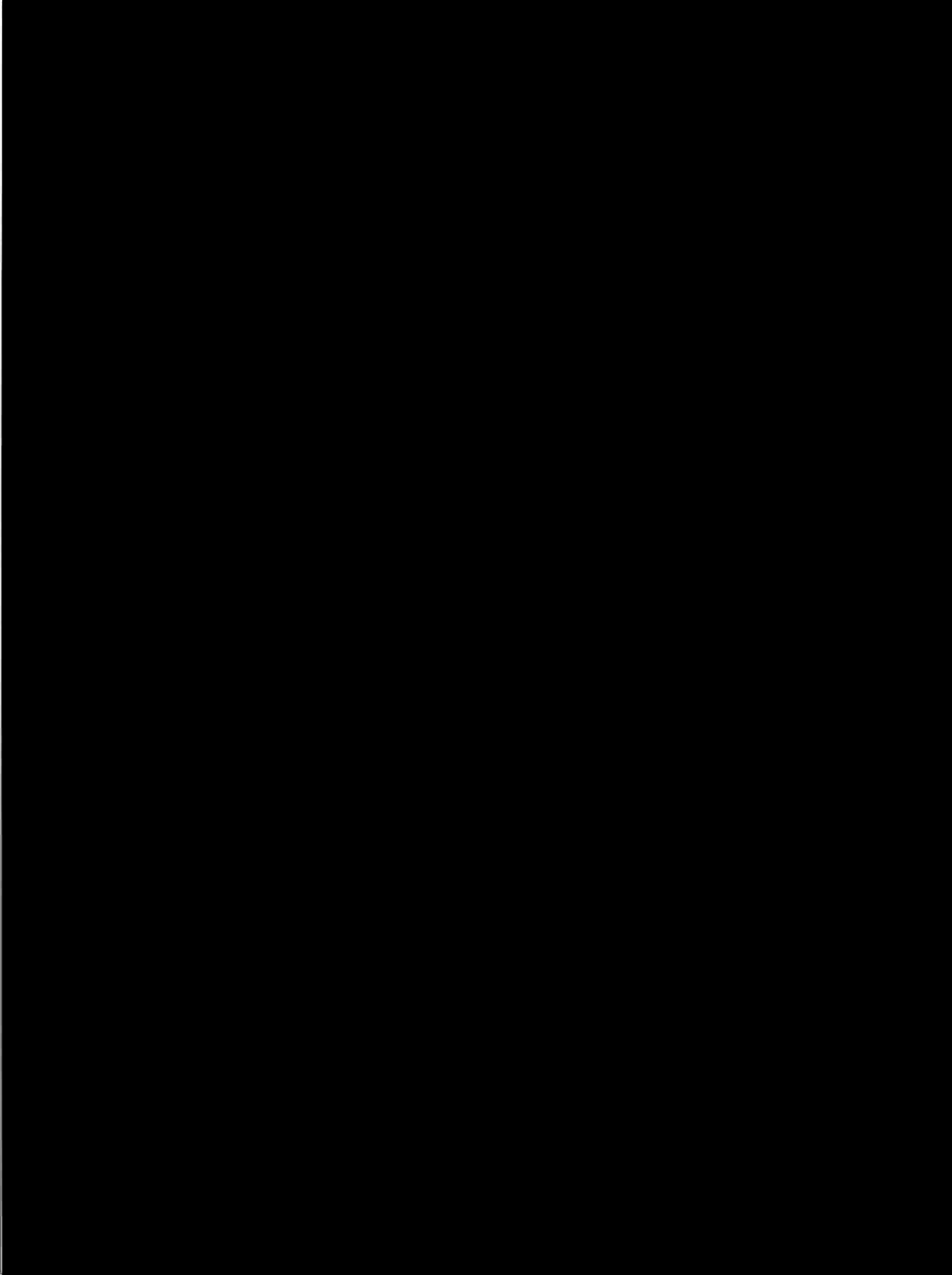


b. pharmaceutical manufacturing, and *

(b) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 40% of the Commercial Laboratory subsection. Maximum length 2,250 words.]









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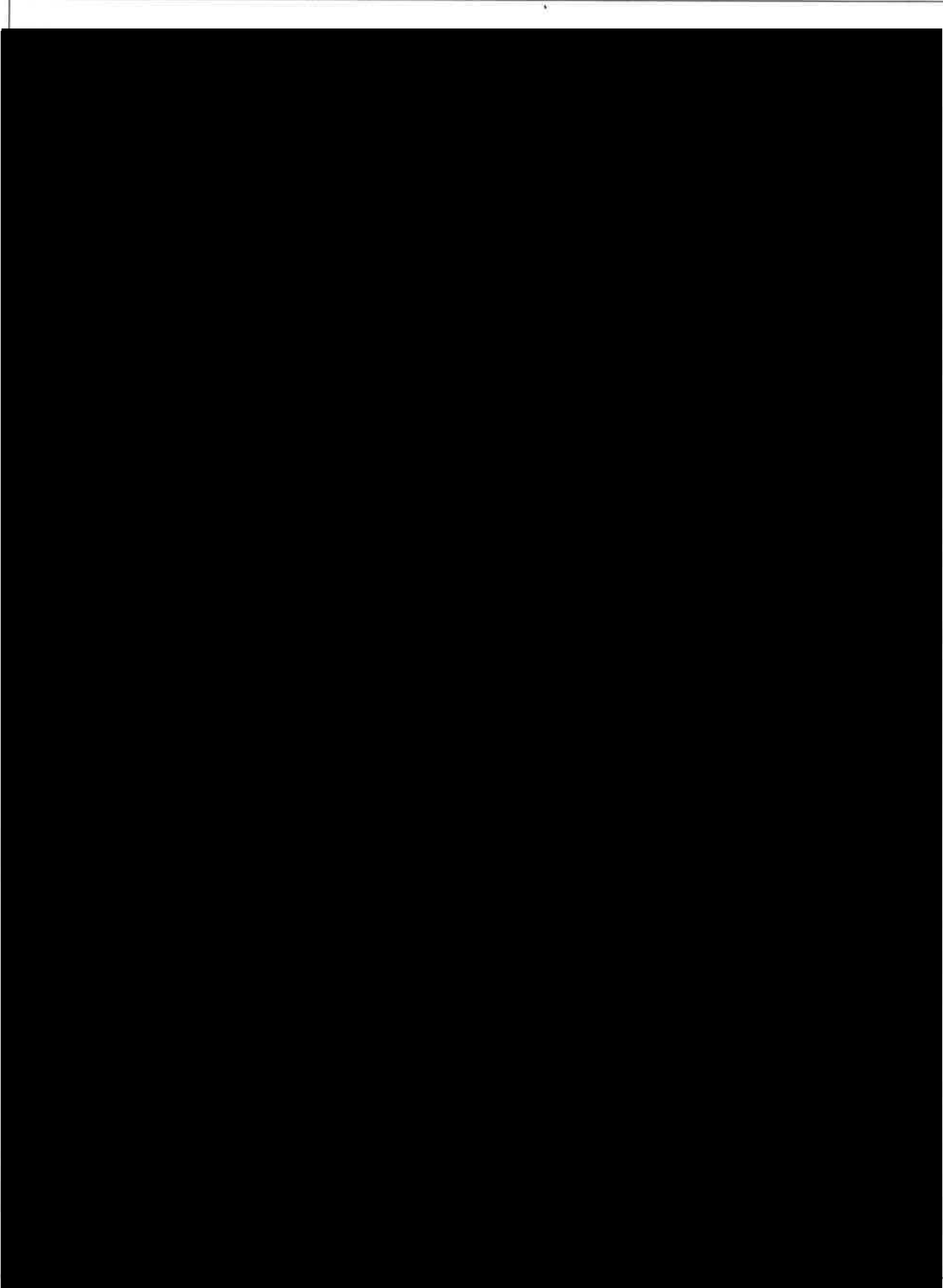
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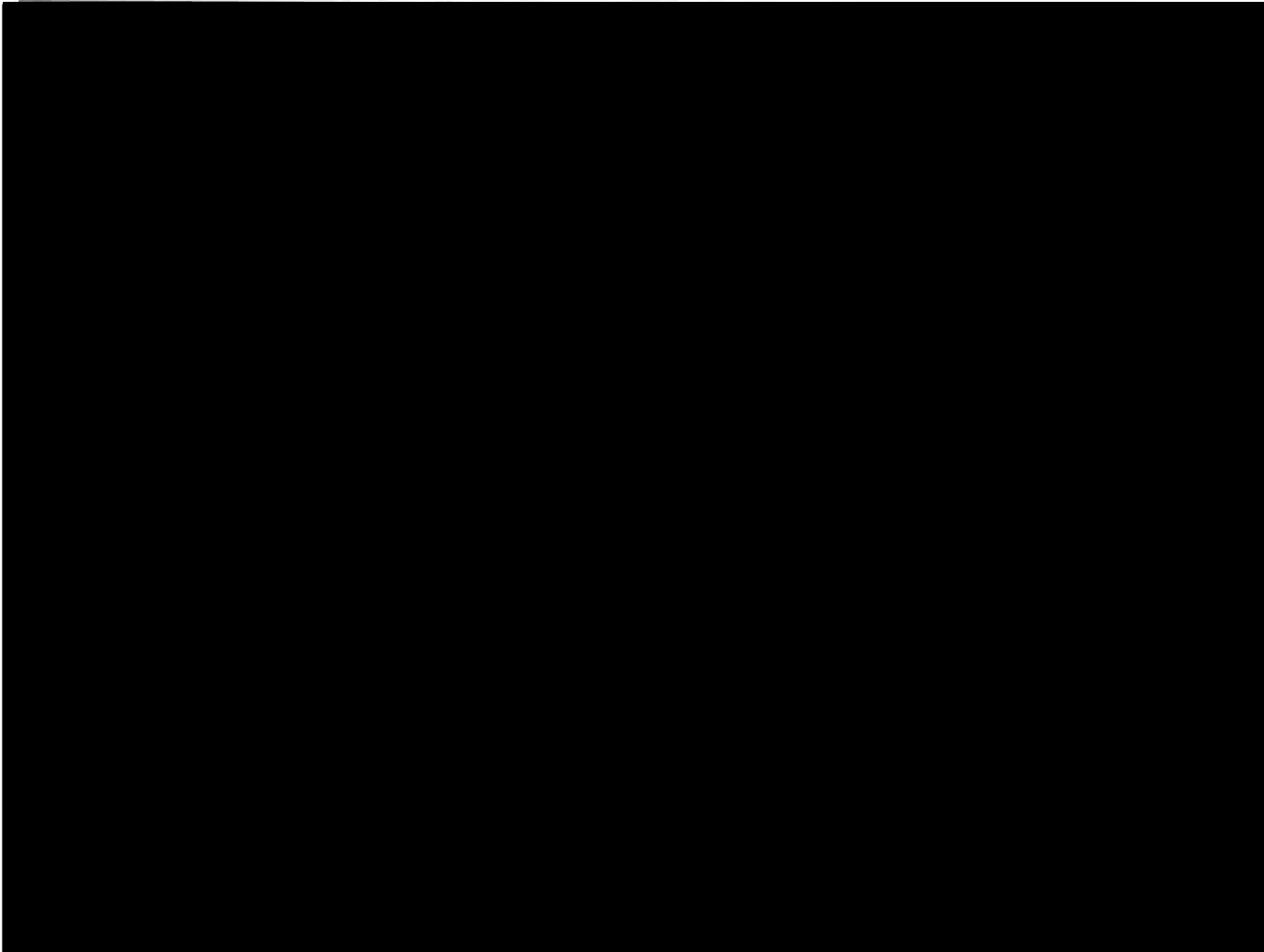
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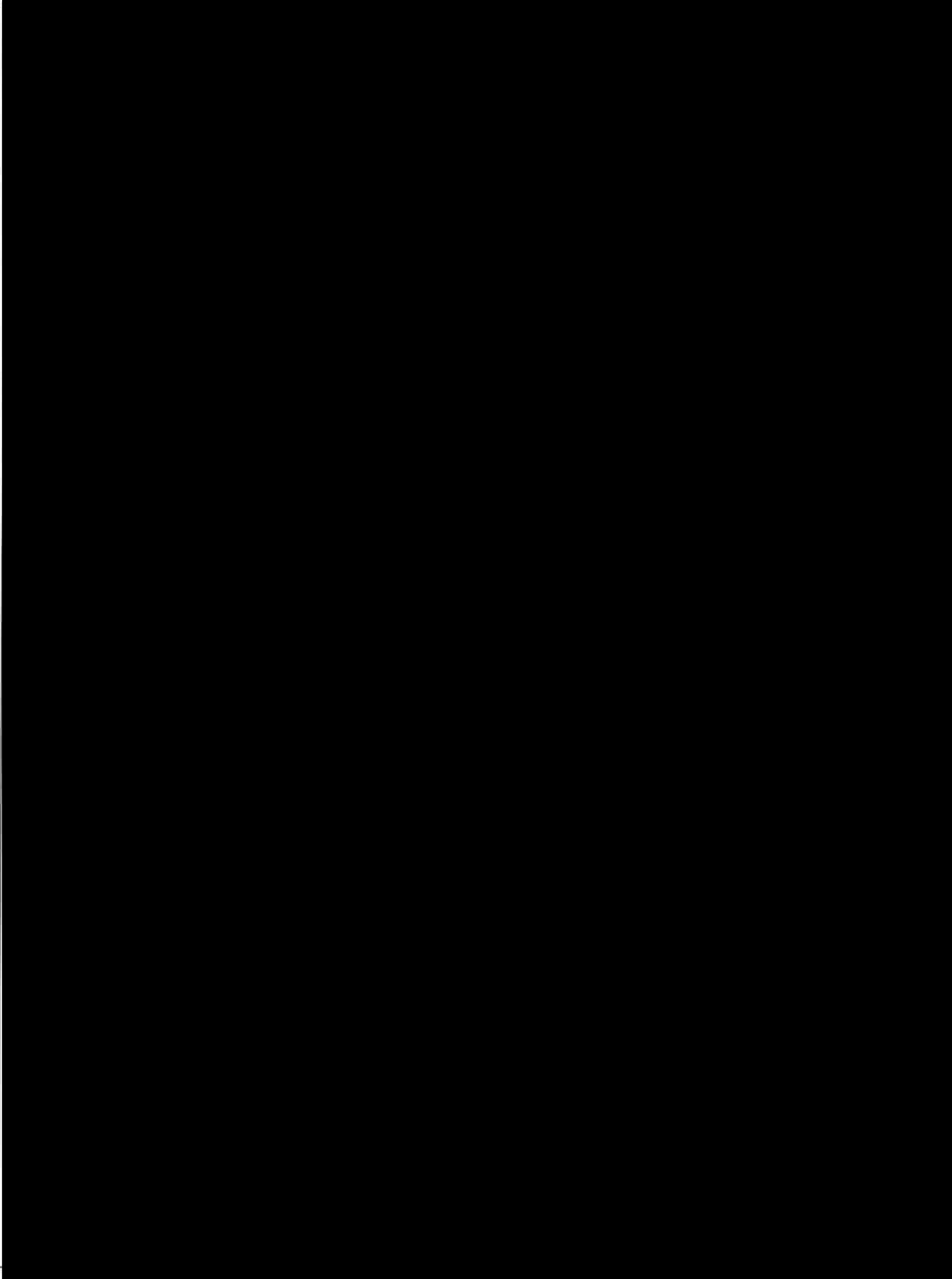


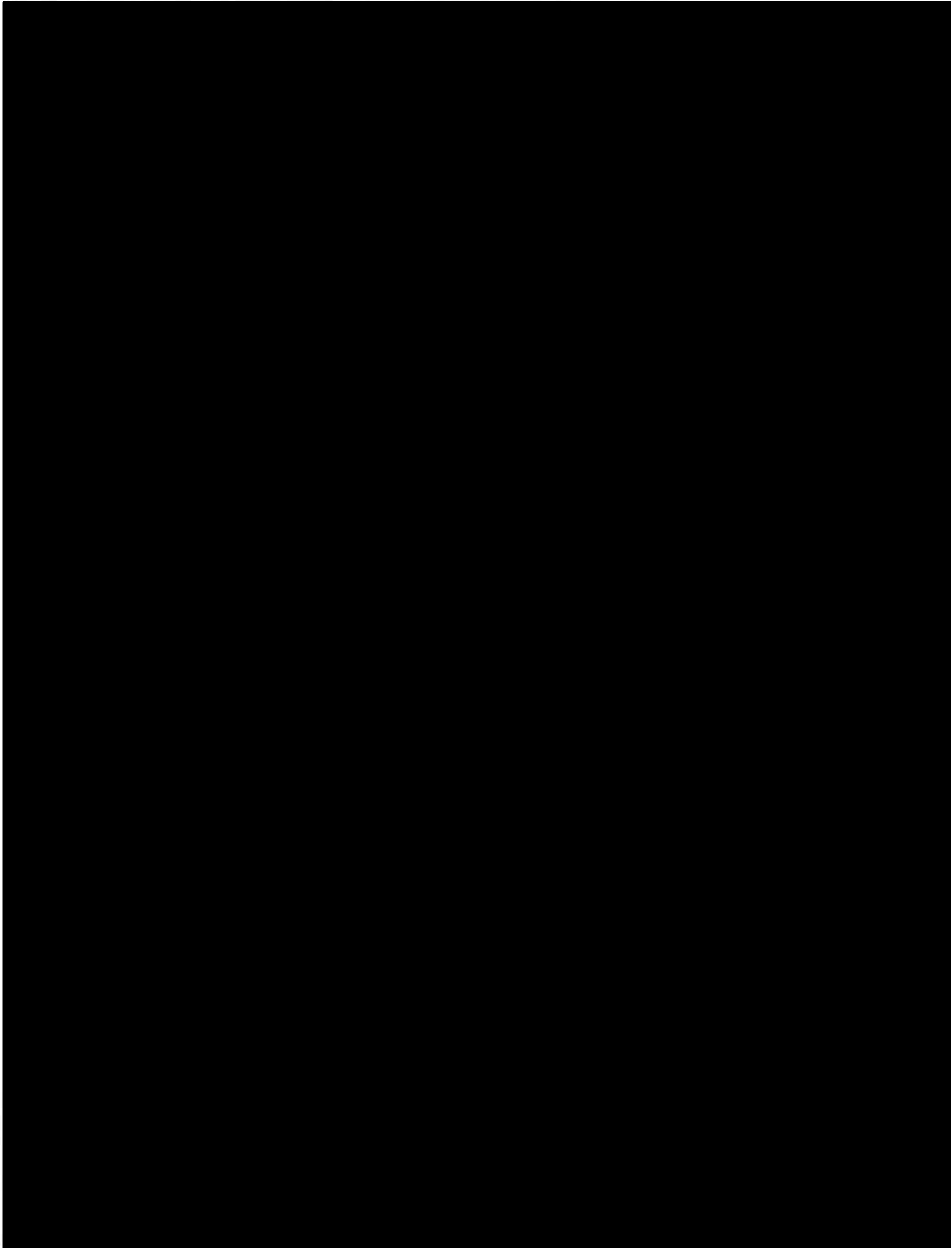


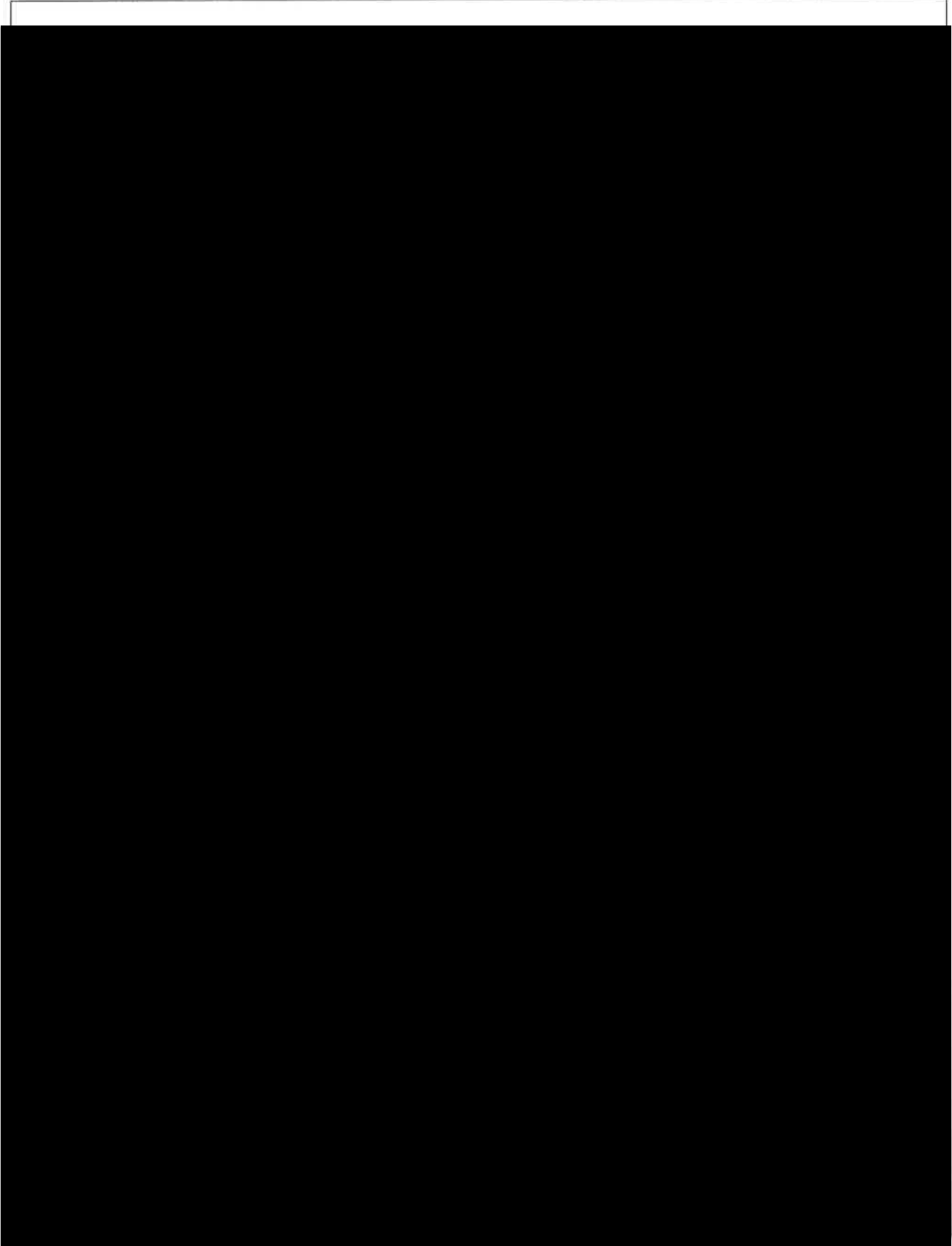
c. consumer product manufacturing. *

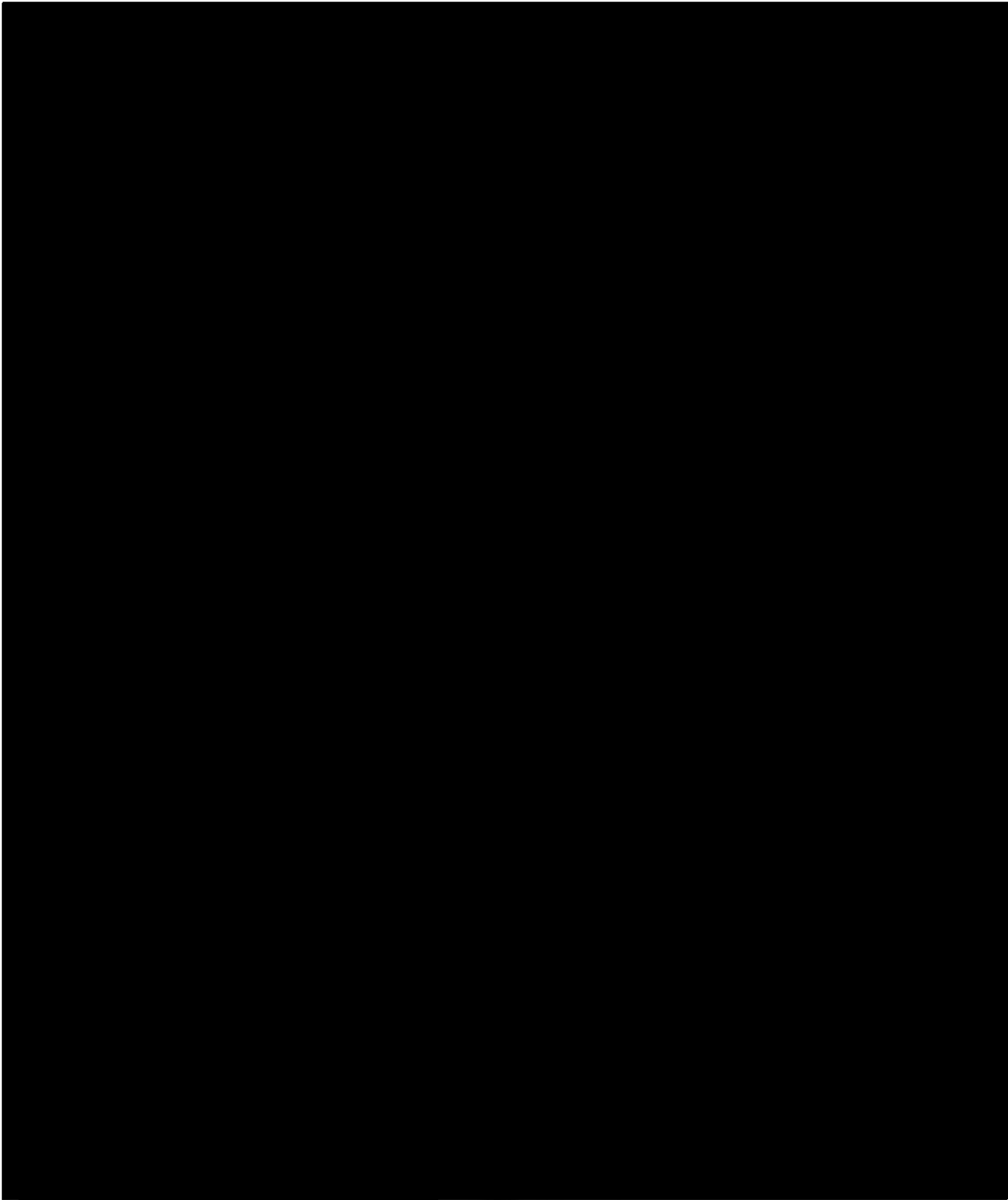
(c) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 20% of the Commercial Laboratory subsection. Maximum length 2,250 words(s).]

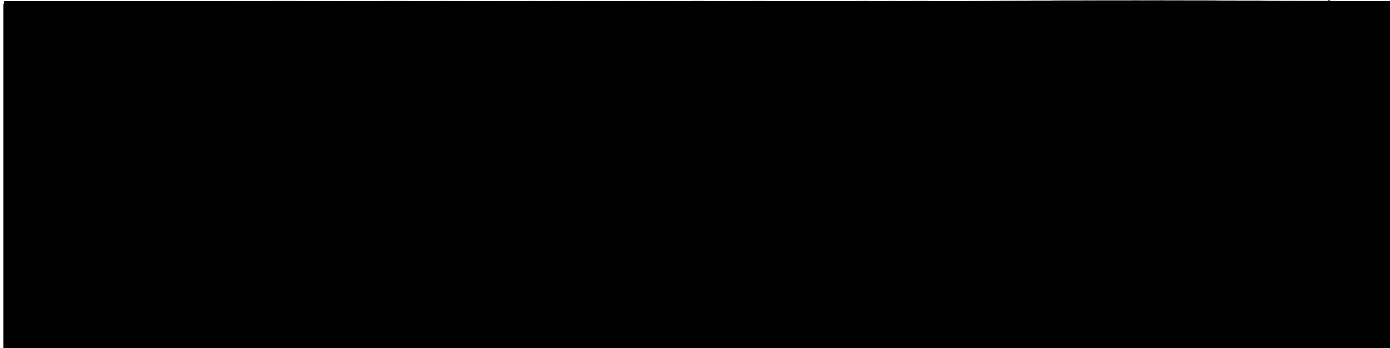










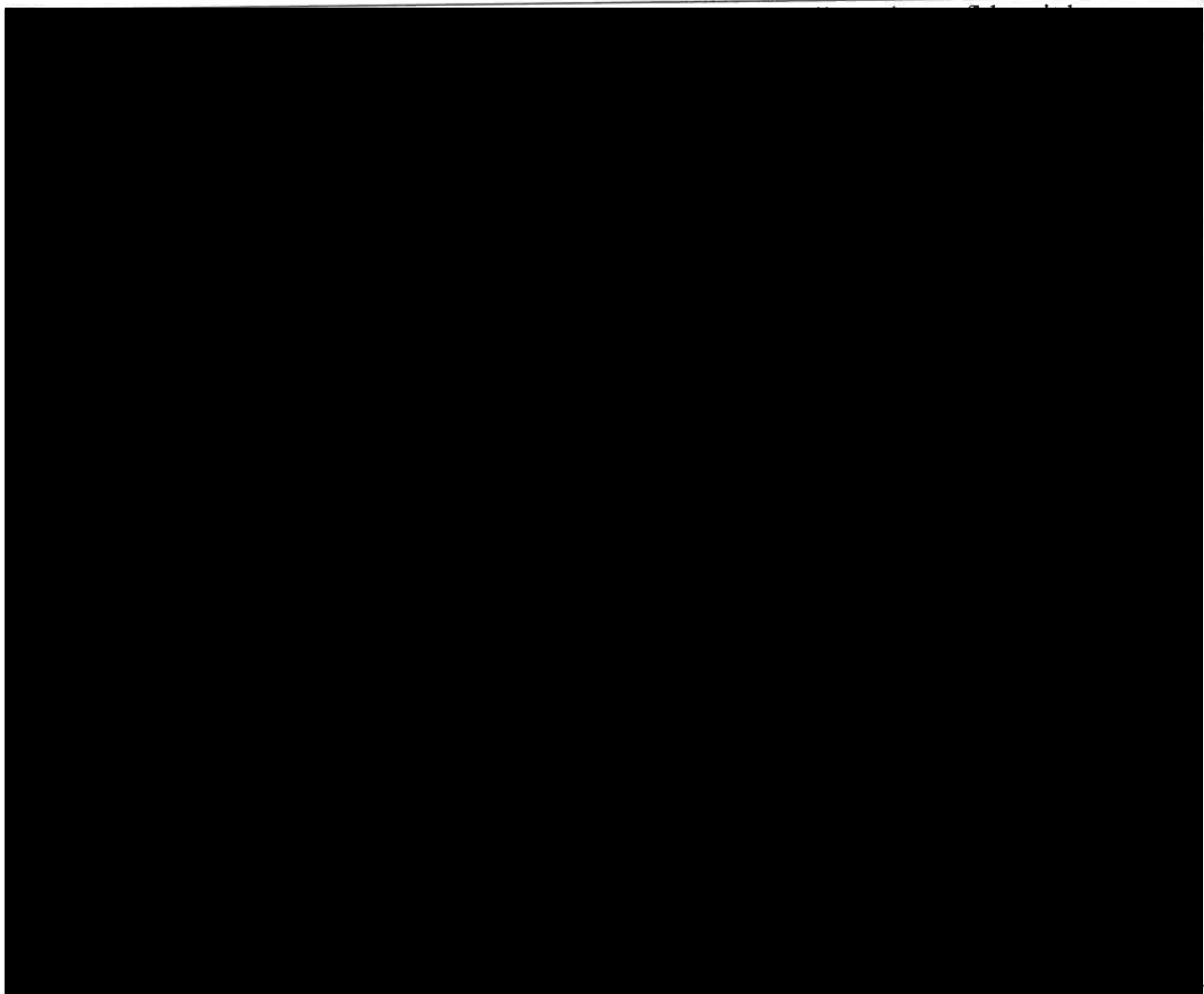


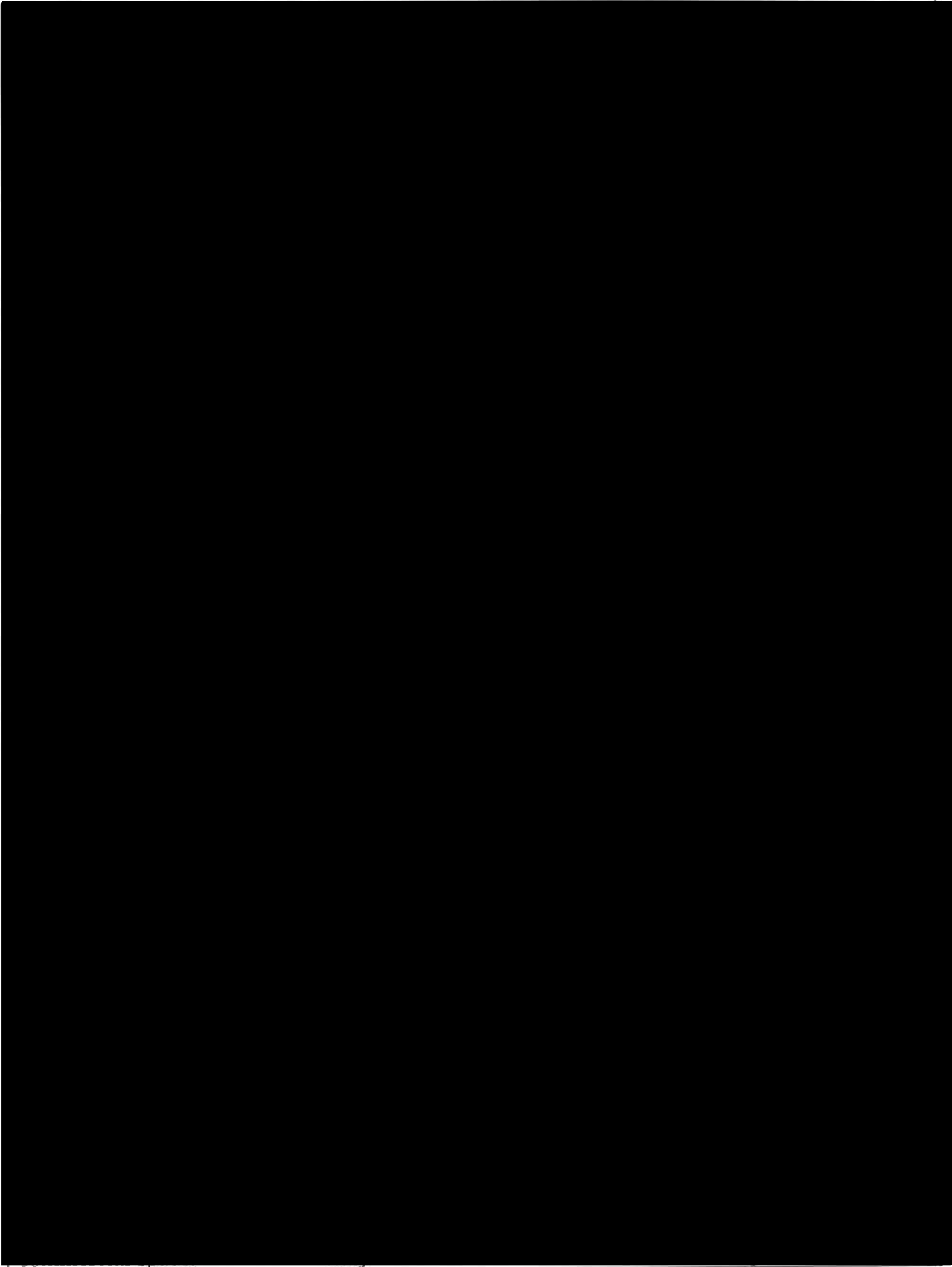
2. Please describe how the Applicant will address the following business and economic factors:

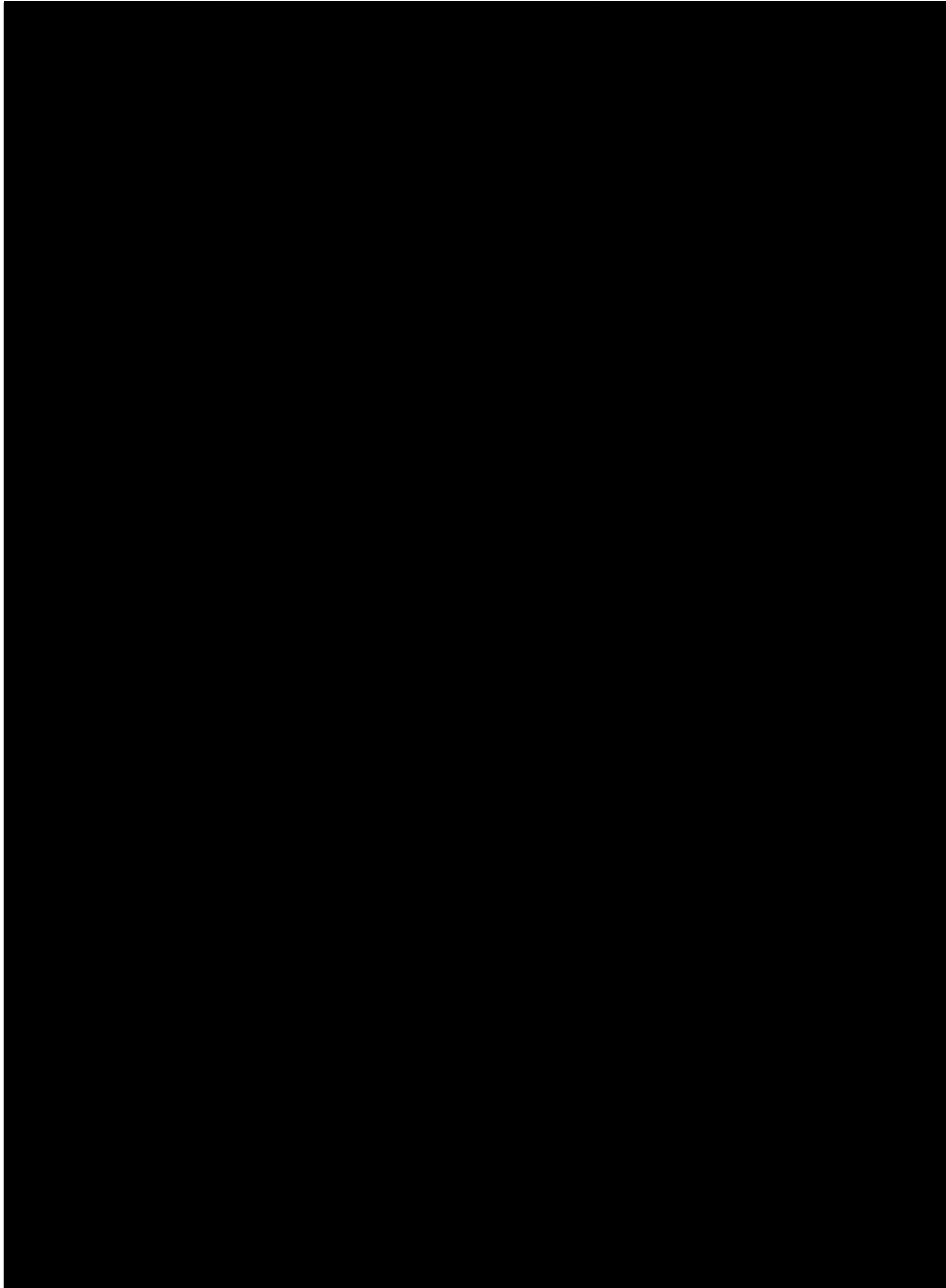
a. a business plan that (i) demonstrates a likelihood of success and (ii) demonstrates a sufficient business ability and experience on the part of the Applicant, *

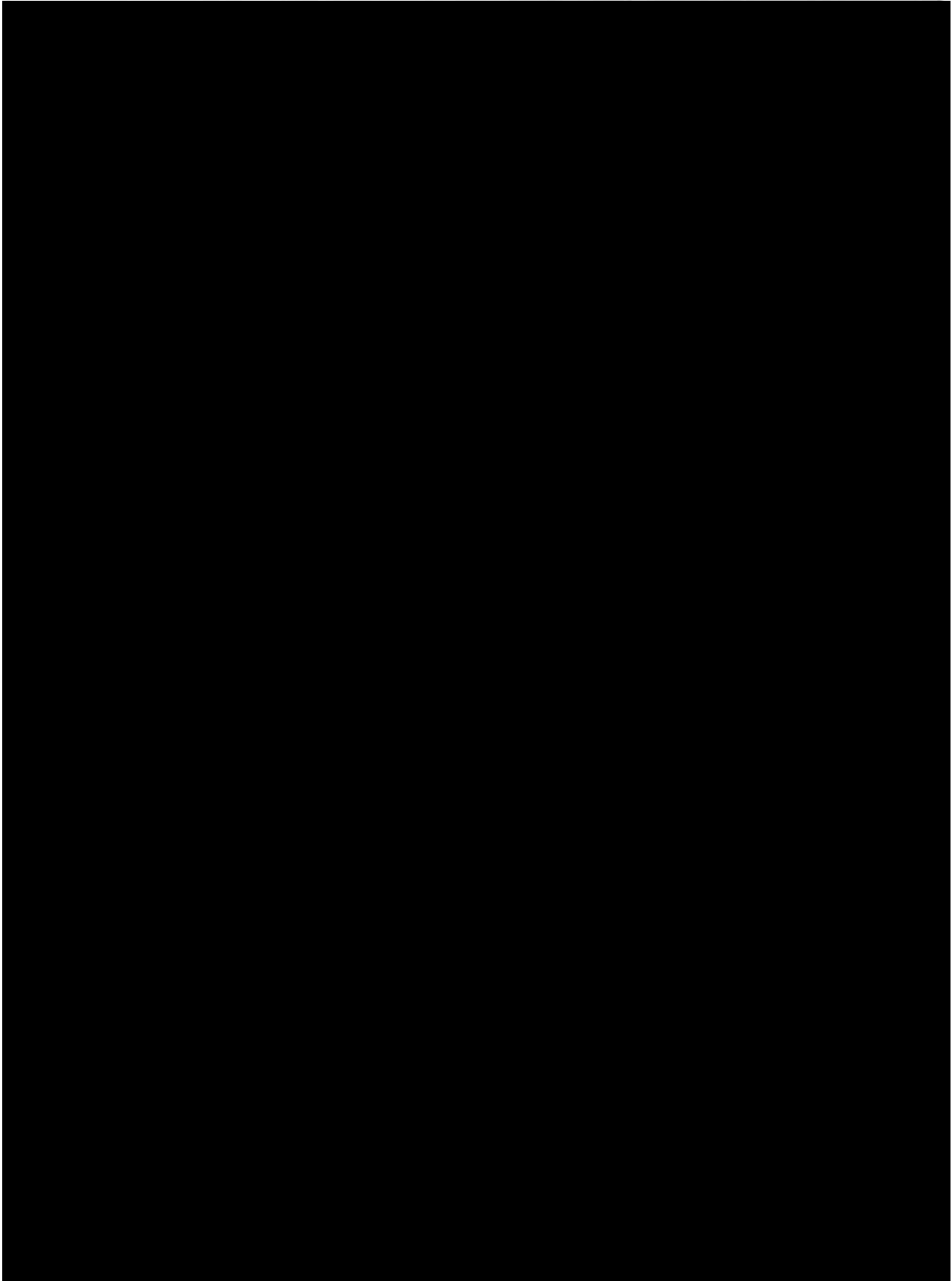
(i) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 20% of the Business and Economic subsection. Maximum length 3,150 words.]

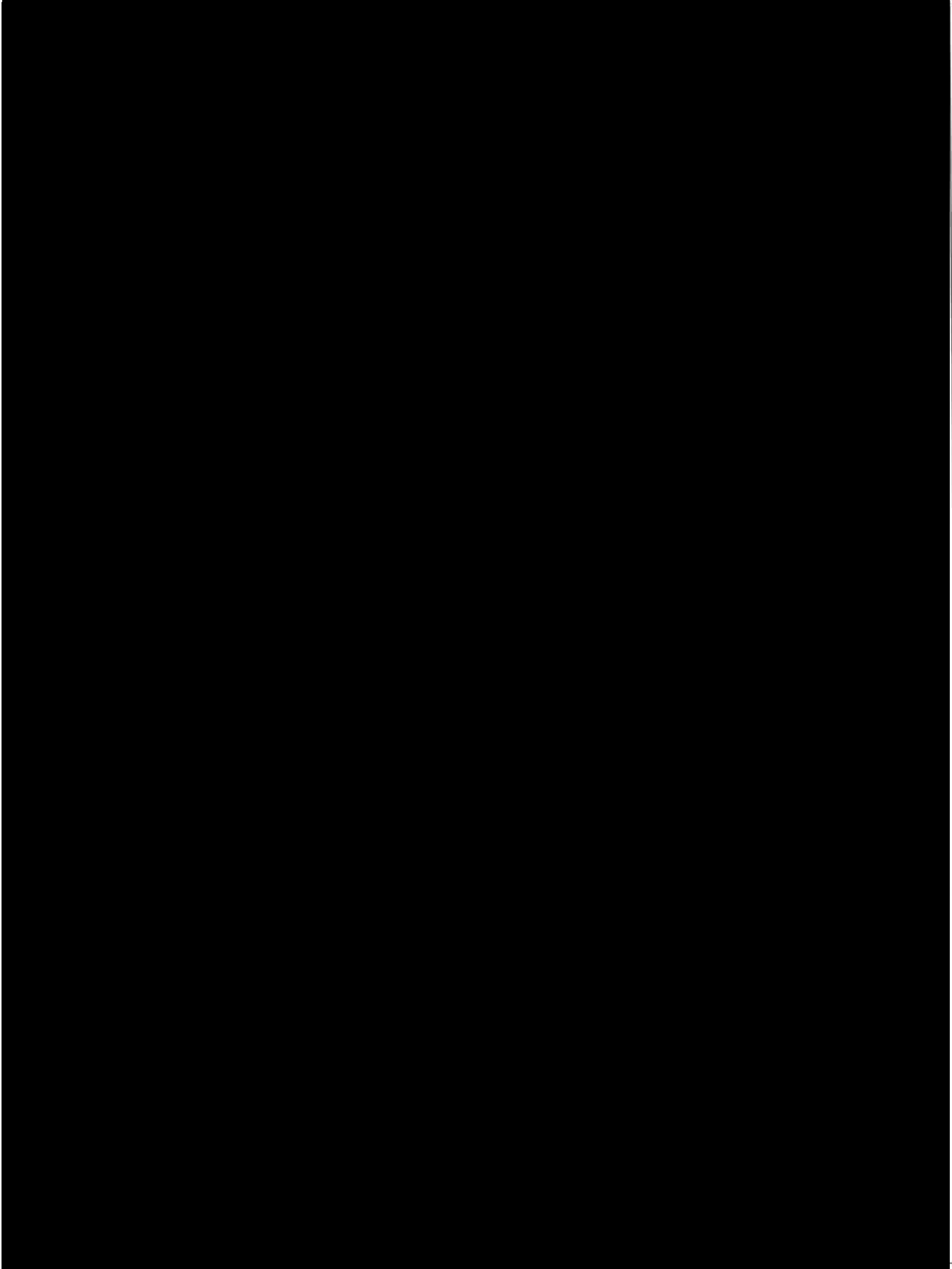
(ii) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 20% of the Business and Economic subsection. Maximum length 3,150 words.]

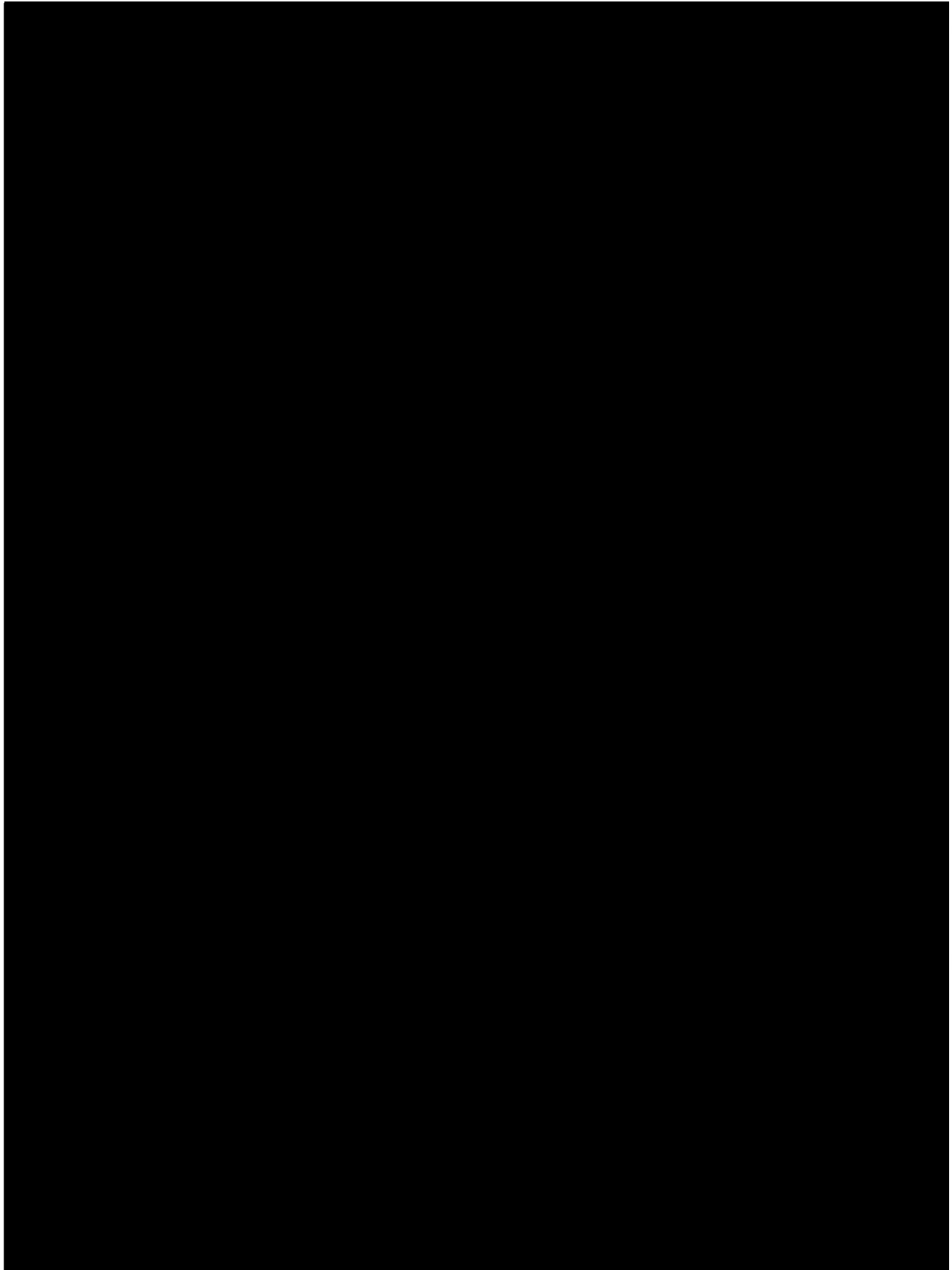


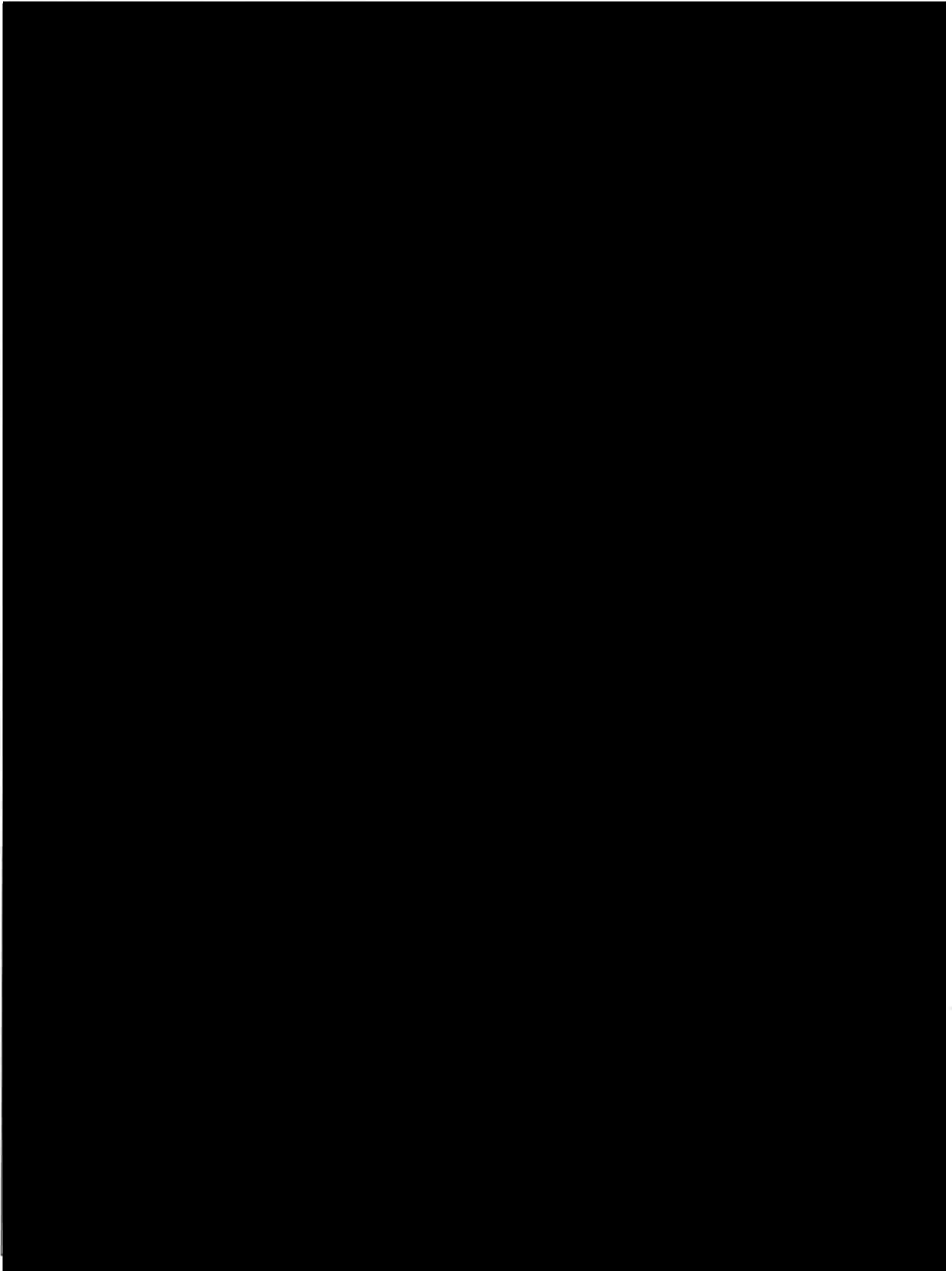


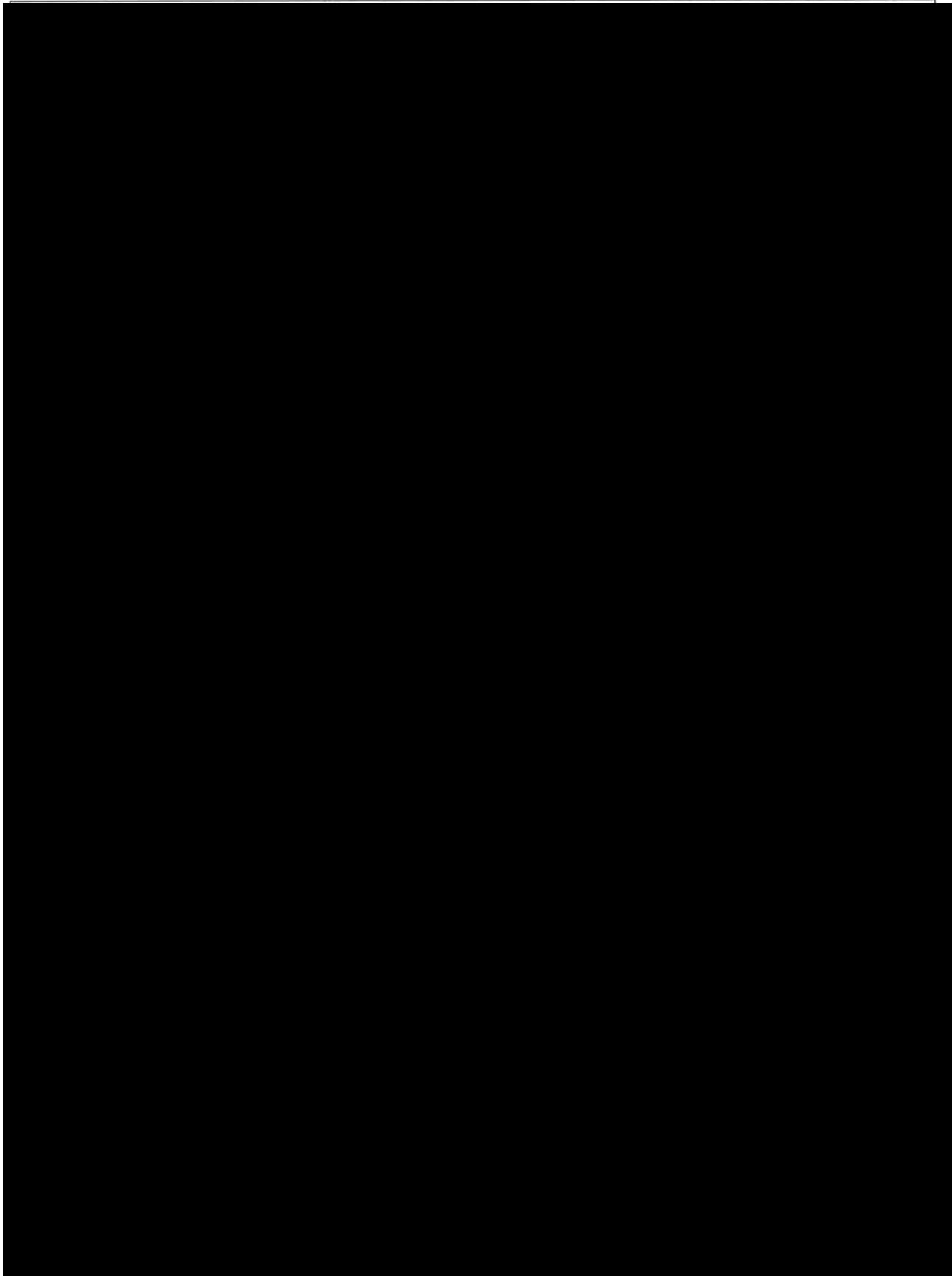


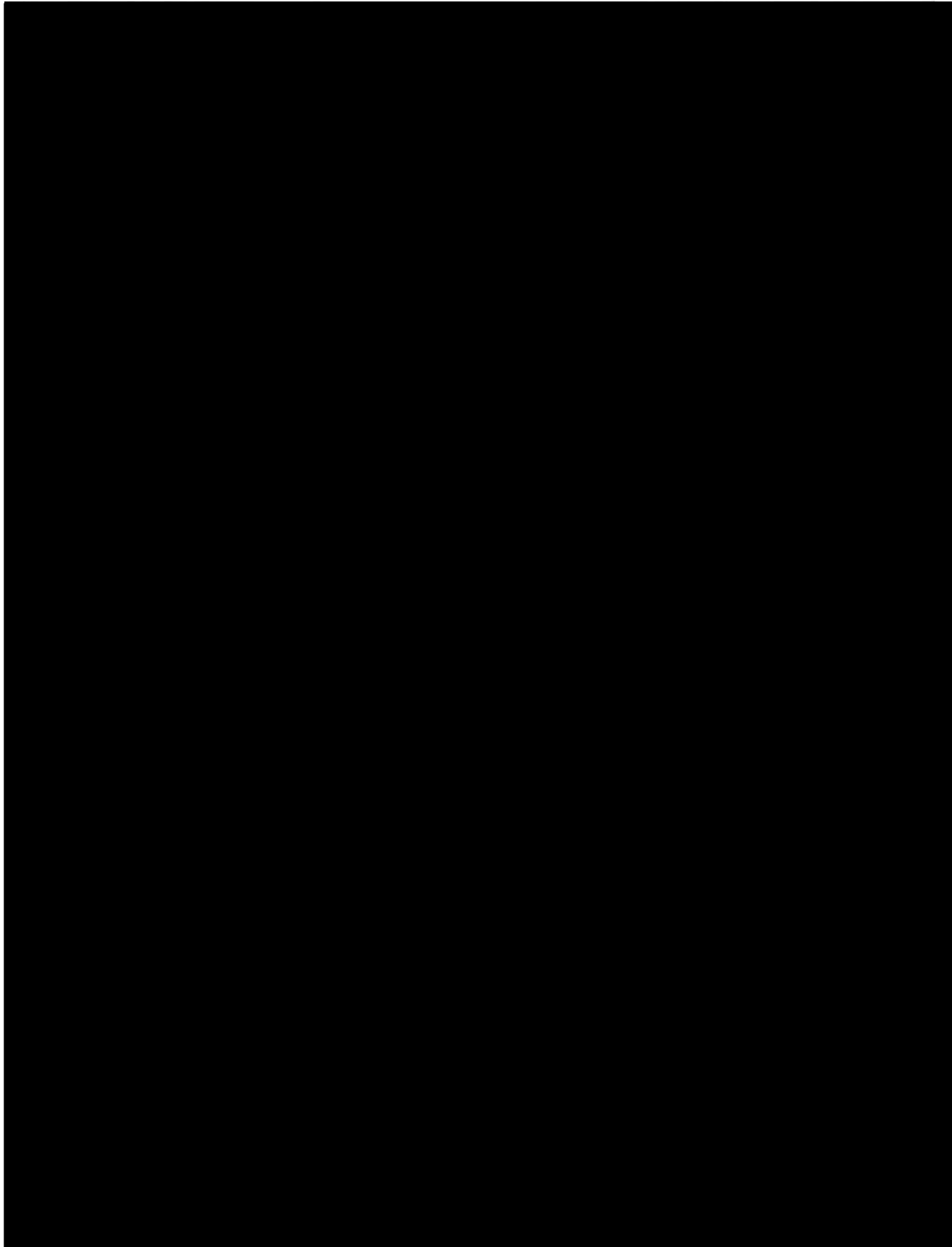


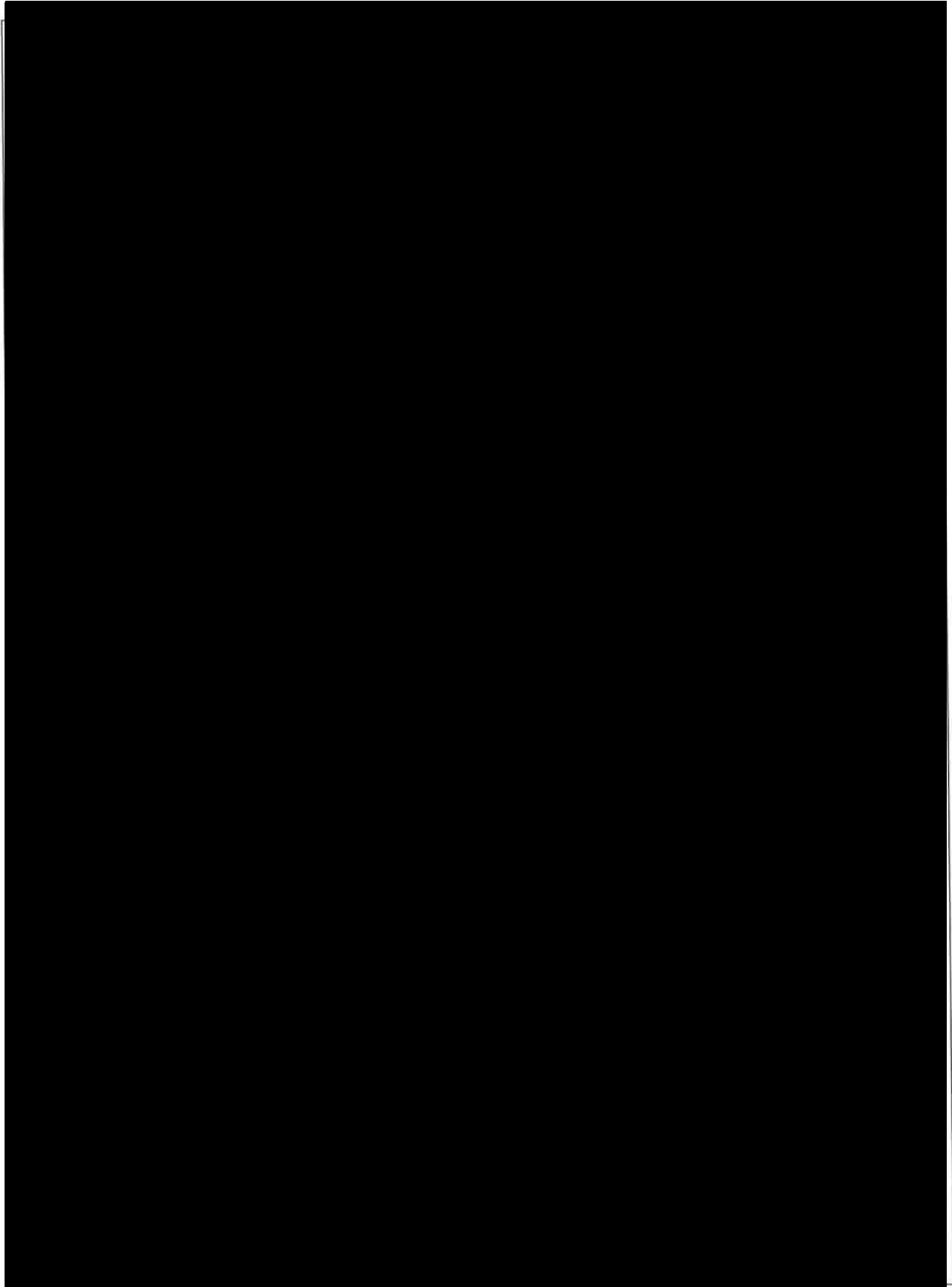


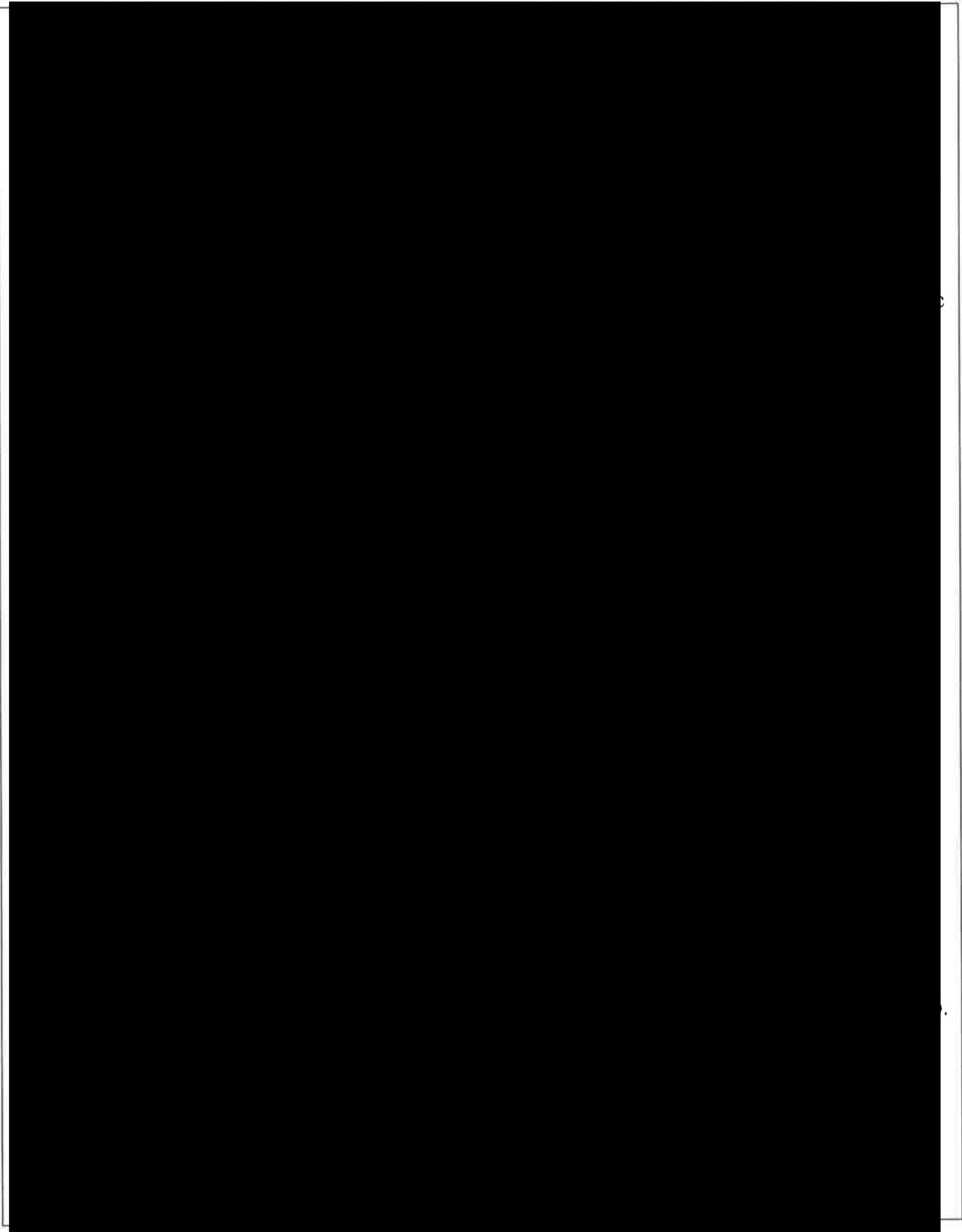






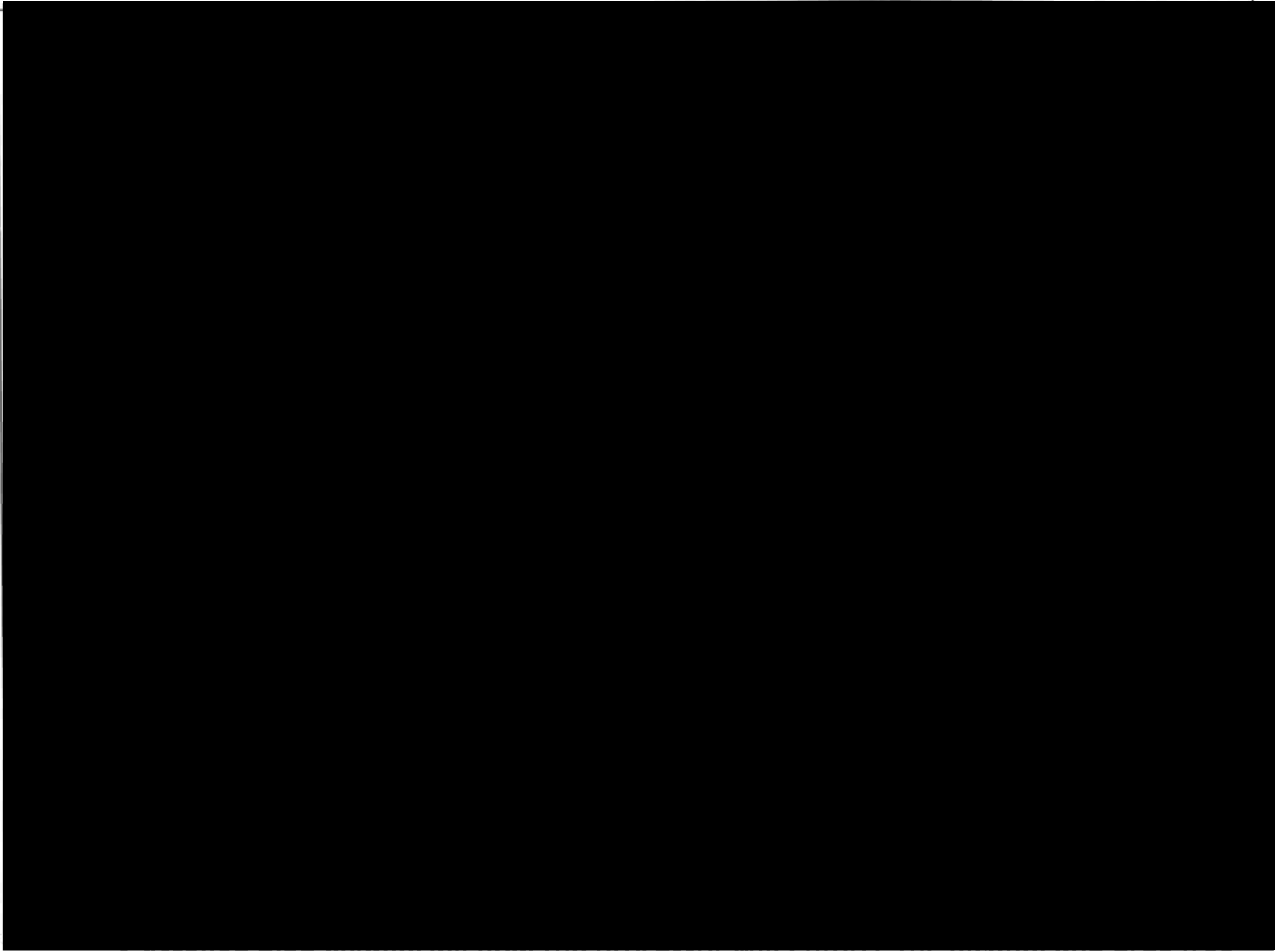






b. certify adequate capitalization and attach relevant documentation

(b) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 20% of the Business and Economic subsection. Maximum length 6 pages.]



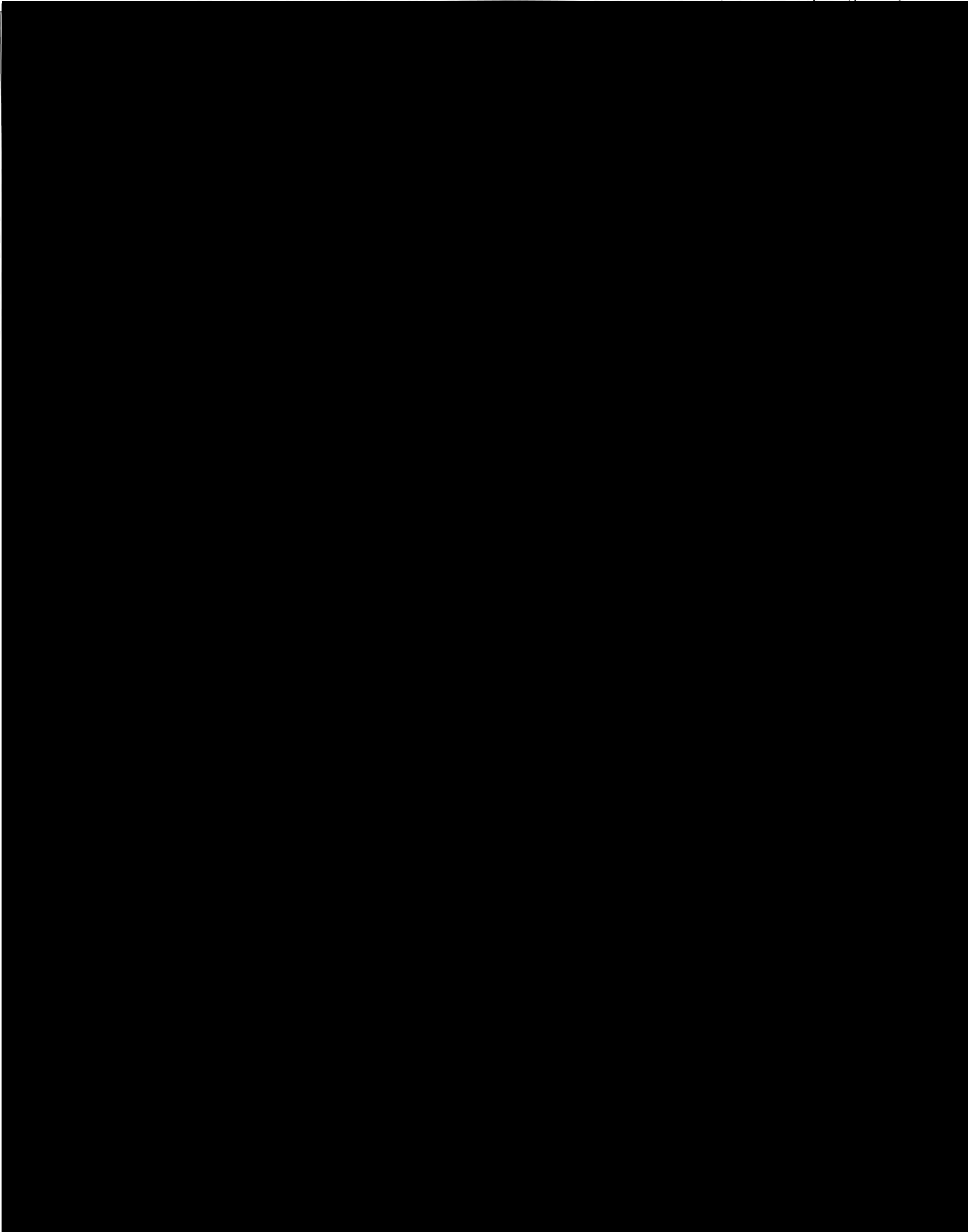
c. a detailed plan evidencing how the processor will enforce the alcohol and drug free workplace policy. *

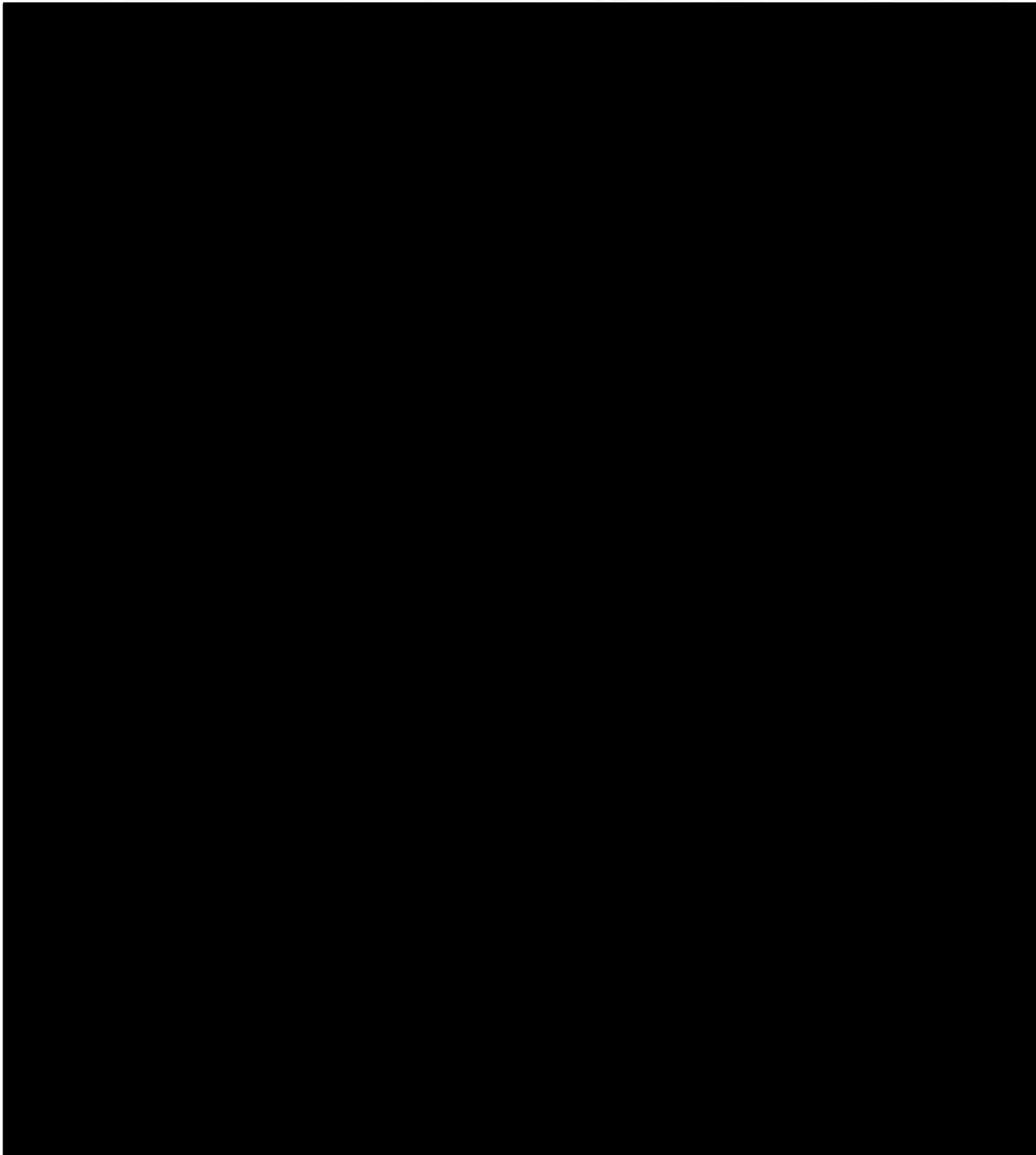
(c) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 10% of the Business and Economic subsection. Maximum length 1,575 words.]

The Applicant's Alcohol and Drug Free Workplace Policy will be adopted from the CEO's medical cannabis businesses in operation in Washington DC. This policy, which is full compliant with COMAR 21.11.08.03 (regarding the maintenance of a drug and alcohol free workplace), has been developed specifically for a medical cannabis operation and has proven successful in practice. The policy itself is designed to protect the health and safety of visitors and employees.

Generally, the Applicant is responsible for the maintenance of an alcohol and drug free work environment and must strictly enforce rules against the use of alcohol, cigarettes, and drugs (including illicit substances and abuse of prescribed medications) on the premises. Any employee who comes to work under the influence of alcohol or drugs (including those coming to work while intoxicated on prescription medication) will be excused from their shift and are subject to disciplinary action, including suspension or termination. There is a zero tolerance policy for infractions. Possession, use, sale or other criminal act related to illegal drugs that takes place outside of work is prohibited and is grounds for suspension or termination as well.

Employees who wish to smoke cigarettes may do so during their breaks so long as they are





3. Please describe how the Applicant will address the additional factors to:

- a. certify Maryland residency among the owners and investors and attach relevant documentation, ***

(a) [Reference 10.62.19.04 of the regulations. Graded Yes or No. Weighted 20% of the Additional Factors subsection. Maximum length 1 pages.]

The Applicant hereby certifies that an investor and a majority of its owners and Board of Advisors are prominent Maryland residents.

Please refer to the addenda for a true and correct copy of a representative owner/investor’s Maryland driver’s license.

Note, the Applicant is a limited liability Company incorporated and in good standing in the State of Maryland.

b. certify that the Applicant is not in arrears regarding any tax obligation in Maryland and in any other jurisdictions and attach relevant documentation, *

(b) [Reference 10.62.19.04 of the regulations. Graded Yes or No. Weighted 30% of the Additional Factors subsection. Maximum length 1.5 pages.]

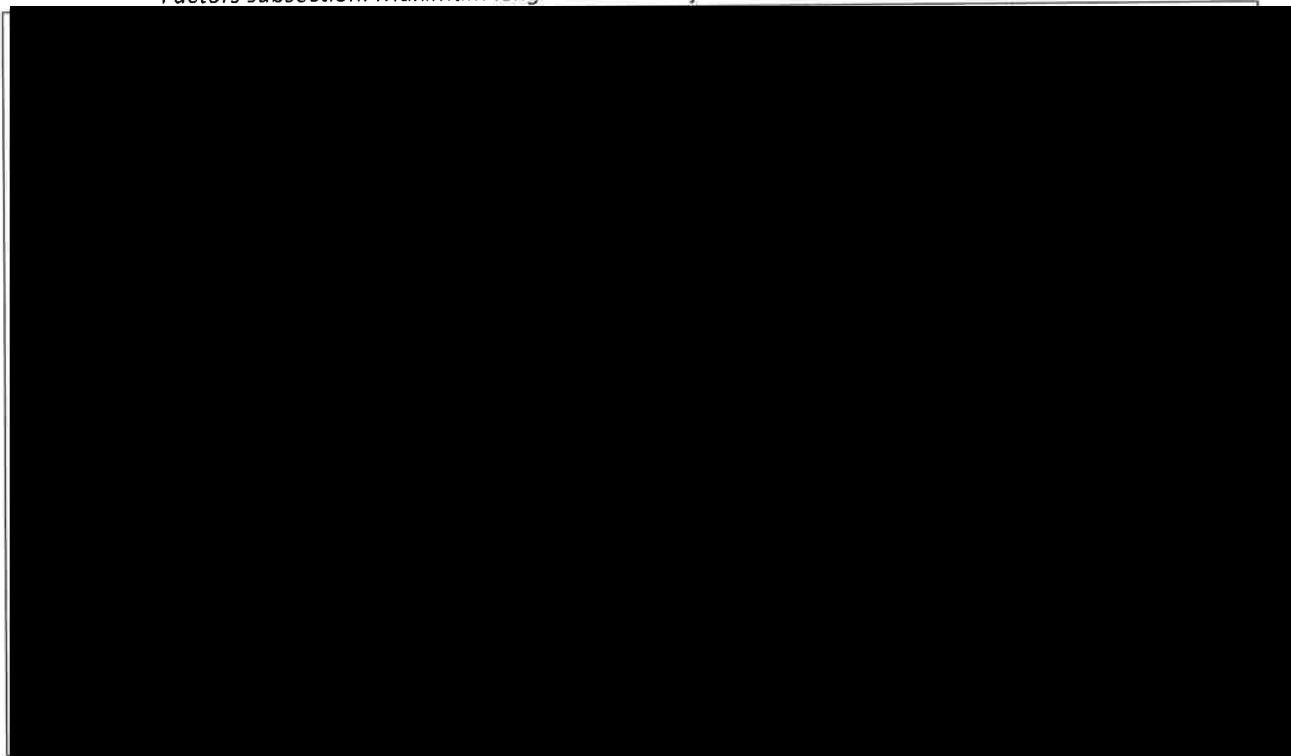
The Applicant hereby certifies that it is a limited liability company recently formed in the State of Maryland. The Applicant also hereby certifies that it is not in arrears regarding any tax obligation in Maryland nor any other jurisdiction.

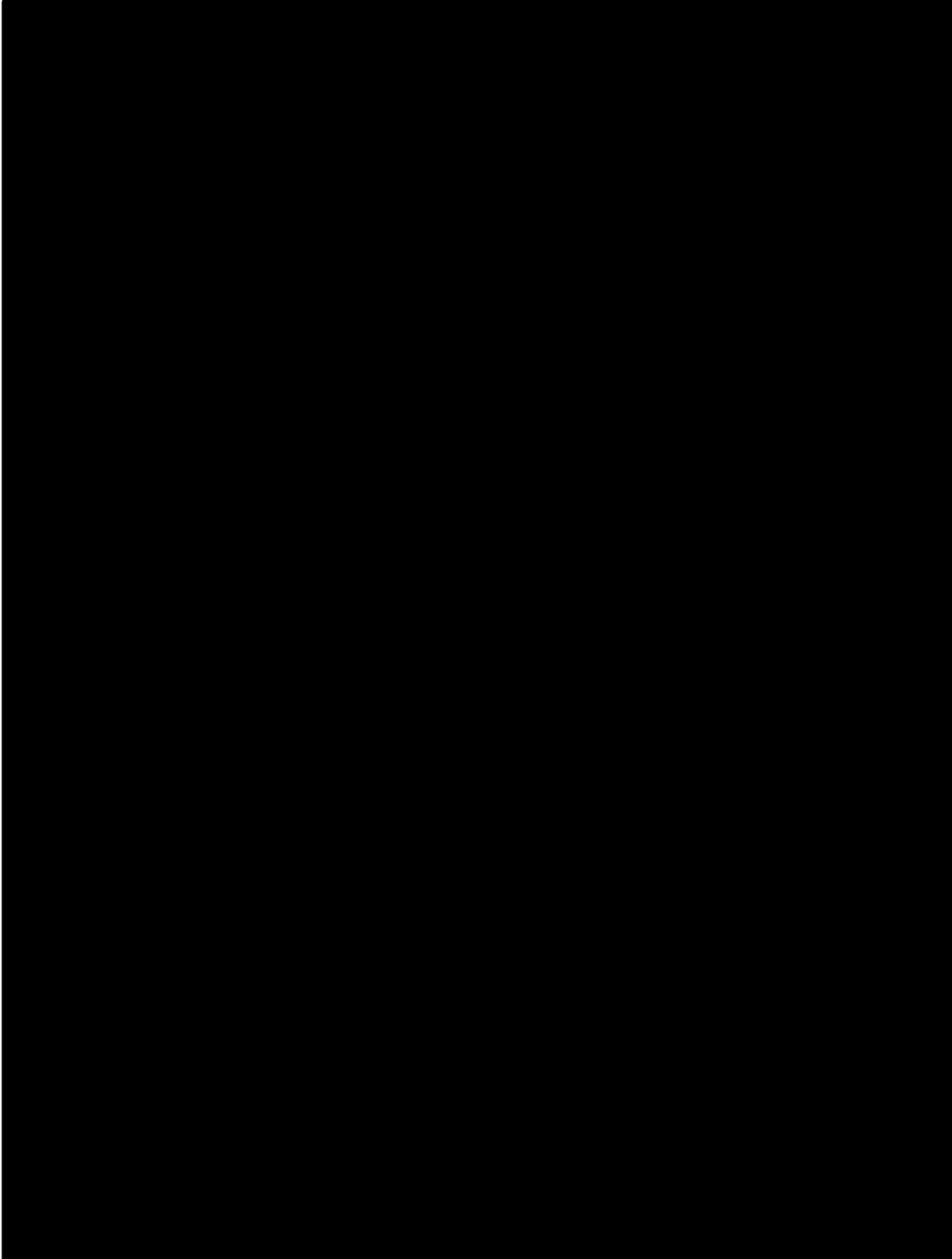
Please refer to the addenda for a true and correct copy of the Applicant’s Certificate of Good Standing issued by the State of Maryland Department of Assessments and Taxation.

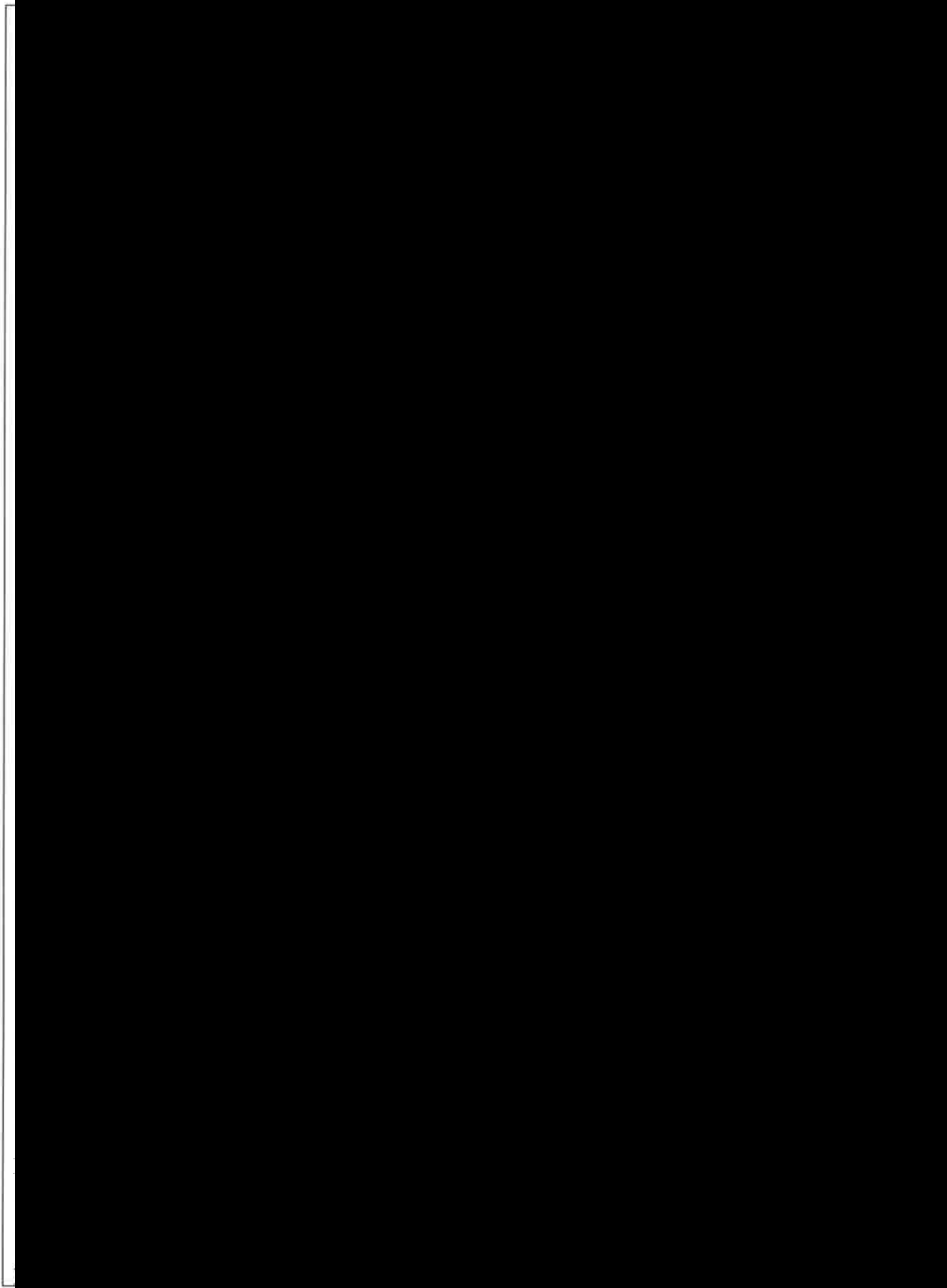
c. a list of proposed medical cannabis extracts and medical cannabis-infused products proposed to be produced with proposed cannabinoid profiles, including (i) varieties with high cannabidiol content and (ii) whether the product has any demonstrated success in alleviating symptoms of specific diseases or conditions. *

i) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 49.5% of the Additional Factors subsection. Maximum length 1,125 words.]

ii) [Reference 10.62.19.04 of the regulations. Graded 0 to 5 scoring. Weighted 0.5% of the Additional Factors subsection. Maximum length 115 words.]







10.62.19.05

4. Please describe how the Applicant will address the stipulation that the Commission may deny issuing a pre-approval of a license if, for any individual identified in the Application specified in COMAR 10.6219.02B(1) and (2) of this chapter, the payment of taxes due in any jurisdiction is in arrears. *

[Reference 10.62.19.05 of the regulations. Graded Yes or No. Weighted 5% of the Business and Economic subsection. Maximum length 780 words.]

The Applicant has already internally vetted all investors, ownership, board of advisors, and Processor Agents who have been identified in this Application with regards to their background reports, business and professional history, and past payment of taxes in Maryland and every other jurisdiction in which they have lived or have been required to pay taxes. Based on its review, the Applicant is confident all such individuals' taxes are NOT in arrears in any jurisdiction.

The Applicant fully acknowledges and understands the stipulation that the Commission may deny issuing a pre-approval of a license if any individual identified in the Application has taxes that are in arrears. Accordingly, the Capital Agreement, Operating Agreement, Board of Advisor Agreements, and employment contracts will all contain a provision permitting the Applicant to immediately terminate the respective relationship if the person's tax payments are or ever become in arrears in any jurisdiction during the term of the agreement. In other words, failure to pay taxes constitutes a material breach of these agreements. Upon notification of any such breach, the Applicant will take all necessary actions to terminate and remove the offending person from any involvement with the Applicant.

10.62.20.07

5. Please describe how the Applicant will train all registered processor agents on Federal and State medical cannabis laws and regulations and other laws and regulations pertinent to the processor agent's responsibilities. *

[Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Business and Economic subsection. Maximum length 780 words.]

The Applicant will require all Processor Agents to be thoroughly trained in areas pertinent to each individual's responsibilities, including Federal and State medical cannabis laws and regulations. Initial training will begin upon hire. All Processor Agents must complete initial training before being permitted to commence work at the facility.

Training Program. The Processor training program will consist of a series of classes, videos, workbooks, manuals and one-on-one sessions to ensure compliance with facility rules,

Applicant policies and procedures, security measures, Maryland Medical Cannabis Program regulations, and job requirements and responsibilities. General training topics will include, but are not limited to, Federal and State medical cannabis laws and regulations pertinent to the Processor Agent's responsibilities, standard operating procedures, detection and prevention of diversion of medical cannabis, security procedures, safety procedures (including responding to a medical emergency, a fire, a chemical spill, and a threatening event such as an armed robbery, an invasion, a burglary or any other criminal incident), Alcohol and Drug Free Workplace Policy, the inventory control system, discrepancy reporting, destruction and disposal protocol, medical cannabis shipment packaging and procedures, quality control measures, and other pertinent subjects which could enhance the quality of products manufactured by the Applicant.

As a follow-up training tool, the Applicant will implement a mentorship program whereby an experienced employee will individually mentor a new employee in daily operations and specific job responsibilities after initial training has been complete. This hands-on training will reinforce much of the information taught and provide a forum for each new hire to ask more detailed questions or seek enhanced assistance in mastering a subject.

Refresher Training. At least once every twelve months, all Processor Agents will be required to attend refresher training courses on particular subjects such as updates to security equipment, updates to policies and procedures, updates to Federal and State medical cannabis laws and regulations, and other pertinent subjects. At least 10 hours of refresher training will be required to continue work.

Failure to attend annual refresher training will be grounds for suspension and possible termination. It will be within the Processor Supervisor's discretion to determine appropriate punishment for delayed or non-attendance.

Specific Training on Federal and State Medical Cannabis Laws and Regulations. During initial training and during annual refresher training, Processor Agents will be taught Federal and State medical cannabis laws and regulations pertinent to the Processor Agents' responsibilities by a panel of experts. Instructors will be comprised of legal experts in the fields of Maryland regulatory law (specifically laws and regulations governing the Maryland Medical Cannabis Program and dispensary operations), Federal controlled substances law, State healthcare law, State labor law, State criminal law, and local municipal law. Courses will review the development of the legal framework in which the facility must operate and any amendments thereto, both adopted and proposed. Recent case law will be reviewed as well to ensure all recent legal developments, both at the Federal and State level, are addressed. Relevant criminal law topics will be taught so that staff is made keenly aware of seriousness of any violations of governing law and regulations.

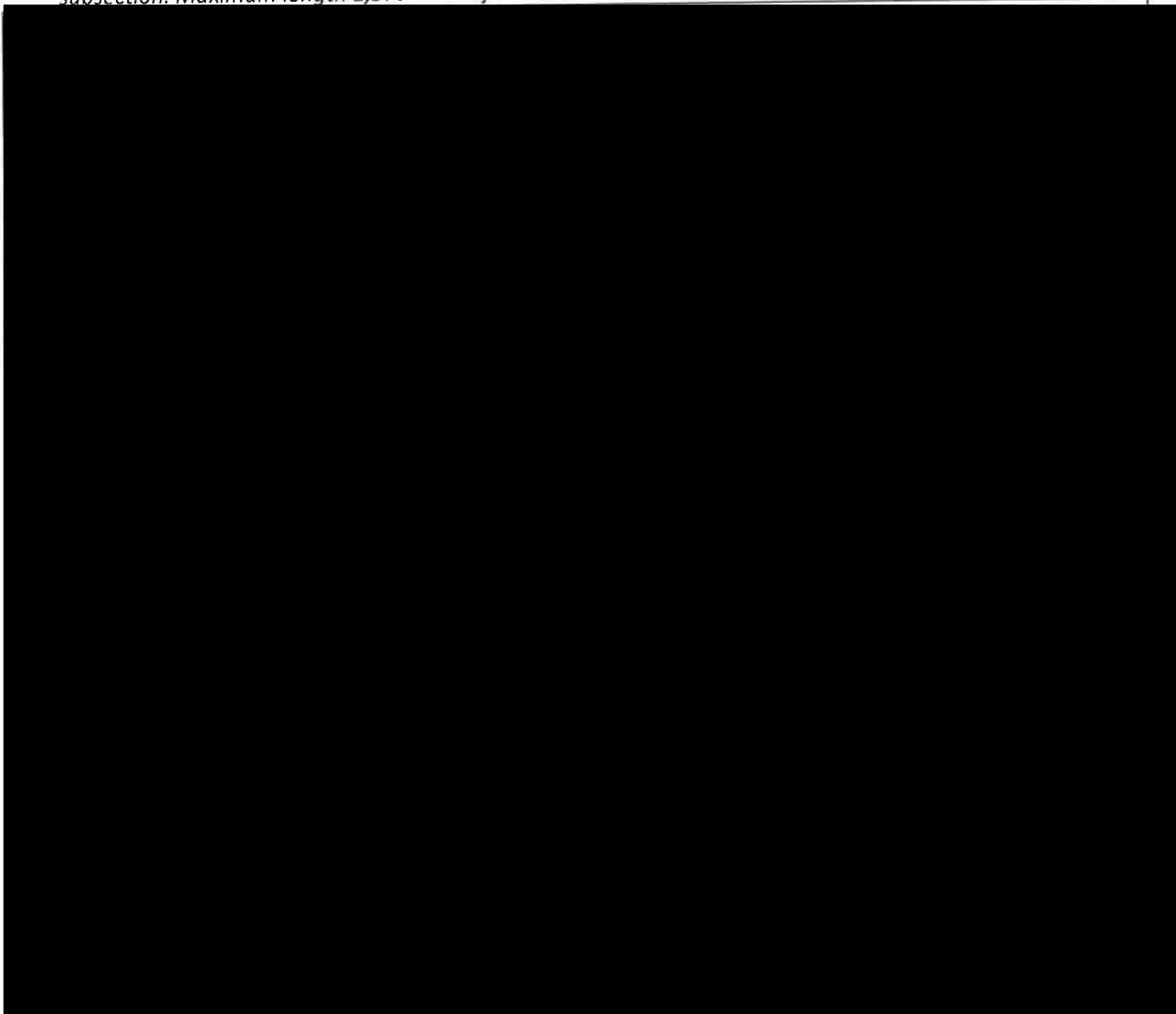
Trainees will be encouraged to ask questions during these open-discussion style training courses (ie, the Socratic method of teaching whereby inquiry and discussion between instructor and the trainees will stimulate critical thinking and encourage active learning). Like all training sessions, subject specific examinations will be given upon the completion of each course. Employees will need to score at least a 75% in each subject before being permitted to

work at the facility. Those needing extra assistance or special examination accommodations must notify the Processor Supervisor, who will assure their needs are adequately met. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject. A copy of the certificate will be retained by the Human Resources Department for safekeeping.

Human Resources Recordkeeping. The Human Resources Department will be tasked with ensuring all new hires attend initial training and all Processor Agents attend annual refresher training. The HR Department will make copies of and retain in a safe location all subject matter examination certificates issued to trainees verifying that they successfully passed the training course. Human Resources will also retain electronic and hardcopy versions of all training materials and attendance records for at least 5 years. All training materials and other relevant records will be made available for inspection by the Commission upon request.

6. Please describe how the Applicant will train all registered processor agents on standard operating procedures. *

[Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 10% of the Business and Economic subsection. Maximum length 1,575 words.]



As a part of training, all Processor Agents will be required to review and become familiar with the Applicant's website and mobile applications so that they can answer any related questions presented by representatives of any Licensed Growers or Licensed Dispensaries during the normal course of business. Employees will also be required to review and become familiar with all company manuals and handbooks.

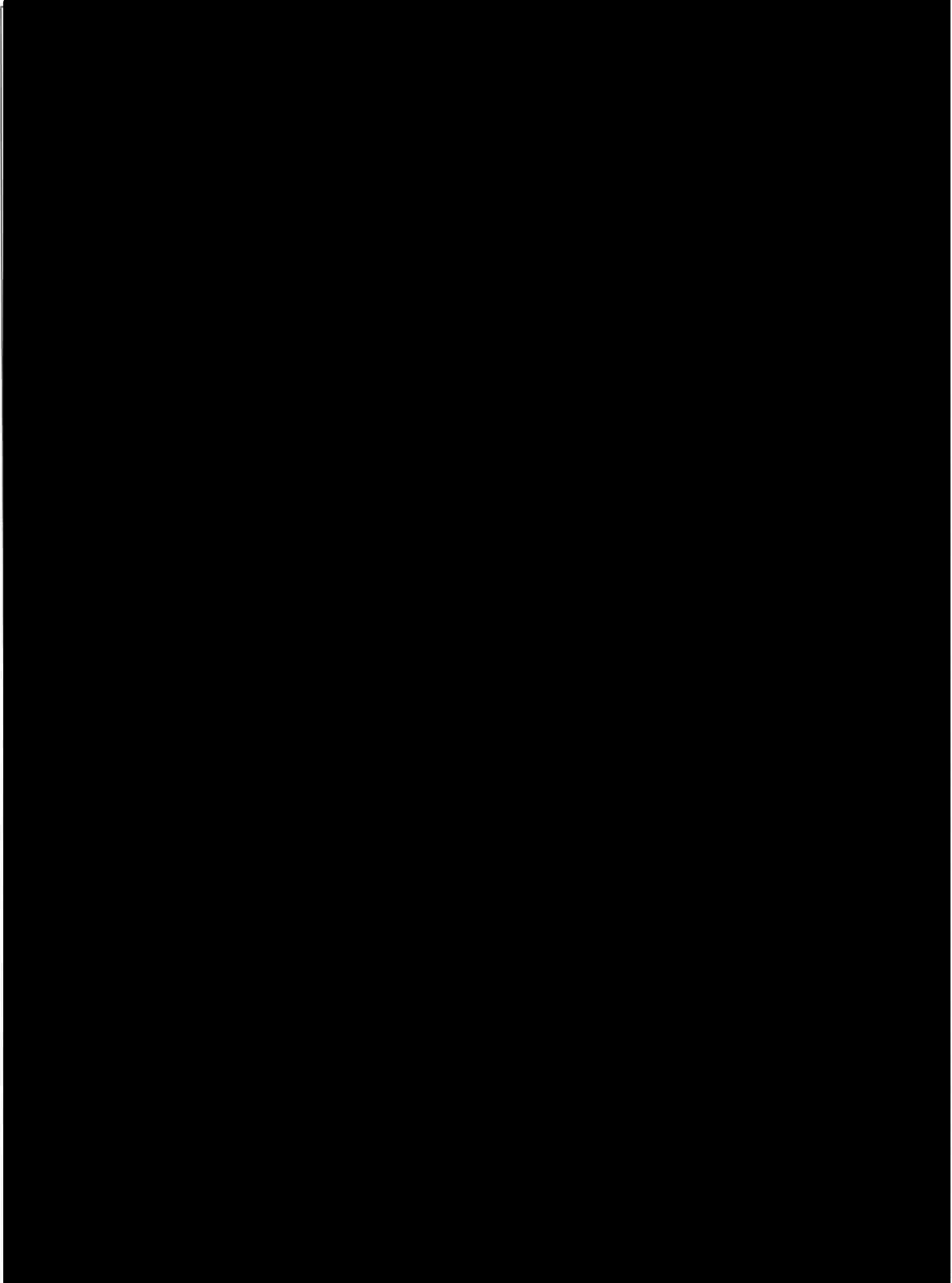
The training program will ensure all pertinent information is retained by Processor Agents through the use of multiple choice, open-ended question, and/or oral examinations upon the completion of each training session. Employees will need to score at least a 75% in each subject before being permitted to work at the premises. Those needing extra assistance or special examination accommodations must notify the Processor Supervisor, who will assure their needs are adequately met. The Applicant acknowledges that different people learn best using different techniques and will accommodate trainees accordingly. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject. A copy of the certificate will be retained by the Human Resources Department for safekeeping.

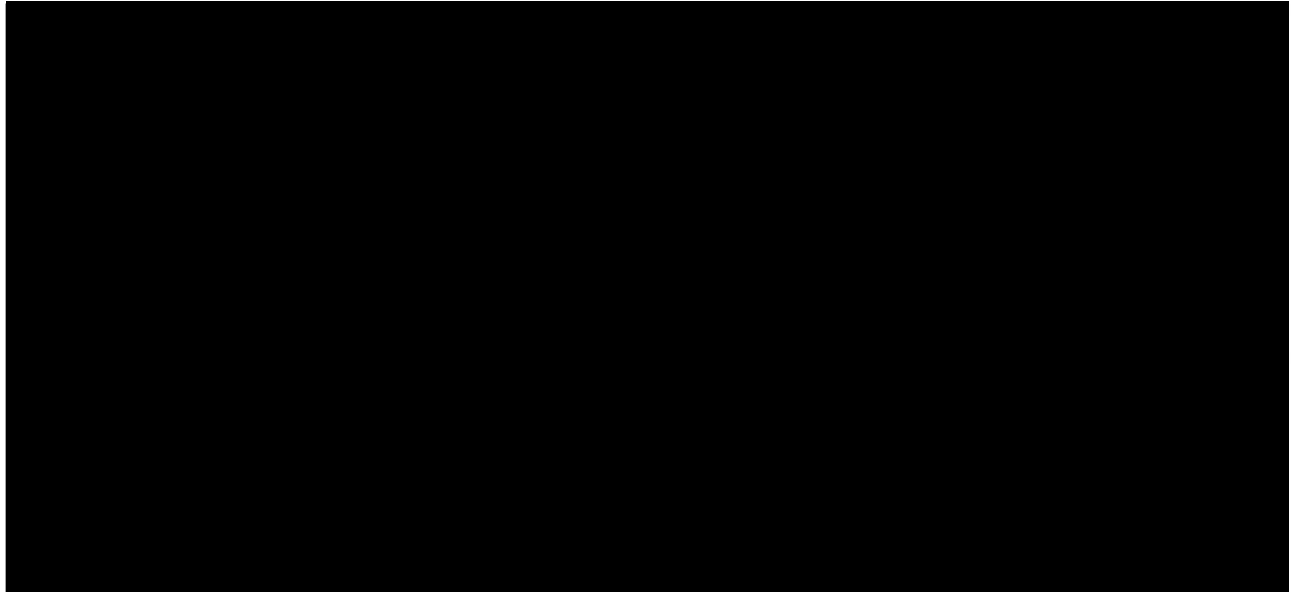
As a follow-up training tool, the Applicant will implement a mentorship program whereby an experienced employee will individually mentor a new employee in daily operations and specific job responsibilities after initial training has been complete. This hands-on training will reinforce much of the information taught and provide a forum for each new hire to ask more detailed questions or seek enhanced assistance in mastering a subject.

Refresher Training. At least once every twelve months, all Processor Agents will be required to attend refresher training courses on particular subjects such as updates to security equipment, updates to Applicant policies and procedures, updates to Federal and State medical cannabis laws and regulations, and other pertinent subjects which could enhance the quality of products manufactured by the Applicant. At least 10 hours of refresher training will be required to continue work at the facility.

Failure to attend annual refresher training will be grounds for suspension and possible termination. It will be within the Processor Supervisor's discretion to determine appropriate punishment for delayed or non-attendance.

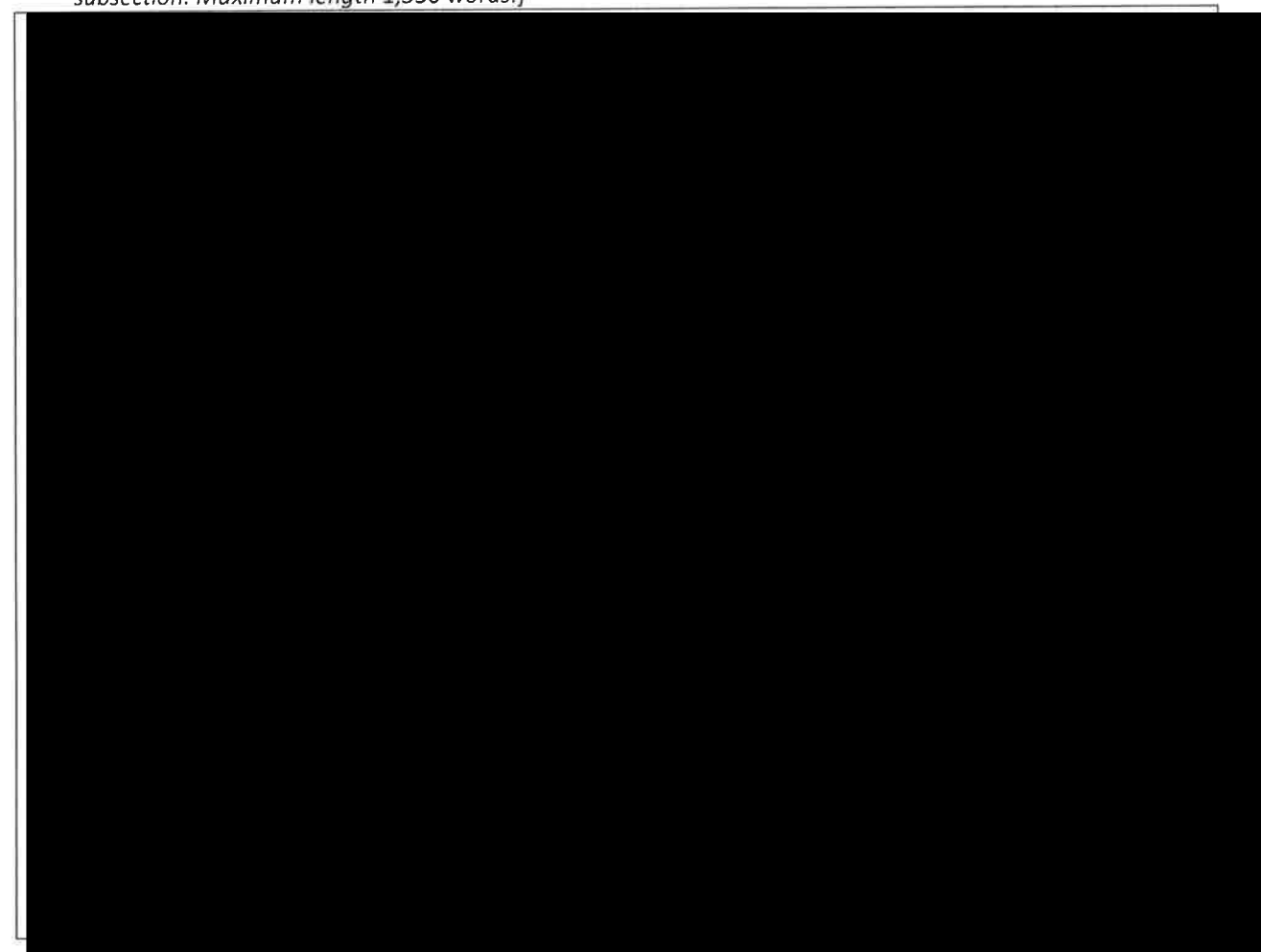


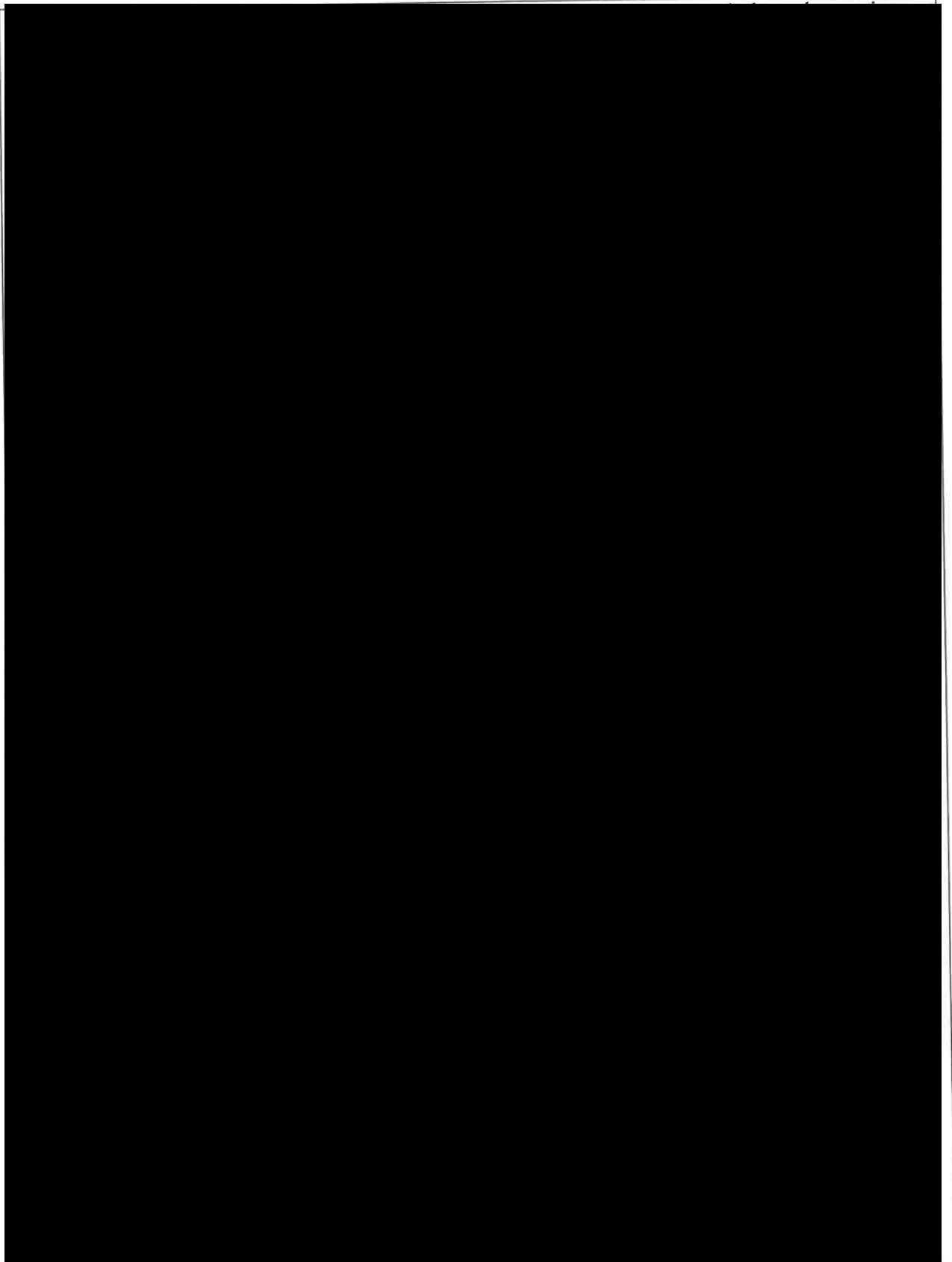




7. Please describe how the Applicant will train all registered processor agents on detection and prevention of diversion of medical cannabis. *

[Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 17% of the Safety and Security subsection. Maximum length 1,530 words.]



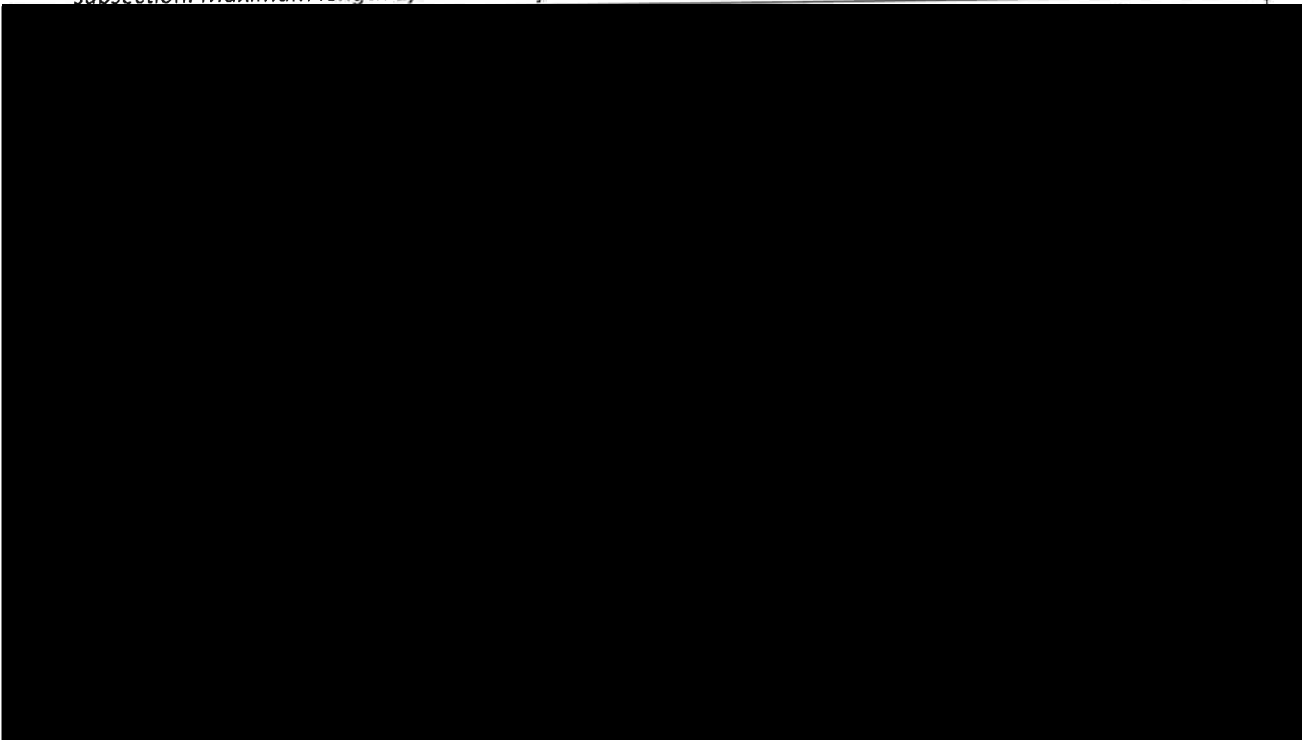


offenders. Conflict resolution, personal safety measures and law enforcement

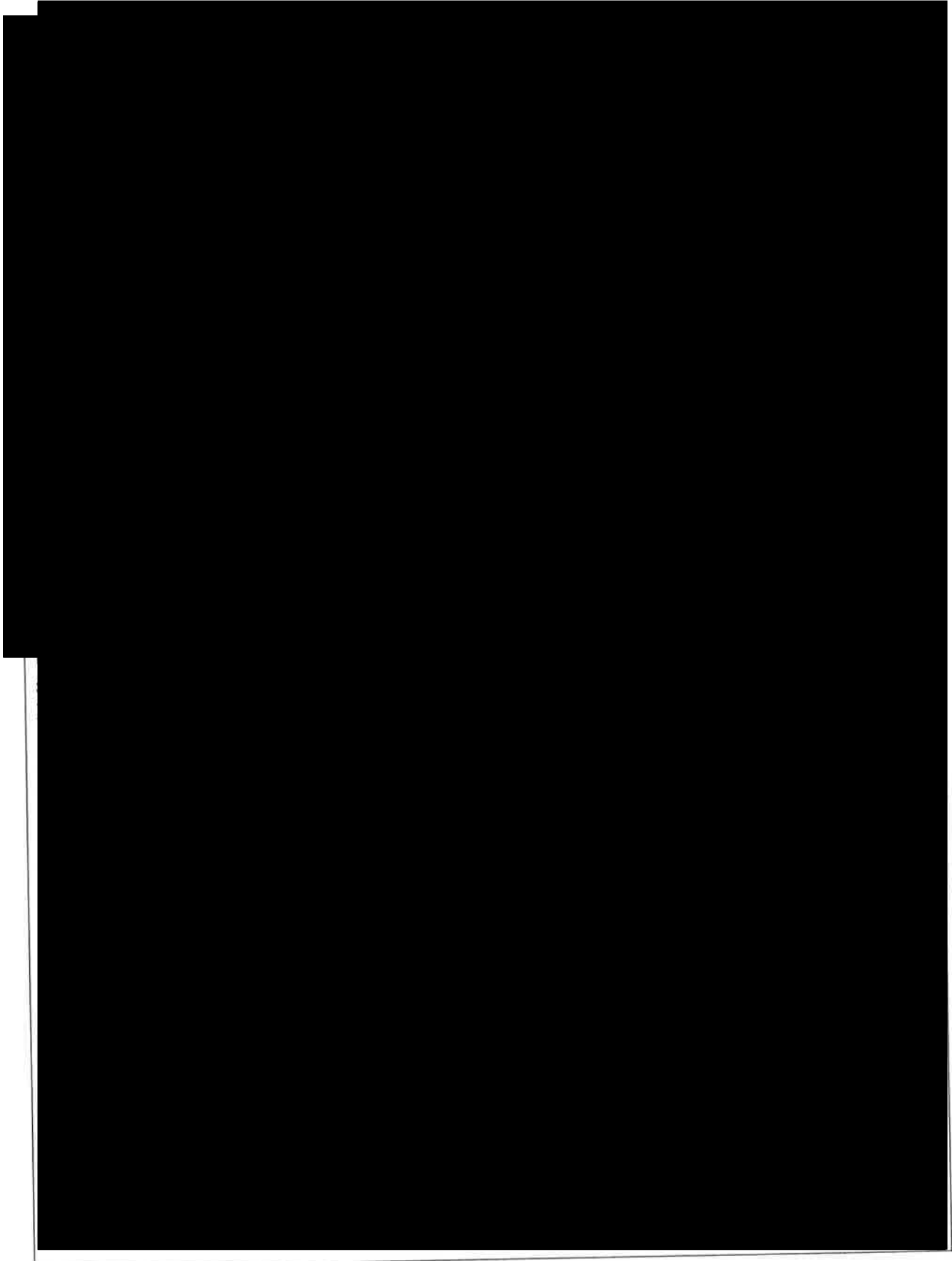


8. Please describe how the Applicant will train all registered processor agents on security procedures. *

[Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 17% of the Safety and Security subsection. Maximum length 1,530 words.]



Supervisors of Agents, Processor Commission



Once a proper foundation has been set, instructors will teach trainees how to ensure a safe, secure environment for all visitors, co-workers, and products. Specifically, Processor Agents will be trained on how to use the security features of the Applicant to prevent theft or diversion of medical cannabis and mitigate any opportunity for unlawful activity on the premises. Training will also cover basic conflict resolution techniques, basic crime prevention and detection, and other security policies and procedures.

Training courses will cover all Maryland law and regulations focusing on processor security requirements, including any municipal regulations. Appropriate procedures for responding to emergencies, such as robberies, invasions, burglaries or other criminal incidents will also be taught, as will how to assist the security team during an incident. All Processor Agents will be required to be trained on police department and Commission communications during security breaches.

Security team members will undergo additional training to ensure appropriate monitoring techniques are internalized. Security guards will be trained to sweep the premises on a regular basis, including the parking lot and areas immediately adjacent to the entryway to the facility. The concept is to discourage unlawful behavior by making their presence known to would-be offenders. Conflict resolution, personal safety measures and law enforcement communication protocol will also be taught so that any incident is handled with an appropriate response.

Human Resources Recordkeeping. The Applicant’s Human Resources Department will be tasked with ensuring all new hires attend initial training and all Processor Agents attend annual refresher training. The Human Resources Department will make copies of and retain in a safe location all subject matter examination certificates issued to trainees verifying that they successfully passed the training course. Human Resources will also retain electronic and hardcopy versions of all training materials and attendance records for at least 5 years. All training materials and other relevant records will be made available for inspection by the Commission upon request.

9. Please describe how the Applicant will train all registered processor agents on safety procedures, including responding to (1) a medical emergency, (2) a fire, (3) a chemical spill, and (4) a threatening event including an armed robbery, an invasion, a burglary, or any other criminal incident. *

(1) [Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Safety and Security subsection. Maximum length 450 words.]

(2) [Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Safety and Security subsection. Maximum length 450 words.]

(3) [Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Safety and Security subsection. Maximum length 450 words.]

(4) [Reference 10.62.20.07 of the regulations. Graded 0 to 5 scoring. Weighted 10% of the Safety and Security subsection. Maximum length 900 words.]



required refresher training will occur at least once annually. All Processor Agents must complete training before being permitted to commence or continue work at the facility.

Training Instructors. Medical emergency training will be conducted by a subject matter expert, such as a Maryland licensed physician or nurse.

Medical Emergency Training Program. The Applicant's training program will consist of a series of classes, videos, workbooks, manuals, group demonstrations, and one-on-one sessions. Topics of training will include first aid techniques, emergency responder communication, the location of all first aid kits at the facility, and the locations and contact information for the closest hospital systems. Training methods will be comprised of role playing and CPR training using victim simulators.

The Processor Supervisor will ensure an adequate number of Processor Agents have advanced occupational first aid training such that at least one highly trained employee is on staff during all hours of operation.

Medical emergency instructional posters and pamphlets will be available at the facility in appropriate locations.

Like all training sessions, subject specific examinations will be given upon the completion of the medical emergency course. Employees will need to score at least a 75% before being permitted to work at the facility. Those needing extra assistance or special examination accommodations must notify the Processor Supervisor, who will assure their needs are adequately met. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject.

Human Resources Recordkeeping. The Applicant's Human Resources Department will be tasked with ensuring that all new hires attend medical emergency safety procedures training and that all Processor Agents attend mandatory annual refresher training. The Human Resources Department will make copies of and retain in a safe location all subject matter examination certificates issued to trainees verifying that they successfully passed the training course. Human Resources will also retain electronic and hardcopy versions of all training materials and attendance records for at least 5 years. All training materials and other relevant records will be made available for inspection by the Commission upon request.

2) The Applicant will require all Processor Agents to be thoroughly trained on safety procedures, including responding to a fire. Such fire response safety procedures have been adopted, and modified accordingly, from the CEO's affiliated Washington DC medical cannabis businesses operating without incident and in full compliance with the District's stringent regulations for years. Initial training will begin upon hire and required refresher training will occur at least once annually. All Processor Agents must complete training before being permitted to commence or continue work at the facility.

Training Instructors. Fire response training will be conducted by subject matter experts, such as fire department representatives.

Fire Response Training Program. The Applicant's training program will consist of a series of classes, videos, workbooks, manuals, group demonstrations, and one-on-one sessions. Training topics will include smoke and fire detection, the proper use and maintenance of smoke alarms and CO2 detectors, basic fire prevention techniques, etc. Trainees will be informed as to the closest fire departments and emergency responders, including contact information. Training methods will be comprised of role playing, classroom learning, instructional videos, and workbooks.

All trainees will learn the Applicant's fire response plan, including the quickest escapes routes from each room in the facility and the locations of all fire extinguishers and manual fire alarms on site (including how to properly use them). The processor facility will also undergo regular unannounced fire drills throughout the year to ensure fire emergency protocol becomes second nature.

Like all training sessions, subject specific examinations will be given upon the completion of the medical emergency course. Employees will need to score at least a 75% before being permitted to work at the facility. Those needing extra assistance or special examination accommodations must notify the Processor Supervisor, who will assure their needs are adequately met. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject.

Human Resources Recordkeeping. The Applicant's Human Resources Department will be tasked with ensuring that all new hires attend fire response safety procedures training and that all Processor Agents attend mandatory annual refresher training. The Human Resources Department will make copies of and retain in a safe location all subject matter examination certificates issued to trainees verifying that they successfully passed the training course. Human Resources will also retain electronic and hardcopy versions of all training materials and attendance records for at least 5 years. All training materials and other relevant records will be made available for inspection by the Commission upon request.

3) The Applicant will require all Processor Agents to be thoroughly trained on safety procedures, including responding to a chemical spill emergency. Such chemical spill emergency safety procedures have been adopted, and modified accordingly, from the CEO's affiliated Washington DC medical cannabis businesses operating without incident and in full compliance with the District's stringent regulations for years. Initial training will begin upon hire and required refresher training will occur at least once annually. All Processor Agents must complete training before being permitted to commence or continue work at the facility.

Training Instructors. Chemical spill training will be conducted by subject matter experts, such as fire department representatives.

Chemical Spill Emergency Training Program. The Applicant's training program will consist of a series of classes, videos, workbooks, manuals, group demonstrations, and one-on-one sessions. Training topics will include OSHA compliance, spill identification, notification procedures including the location and contact information of the closest fire department and

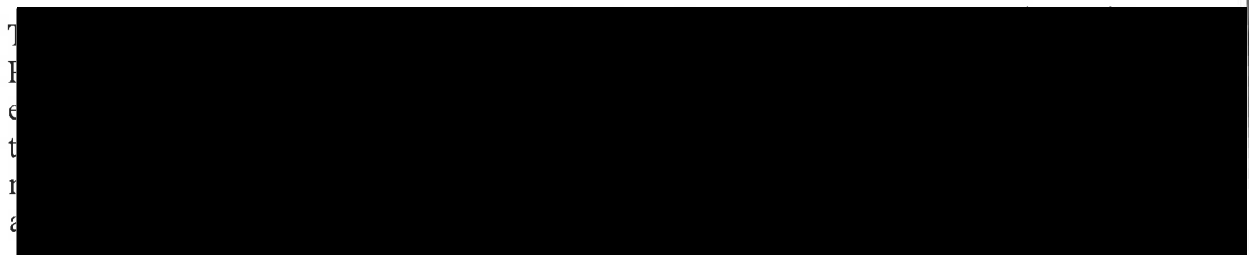
emergency responders, evacuation procedures, remediation equipment location and use (for non-hazardous spills), and personal safety protocol. Training methods will be comprised of role playing, classroom learning, instructional videos, and workbooks.

Trainees will learn the chemical spill response plan, including spill containment procedures, spill prevention and mitigation procedures, and spill remediation options and techniques. Processor Agents will be trained that in cases of a potentially hazardous chemical spills, the safety of patients, caregivers and staff takes priority over all else and that only trained professionals may attempt to clean a hazardous spill.

Like all training sessions, subject specific examinations will be given upon the completion of the chemical spill emergency course. Employees will need to score at least a 75% before being permitted to work at the facility. Those needing extra assistance or special examination accommodations must notify the Processor Supervisor, who will assure their needs are adequately met. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject.

Human Resources Recordkeeping. The Applicant's Human Resources Department will be tasked with ensuring that all new hires attend chemical spill emergency safety procedures training and that all Processor Agents attend mandatory annual refresher training. The Human Resources Department will make copies of and retain in a safe location all subject matter examination certificates issued to trainees verifying that they successfully passed the training course. Human Resources will also retain electronic and hardcopy versions of all training materials and attendance records for at least 5 years. All training materials and other relevant records will be made available for inspection by the Commission upon request.

4) The Applicant will require all Processor Agents to be thoroughly trained on safety procedures, including responding to a threatening event including an armed robbery, an invasion, a burglary, or any other criminal incident. Initial training will begin upon hire and required refresher training will occur at least once annually. All Processor Agents must complete training before being permitted to commence or continue work at the facility.



Threatening Events Training Program. The training program will consist of a series of classes, videos, workbooks, manuals and one-on-one sessions to ensure compliance with facility rules, Applicant policies and procedures, security measures, Maryland Medical Cannabis Program regulations, and job requirements and responsibilities.

The training program will ensure all pertinent information is retained by Processor Agents through the use examinations upon the completion of each training session. Employees must

score at least a 75% before being permitted to work at the facility. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject.

Refresher Training. All Processor Agents will be required to attend annual refresher training courses on all safety procedures. Failure to attend annual refresher training will be grounds for suspension and possible termination.

Specific Training on Responding to a Threatening Event Including an Armed Robbery, an Invasion, a Burglary, or Any Other Criminal Incident. Processor Agents will be taught safety procedures, including measures developed to protect employees responding to a threatening event such as an armed robbery, an invasion, a burglary, or any other criminal incident. Training topics will include: criminal incident mitigation, situational awareness, use and maintenance of the alarm system, use and maintenance of access-control equipment, the location and use of all panic alarms, the facility security plan, and the location and contact information of the closest police department and emergency responders. Processor Agents will be trained to keep in mind that the safety of visitors and staff takes priority over all else.

Training will cover the general security layout of the facility including all operations and office areas. Although only a select few will actually operate any of the security equipment, all Processor Agents are required to know the location and proper use and maintenance of security equipment, particularly the surveillance system, alarm system and controlled-access locking mechanisms.

Once a proper foundation has been set, instructors will teach trainees how to proactively ensure a safe, secure working environment. Specifically, Processor Agents will be trained on how to use the security features of the facility to mitigate any opportunity for unlawful activity on the premises. Training will also cover basic conflict resolution techniques, basic crime prevention and detection, and other security policies and procedures. To reinforce training, the facility will undergo security breach drills to enhance preparation and response time in case of a criminal incident.

Training courses will cover all Maryland law and regulations focusing on processor security requirements, including any municipal regulations. Appropriate procedures for responding to emergencies, such as robberies, invasions, burglaries or other criminal incidents will also be taught, as will how to assist the security team during an incident. All Processor Agents will be required to be trained on police department and Commission communications during security breaches.

Security team members will undergo additional training to ensure appropriate monitoring techniques are internalized. Security guards will be trained to sweep the premises on a regular basis, including the parking lot and areas immediately adjacent to the facility entryway. Conflict resolution, personal safety measures and law enforcement communication protocol will also be taught so that any incident is handled with an appropriate response.

Human Resources Recordkeeping. The Human Resources Department will be tasked with

ensuring all new hires attend initial training and all Processor Agents attend annual refresher training. The Human Resources Department will make copies of and retain in a safe location all subject matter examination certificates issued to trainees verifying that they successfully passed the training course. Human Resources will also retain electronic and hardcopy versions of all training materials and attendance records for at least 5 years. All training materials and other relevant records will be made available for inspection by the Commission upon request.

10. Please describe how the Applicant will retain training materials and attendance records and make the training materials available for inspection by the Commission. *

[Reference 10.62.20.07 of the regulations. Graded Yes or No. Weighted 5% of the Business and Economic subsection. Maximum length 780 words.]

The Applicant will require all Processor Agents to be trained on an array of subjects. Initial training will begin upon hire and refresher training will be required at least once every twelve months thereafter. All employees must complete training before being permitted to commence or continue work at the processor facility.

Training Program. The training programs will ensure all pertinent information is retained by employees through the use of multiple choice, open-ended question, and/or oral examinations upon the completion of each training session. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject. A copy of the certificate will be retained by Human Resources and placed in the respective Processor Agent's employee file for safekeeping.

Refresher Training. At least once every twelve months, all Processor Agents will be required to attend refresher training courses on various topics. Failure to attend annual refresher training will be grounds for suspension and possible termination. It will be within the Processor Supervisor's discretion to determine appropriate punishment for delayed or non-attendance.

Attendance Records. Each Processor Agent will be required to sign in and sign out of the Attendance Log created for each training course. Failure to sign in or out is treated as an absence from the course requiring a rescheduled training session. Human Resources will review each Attendance Log at the end of each training day and record the dates/times that each trainee attended the session in their respective employee attendance record (stored in the employee file).

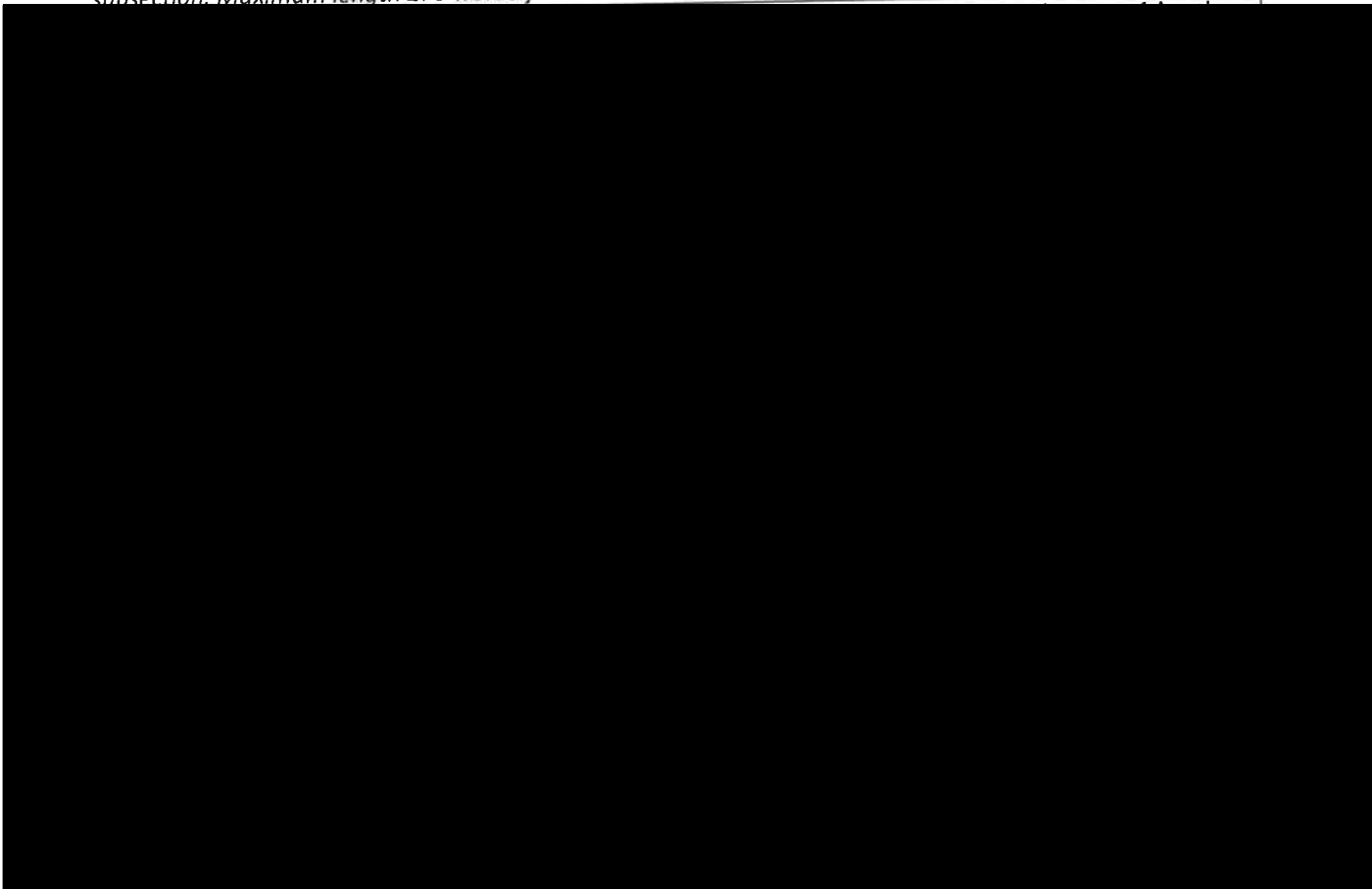
Human Resources Recordkeeping. The Human Resources Department will be tasked with ensuring all new hires attend initial training and all Processor Agents attend annual refresher training. The Department will make copies of and retain in a safe location all subject matter examination certificates issued to trainees verifying that they successfully passed the training course. Human Resources will also retain electronic and hardcopy versions of all training materials as well as all employee attendance records for at least 5 years. All electronic versions of such materials and records will be securely stored on a password protected hard drive which will be locked in a secure cabinet on-site, with duplicate copies stored at an off-site location for redundancy purposes. All hard copies will be securely stored in employee files locked in a secure filing cabinet on-site, with duplicate copies also stored at an off-site location for

redundancy purposes. All training materials and attendance records will be made available for inspection by the Commission upon request.

10.62.21.03

11. Please describe how the Applicant will construct the premises to prevent unauthorized entry. *

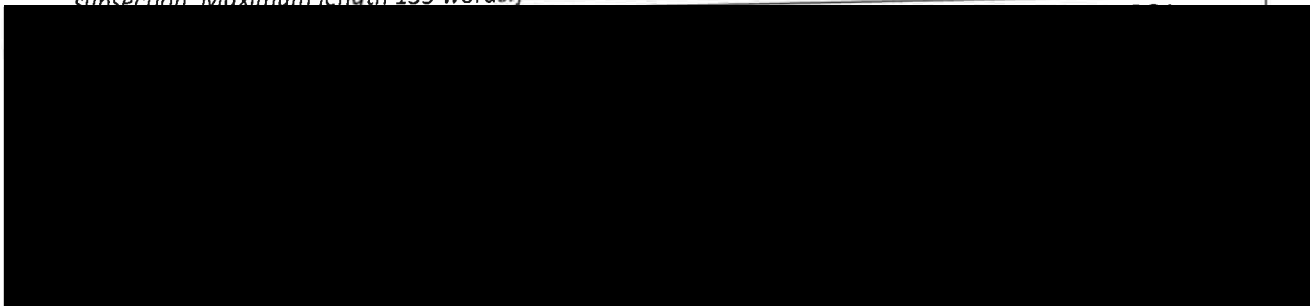
[Reference 10.62.21.03 of the regulations. Graded 0 to 5 scoring. Weighted 3% of the Safety and Security subsection. Maximum length 270 words.]



10.62.21.04

12. Please describe how the Applicant will design and install lighting fixtures to ensure proper surveillance. *

[Reference 10.62.21.04 of the regulations. Graded 0 to 5 scoring. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]

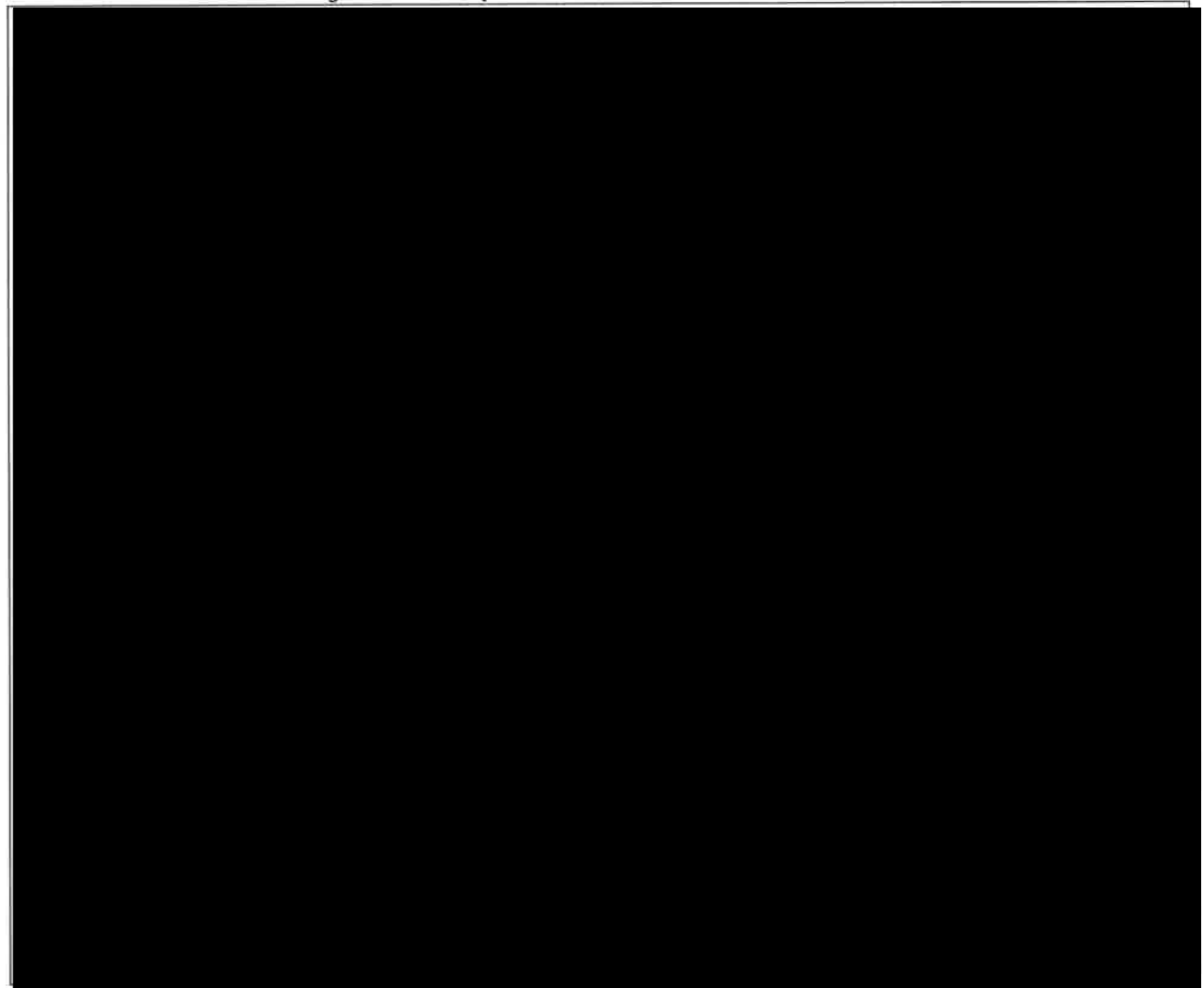




10.62.21.05

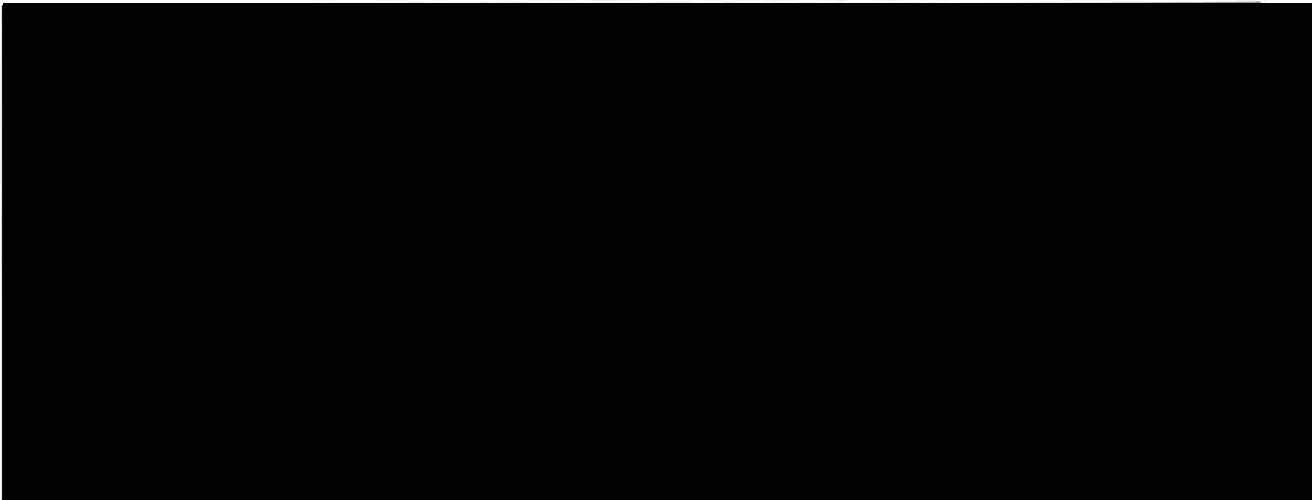
13. Please describe how the Applicant will maintain a security alarm system that covers all perimeter entry points and windows at the premises. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 3.5% of the Safety and Security subsection. Maximum length 315 words.]



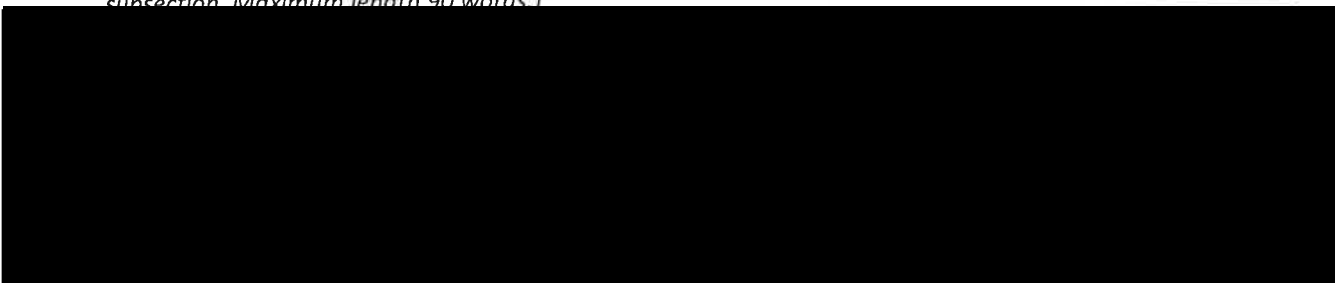
14. Please describe how the Applicant will assure that the security alarm system is continuously monitored. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]



15. Please describe how the Applicant will assure that the security alarm system is capable of detecting smoke and fire. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]



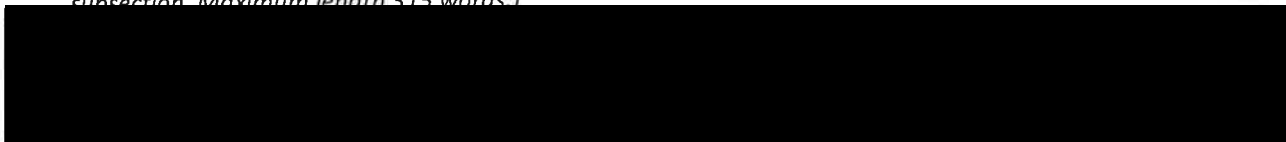
16. Please describe how the Applicant will assure that the security alarm system is capable of detecting power loss. *

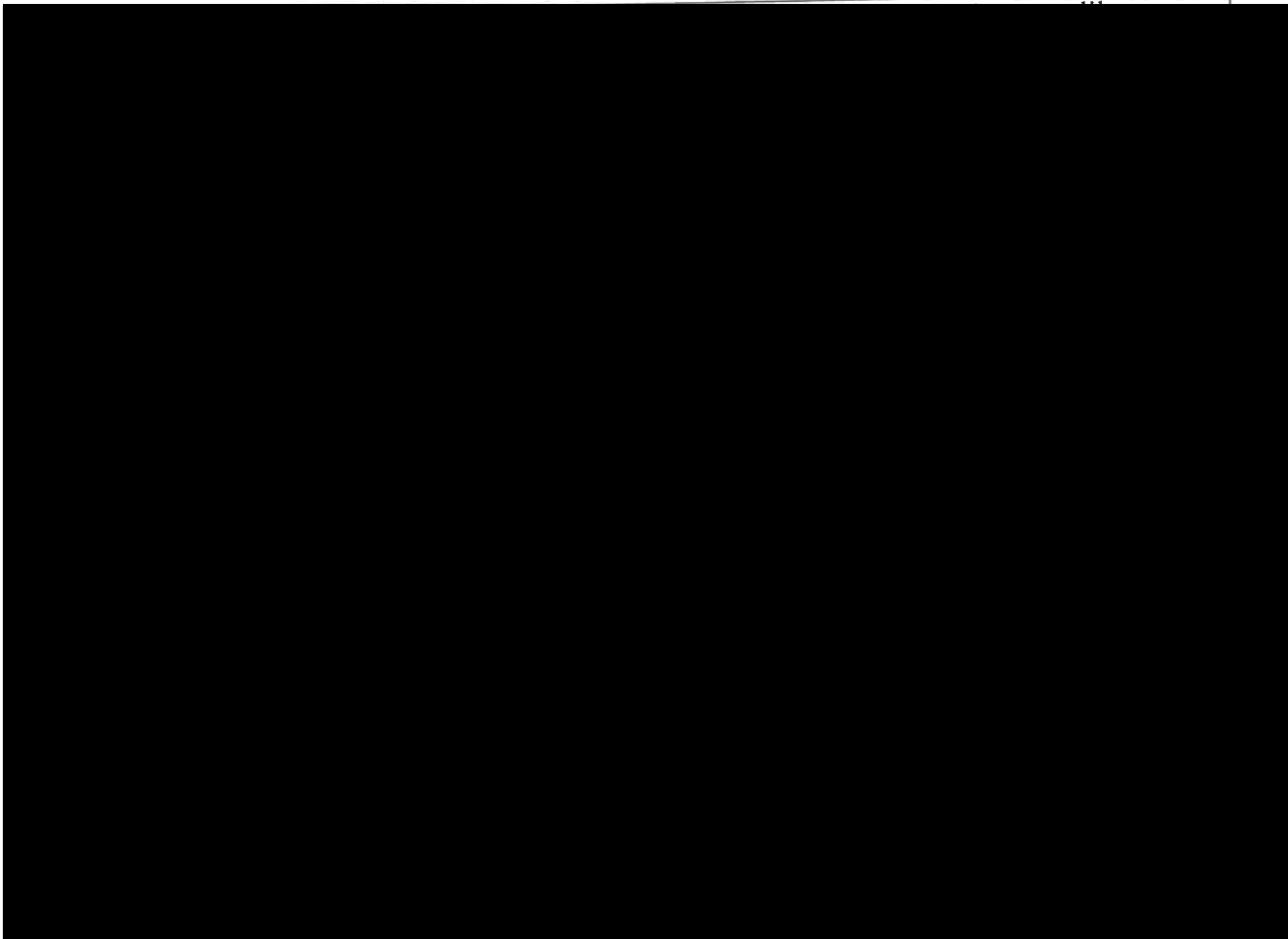
[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]



17. Please describe how the security alarm system will include panic alarm devices mounted at convenient, readily-accessible locations through the licensed premises. *

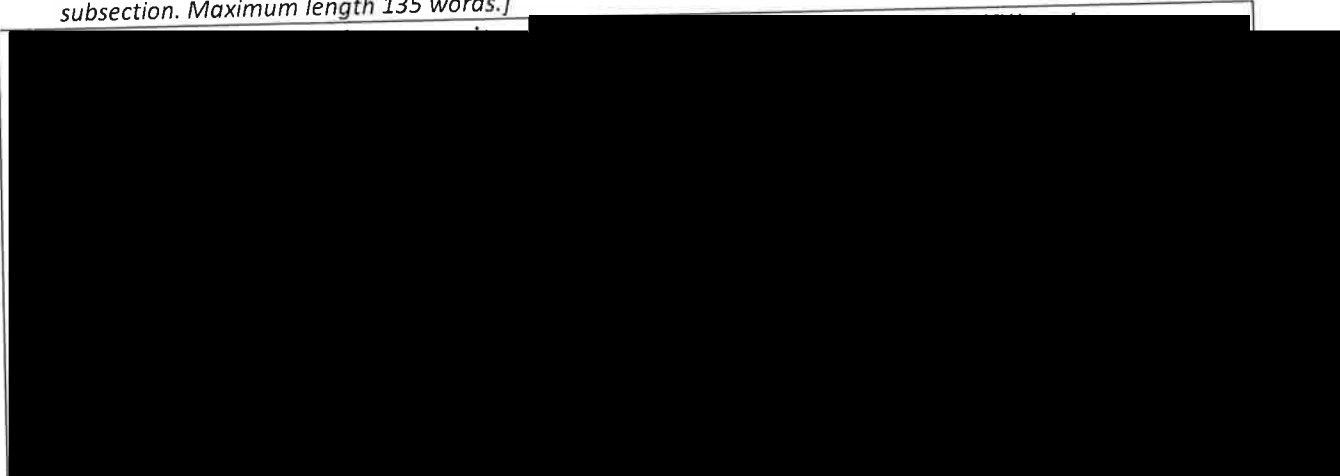
[Reference 10.62.21.05 of the regulations. Graded 0 to 5 scoring. Weighted 3.5% of the Safety and Security subsection. Maximum length 315 words.]





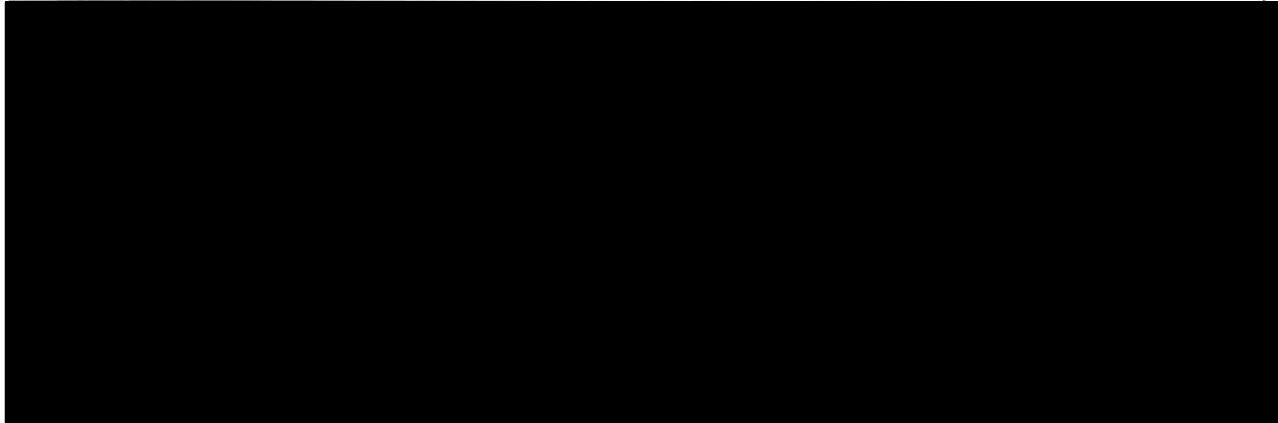
18. Please describe how a second, independent alarm system will be used to protect the location where records are stored on-site. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]



19. Please describe how a second, independent alarm system will be used to protect the location where records are stored off-site. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]



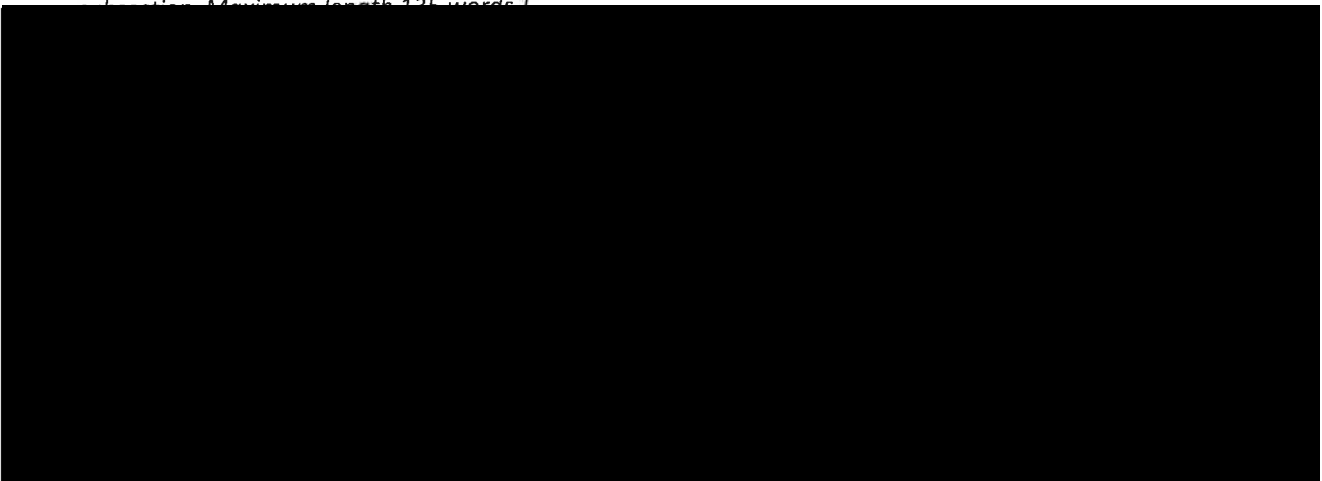
20. Please describe how a second, independent alarm system will be used to protect any room that holds medical cannabis. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]



21. Please describe how the security alarm system will remain operational until the premises of the Licensee no longer have any medical cannabis on the premises. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]



[Redacted]

22. Please describe how all security alarm systems will be equipped with auxiliary power sufficient to maintain operation for at least 48 hours. *

[Reference 10.62.21.05 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]

[Redacted]

10.62.21.06

23. Please describe how the Applicant will maintain a motion activated video surveillance recording system at all premises that records all activity in images of high quality and high resolution capable of clearly revealing facial detail. *

[Reference 10.62.21.06 of the regulations. Graded 0 to 5 scoring. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]

[Redacted]

24. Please describe how the Applicant will maintain a motion activated video surveillance recording system at all premises that operates 24-hours a day, 365 days a year without interruption. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]

All components of the Applicant’s motion-activated video surveillance recording system will be connected to a UPS (uninterruptible power source) providing auxiliary power instantaneously upon a power outage while the facility’s standby emergency power generator hooked up to the mains power starts up, effectively eliminating any power interruption. All system devices will also be installed with battery backup power for redundancy. All devices will be inspected daily and tested monthly to ensure they are in good working condition. As such, the entire video surveillance recording system will operate 24/7/365 without interruption.

25. Please describe how the Applicant will maintain a motion activated video surveillance recording system at all premises that provides a date and time stamp for every recorded frame. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]

The Applicant’s state-of-the-art motion-activated video surveillance recording system will feature a date and time stamp for every recorded frame, which is a standard feature on all preferred commercial-grade system models. At the beginning of each day, the Security Manager will ensure the date and time stamp is accurately set and appears on the surveillance monitors in a manner such that it does not obscure the targeted subjects within the recorded frame. If necessary, the Security Manager will adjust the date and time stamp settings accordingly.

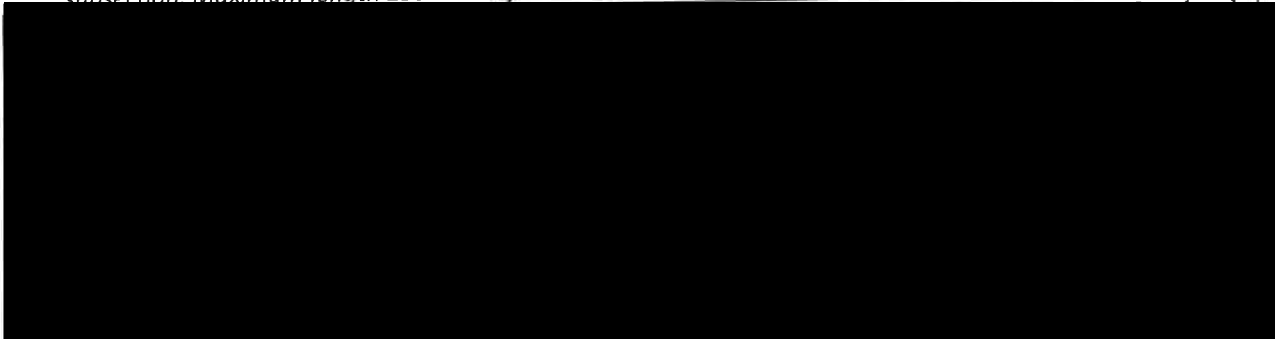
26. Please describe how the Applicant will post appropriate notices advising visitors of the video surveillance. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]

The Applicant will post appropriate notices advising visitors of the video surveillance. Signage will be clear, legible, written in English (and other languages, if appropriate given the local demographics) and will contain graphic depictions of surveillance cameras. The entryway lobby, the waiting room, the shipping and receiving room, and each hallway within the premises, at a minimum, will have a posted notice. Also, a clearly visible notice will be posted on the building’s exterior adjacent to the entrance.

27. Please describe how the Applicant will assure that a surveillance camera shall be located and operated to capture activity at each exit from the premises.*

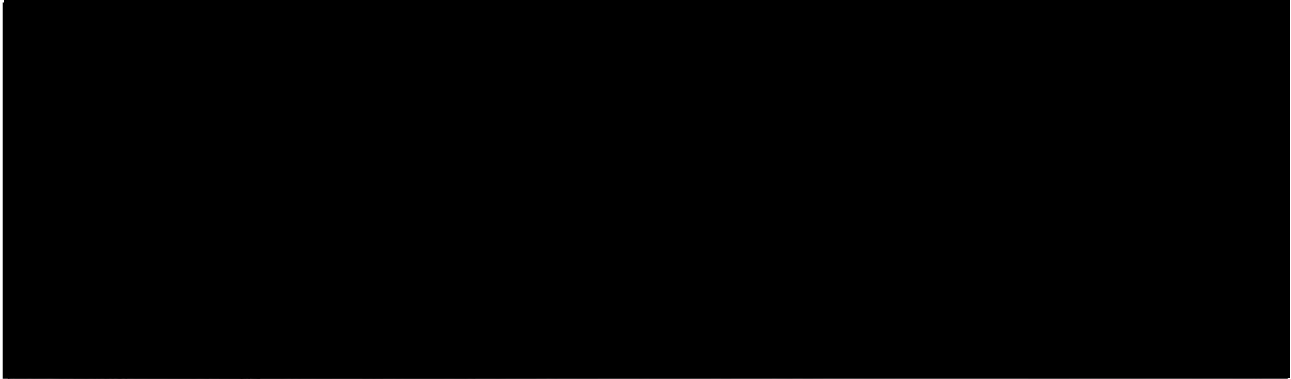
[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]





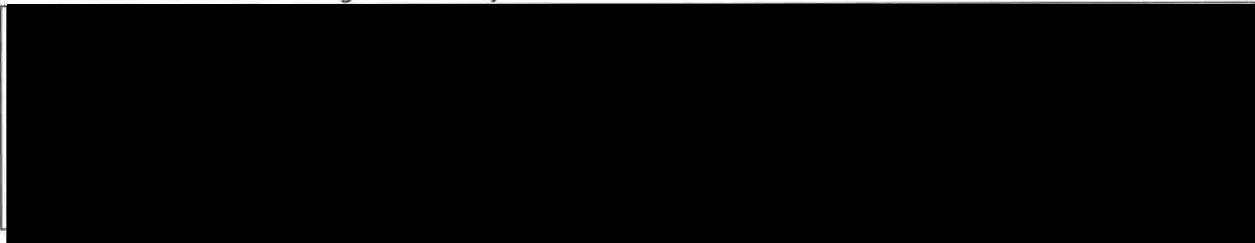
28. Please describe how the Applicant will assure that a surveillance camera shall capture activity at each entrance to an area where medical cannabis is processed, tested, packaged, and stored. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]



29. Please describe how a recording of all images captured by each surveillance camera will be kept at the licensed premises. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 0.5% of the Safety and Security subsection. Maximum length 70 words.]



30. Please describe how a recording of all images captured by each surveillance camera will be kept at an off-site location. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 0.5% of the Safety and Security subsection. Maximum length 70 words.]

The Applicant's security surveillance camera plan requires recording of the entire premises 24/7/365. Duplicate recordings of all images captured by each surveillance camera shall be kept at an off-site location for redundancy. Specifically, recordings shall be stored on password-protected hard drives which will be securely locked in a commercial-grade storage cabinet within an access-controlled storage unit maintained by (or recommended by) the Applicant's trusted commercial security monitoring service provider.

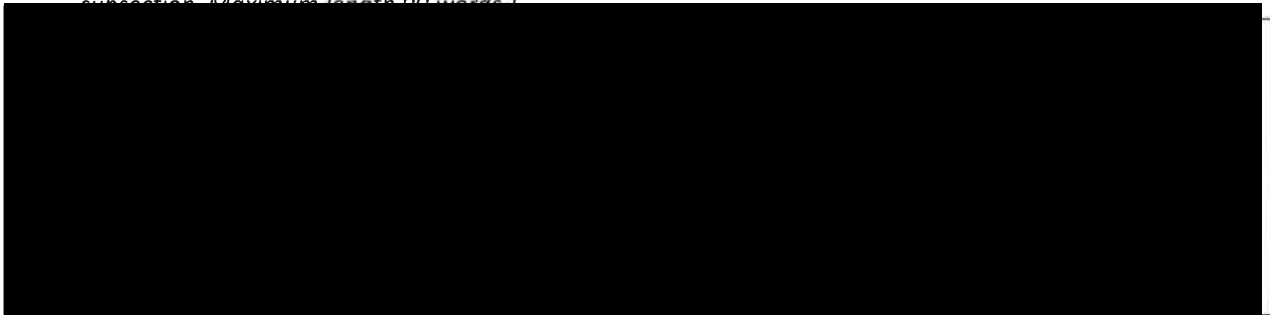
31. Please describe how recordings of security video surveillance will be accessed-limited. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 1.5% of the Safety and Security subsection. Maximum length 135 words.]

The Applicant's security video surveillance plan requires that the storage of all recordings be access-limited. Specifically, all security video recordings will be stored on password-protected hard drives which themselves will be securely locked in a commercial-grade storage cabinet within the access-controlled Security Manager's office (protected by a commercial-grade security door installed with pin pad and card swipe access features). Only authorized and properly credentialed persons may access the Security Manager's office and only the Security Manager will have access to the security video recording hard drive storage cabinet. The Security Manger will ensure that the storage cabinet is locked at all times except when stowing or removing hard drives as part of normal operations. Hard drive passwords will only be shared with the Security Manager and the Processor Supervisor.

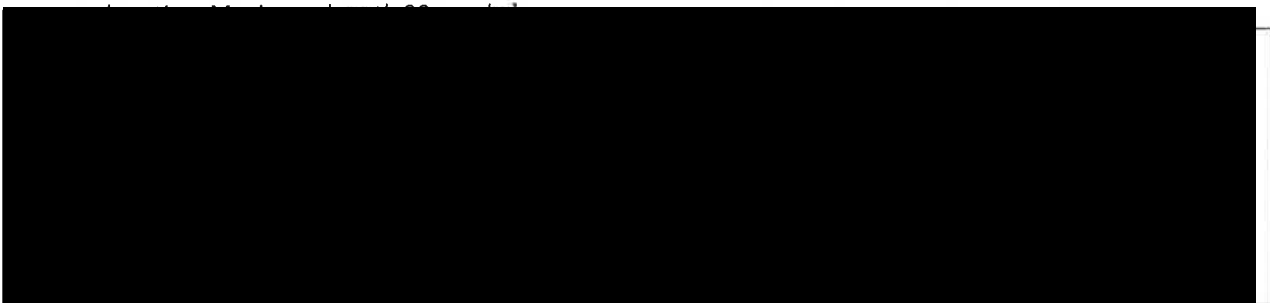
32. Please describe how recordings of security video surveillance will be secured by a security alarm system that is independent of the main premises security alarm system. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]



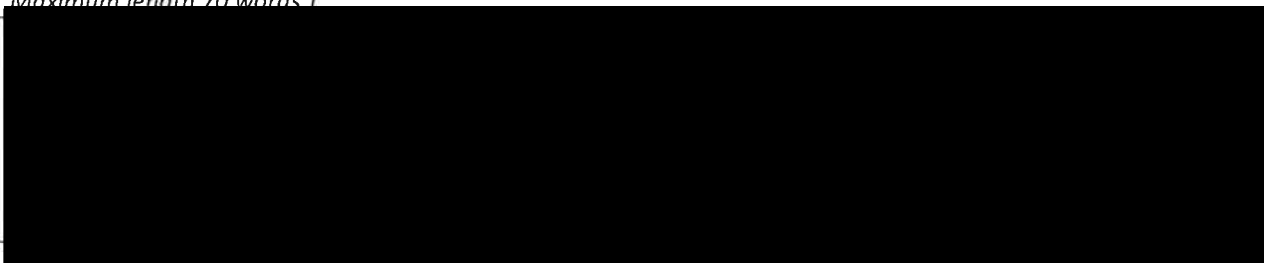
33. Please describe how recordings of security video surveillance will be in a format that can be easily accessed for investigational purposes. *

[Reference 10.62.21.06 of the regulations. Graded 0 to 5 scoring. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]



34. Please describe how recordings of security video surveillance will be retained for a minimum of 30 calendar days. *

[Reference 10.62.21.06 of the regulations. Graded Yes or No. Weighted 0.5% of the Safety and Security subsection. Maximum length 70 words.]



10.62.21.07

35. Please describe how, when a visitor is admitted to a non-public area of the premises of a Licensee, a registered processor agent will log the visitor in and out. *

[Reference 10.62.21.07 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]

Pursuant to the Applicant's standard operating procedures, when a visitor comes to the facility, a trained Processor Agent will review and verify the person's government-issued identification and other credentials and request the purpose of the visit. Upon verification and approval, the employee will issue a Visitor ID Badge and log the visitor in and out. If approved to visit a non-public area of the premises, the Processor Agent will log the visitor in and out of a special Non-Public Area Visitor Log. All logs will be kept for 5+ years.

36. Please describe how, when a visitor is admitted to a non-public area of the premises of a Licensee, a registered processor agent will retain with the log a photocopy of the visitor's government issued identification. *

[Reference 10.62.21.07 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]

Pursuant to the Applicant's standard operating procedures, when a visitor comes to the facility, a trained Processor Agent will review and verify the person's government-issued identification and other credentials and request the purpose of the visit. If verified and approved to visit a non-public area of the premises, the Processor Agent will log the visitor in and out of a special Non-Public Area Visitor Log and also retain with the log a photocopy of the visitor's government-issued identification. All logs will be kept for 5+ years.

37. Please describe how, when a visitor is admitted to a non-public area of the premises of a Licensee, a registered processor agent will continuously visually supervise the visitor while on the premises. *

[Reference 10.62.21.07 of the regulations. Graded 0 to 5 scoring. Weighted 2% of the Safety and Security subsection. Maximum length 180 words.]

Pursuant to the Applicant's standard operating procedures, when a visitor comes to the facility, a trained Processor Agent will review and verify the person's government-issued identification and other credentials and request the purpose of the visit. If verified and approved to visit a non-public area of the premises, the Processor Agent will issue a Visitor ID Badge (which must be visibly worn at all times while on the premises) and log the visitor in and out of a special Non-Public Area Visitor Log. Once properly vetted and logged, the visitor will be admitted to the waiting area and shall wait there until a designated authorized Processor Agent is available to escort the visitor to the non-public area(s) and to continuously visually supervise the visitor at all times while on the premises.

Additionally, facility security guards will be tasked to continuously visually monitor all activities of visitors while on the premises. Notably, all areas of the facility, including all non-public areas, are monitored by the commercial security monitoring service provider using the motion-activated surveillance video cameras recording all facility activities 24/27/365.

38. Please describe how, when a visitor is admitted to a non-public area of the premises of a Licensee, a registered processor agent will ensure that the visitor does not touch any plant or medical cannabis. *

[Reference 10.62.21.07 of the regulations. Graded 0 to 5 scoring. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]

Pursuant to standard operating procedures, when a visitor is approved for admission to a non-public area of the premises, he/she will first wait in the waiting area until a designated authorized Processor Agent is available to escort and continuously visually supervise the visitor at all times while on the premises. The escort will be responsible to ensure the visitor is verbally notified of the prohibition against visitors touching any medical cannabis. The escort and all proximal security guards will be responsible for enforcing the prohibition. Signage will reinforce prohibition awareness.

39. Please describe how the Applicant will maintain a log of all visitors to non-public areas for 2 years. *

[Reference 10.62.21.07 of the regulations. Graded Yes or No. Weighted 1% of the Safety and Security subsection. Maximum length 90 words.]

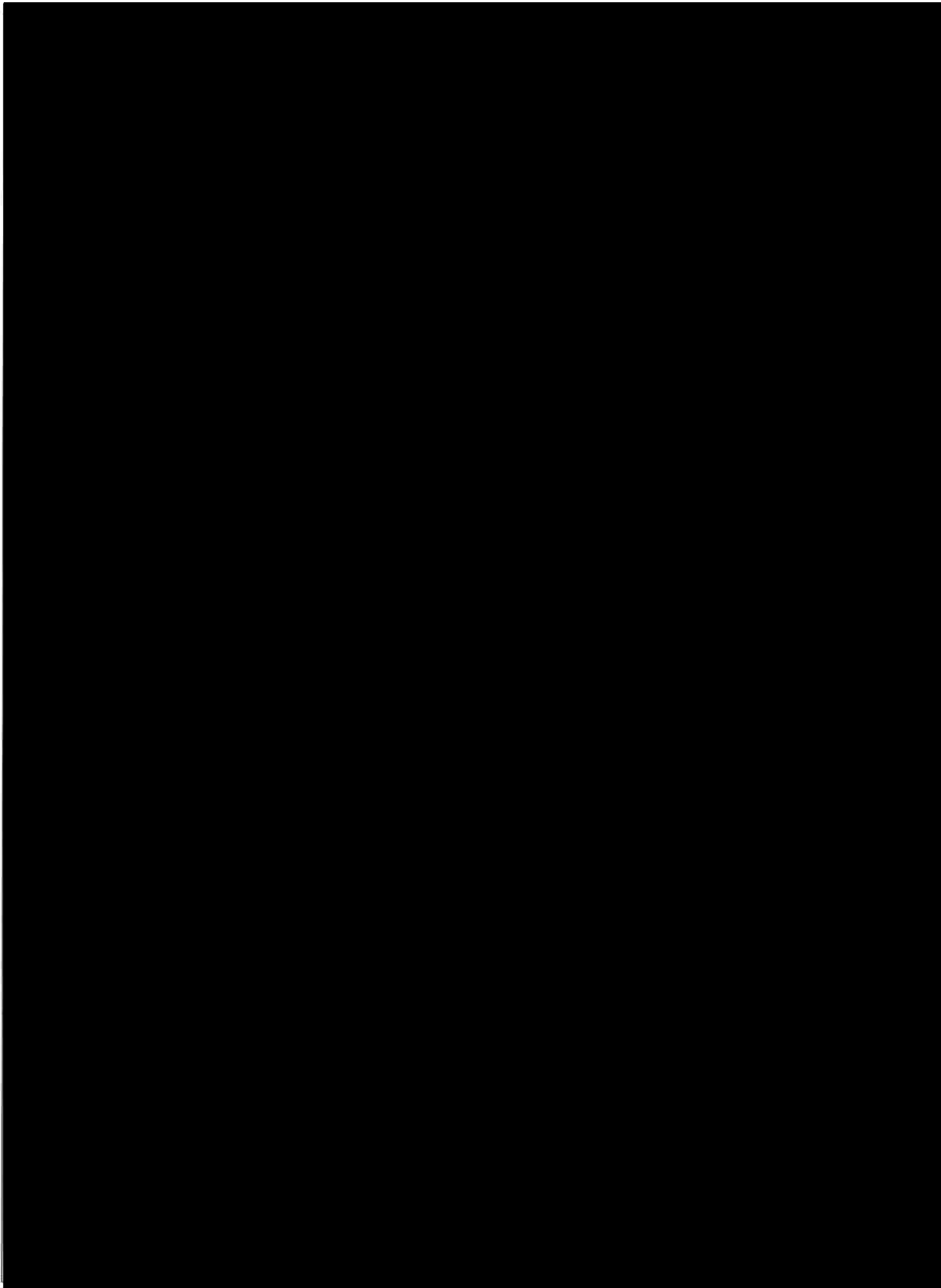
Pursuant to standard operating procedures, when a visitor is approved for admission to a non-public area, the employee will issue a Visitor ID Badge and log the visitor in and out of a special Non-Public Area Visitor Log. All visitor logs will be maintained in digital and hard copy format for 5+ years. Hard copies will be stored in a locked filing cabinet within the access-controlled Processor Supervisor's office. Digital copies will be save on password protected hard drives stored in a locked cabinet in the access-controlled Security Manager's office.

10.62.22.02

40. Please describe how the Applicant will train each registered processor agent in the standard operating procedure and retain attendance records. *

[Reference 10.62.22.02 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Business and Economic subsection. Maximum length 780 words.]





41. Please describe how the Applicant will assure that a copy of the standard operating procedure will be readily available on site for inspection by the Commission. *

[Reference 10.62.22.02 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

The Applicant's Human Resources Department will create and securely retain copies of all training materials (including workbooks and subject matter examinations), training session attendance records, and standard operating procedure manuals, handbooks and other related materials for at least 5 years (in hard copy and digital format). All records, including all standard operating procedure manuals, handbooks and other related materials will be made available for inspection by the Commission upon request.

10.62.22.03

42. Please describe how the Applicant will not acquire medical cannabis from an individual or entity in Maryland other than a Licensee. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

The Applicant will not acquire medical cannabis from an individual or entity in Maryland other than a Licensee. Processor Agents responsible for ordering/receiving medical cannabis must first verify the registration status of the proposed Shipping Licensee (ie, a Licensed Grower) before approving/accepting the order.

The Applicant may only acquire medical cannabis from verifiable Maryland registered Licensees. Processor Agents found violating this rule will be subject to suspension or termination.

43. Please describe how the Applicant will not acquire medical cannabis from outside Maryland unless authorized by the Commission. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

The Applicant will not acquire medical cannabis from outside the state of Maryland unless authorized by the Commission. Processor Agents responsible for ordering/receiving medical cannabis must first verify the registration status of the proposed Maryland based Shipping

Licensee (ie, a Licensed Grower) before approving/accepting the order.

The Applicant may only acquire medical cannabis from verifiable Maryland registered Licensed Growers. Processor Agents violating this rule may be terminated.

44. Please describe how the Applicant will not transport medical cannabis to any place outside of Maryland. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

The Applicant will not transport medical cannabis to any place outside the state of Maryland under any circumstances. Processor Agents responsible for transporting medical cannabis must first verify the destination address and confirm its location within Maryland prior to accepting the order and departing the processor facility. Facility management will be responsible to confirm all destination addresses before releasing a product shipment. Processor Agents violating this rule may be terminated.

45. Please describe how the receiving Applicant will detail in the standard operating procedure the steps set forth in 10.62.22.03 (C), (D) and(H), and a shipping Licensee shall detail in its standard operating procedure the steps set forth in 10.62.22.03 (C)—(H), to assure the integrity of the shipment of products containing cannabis. *

[Reference 10.62.22.03 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

The Applicant's standard operating procedures are compliant with COMAR 10.62.22.03 §§(C)-(H) and will assure the integrity of every shipment of products containing medical cannabis. All Processor Agents will be thoroughly trained and tested on receiving protocol upon hire. Non-compliance may lead to suspension or termination.

Receiving protocol, which complies with 10.62.22.03 §§(C), (D) and (H), includes:

- (1) Processor Supervisor must oversee all activities
- (2) Advanced notification of arrival from Shipping Licensee is mandatory
- (3) At least two Processor Agents must participate in all receiving procedures, including security
- (4) The surveillance system must record all receiving activities
- (5) Medical cannabis may not be made visible to the public at any time
- (6) Unpacking must take place within the access-controlled receiving room (a functional man-trap)
- (7) Transportation Agents must present valid identification and credentials to security who must verify the agents prior to escorting them into the premises from the parking lot
- (8) Transportation Agents must provide an electronic manifest upon arrival which will be logged into by Receiving Agents and used to checked the accuracy of the shipment as products are unpacked and accounted for
- (9) All packaging and labels must be inspected to ensure they are secure, undamaged, accurate, complete, and appropriately labeled and to confirm they are as described on the electronic manifest
- (10) Receiving Agents must record all confirmations in the electronic manifest and obtain in

the electronic manifest the signature or identification number of the Transportation Agents
(11) Receiving Agents will record the date and time they take custody of the shipment in the electronic manifest

(12) Discrepancies will be reported and thoroughly investigated immediately

Once accepted, Receiving Agents must enter each product into Plant Manager, the inventory control system. Thereafter, items will remain segregated from inventory until they can be inspected again to ensure packaging is undamaged, accurate and complete. Upon passing the final inspection, items may be released into inventory and must be transferred to the secure room for storage before processing. All receiving records and reports will be retained for at least 5 years.

46. Please describe how the receiving Applicant will detail in the standard operating procedure the steps set forth in 10.62.22.03 (C), (D) and(H), and a shipping Licensee shall detail in its standard operating procedure the steps set forth in 10.62.22.03 (C)—(H), to assure the integrity of the electronic manifest and inventory control system. *

[Reference 10.62.22.03 of the regulations. Graded 0 to 5 scoring. Weighted 2% of the Operational subsection. Maximum length 270 words.]

The Applicant's standard operating procedures are compliant with 10.62.22.03 §§(C)-(H) and assure the integrity of the electronic manifest and inventory control system through careful and accurate reporting. All Processor Agents will be trained on receiving protocol upon hire. Non-compliance may lead to suspension or termination.

Receiving protocol includes:

- (1) Processor Supervisor must oversee all activities and ensure an uninterrupted chain of custody
- (2) At least two Processor Agents must participate in receiving procedures for quality control and redundancy purposes
- (3) Transportation Agents must provide an electronic manifest upon arrival which will be logged into by Receiving Agents and used to checked the accuracy of the shipment as products are unpacked and inspected
- (4) All packaging and labels must be inspected to ensure they are secure, undamaged, accurate, complete, and appropriately labeled and to confirm they are as described on the electronic manifest
- (5) Receiving Agents must record all confirmations in the electronic manifest and obtain in the manifest the signature or identification number of the Transportation Agents
- (6) Receiving Agents will record the date and time they take custody of the shipment in the electronic manifest

To further assure the integrity of the electronic manifest and inventory control system, all receiving activities must be recorded by the surveillance system and all records, including the entry of each received product into the Applicant's inventory control system, must be accompanied by Receiving Agents' registration identification numbers. Upon passing final inspections, items may be released into inventory and must then be transferred to the secure room for storage before processing. All receiving records and reports will be retained for at least 5 years.

47. Please describe how the receiving Applicant will detail in the standard operating procedure the steps set forth in 10.62.22.03 (C), (D), and (H) and a shipping Licensee shall detail in its standard operating procedure the steps set forth in 10.62.22.03 (C)—(H), to assure the quality of the products in the shipment. *

[Reference 10.62.22.03 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

The Applicant's standard operating procedures are compliant with 10.62.22.03 §§(C)-(H) and assure the quality of the products in the shipment. All Processor Agents will be trained and tested on receiving protocol upon hire. Non-compliance may lead to suspension or termination.

Receiving protocol, which complies with 10.62.22.03 §§(C), (D) and (H), includes:

- (1) Processor Supervisor must oversee all activities, including the cleanliness and sanitation of all areas, equipment and personnel
- (2) At least two Processor Agents must participate in receiving procedures for quality control and redundancy purposes
- (3) All receiving activities must be recorded by the surveillance system
- (4) Medical cannabis may not be made visible to the public at any time and may not be mishandled or mistreated
- (5) Unpacking must take place within the access-controlled receiving room
- (6) Transportation Agents must provide an electronic manifest upon arrival which will be logged into by Receiving Agents and used to checked the accuracy of the shipment as products are unpacked and inspected for quality control
- (7) All packaging and labels must be inspected to ensure they are secure, undamaged, accurate, complete, and appropriately labeled and to confirm they are as described on the electronic manifest
- (8) Any laboratory results, particularly related to stability, quality and contamination, will be requested and analyzed
- (9) Unfit and unsafe items must be rejected
- (10) Receiving Agents must record all confirmations in the electronic manifest and obtain in the electronic manifest the signature or identification number of the Transportation Agents
- (11) Receiving Agents will record the date and time they take custody of the shipment in the electronic manifest

Only after the quality of each item is approved may the Receiving Agents enter it into the inventory control system. Thereafter, items will remain segregated from inventory until they can be inspected again to ensure packaging is undamaged, accurate and complete. Upon passing final inspections, items may be released into inventory and must then be transferred to the secure room for storage before processing. All receiving records and reports will be retained for at least 5 years.

48. Please describe how the Applicant will assure that, upon arrival of a medical cannabis transport vehicle, the transportation agent will notify an appropriate registered processor agent to continue the chain of custody of the shipment of products containing cannabis. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Operational subsection. Maximum length 135 words.]

Pursuant to standard operating procedures, the Applicant's Transportation Agent transporting a shipment of products containing medical cannabis must give advanced notification of the arrival of the transport vehicle to the receiving Licensed Dispensary or testing laboratory. At that time, the Transportation Agent must confirm the receiving party is prepared to continue the chain of custody of the shipment. Upon arrival, the Transportation Agent must observe all receiving activities and ensure the uninterrupted chain of custody.

The Transportation Agent must ensure Receiving Agents log into the electronic manifest and record all accounting results and quality assurance inspections. Once items have been approved and accepted, the Transportation Agent must ensure Receiving Agents take custody of the shipment and enter the products into an inventory control system capable of maintaining chain of custody tracking until dispensing or disposal.

49. Please describe how the Applicant will assure that an agent of the receiving Licensee will log into the electronic manifest. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]

The Applicant will train Transportation Agents to ensure receiving Licensees accurately log data into the electronic manifest upon delivering any medical cannabis shipment. Entries include confirmations of integrity and accuracy of items, confirmation of packaging and labeling, signature or identification number of the Receiving Agent(s), and date/time of custody.

Upon return to the facility, the Processor Supervisor will review all completed electronic manifests to ensure accuracy.

50. Please describe how the Applicant will assure that an agent of the receiving Licensee will take custody of a shipment of products containing cannabis. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

The Applicant will train Transportation Agents to ensure receiving Licensees take custody of a shipment of products containing cannabis after following delivery protocol, including logging into the electronic manifest, recording the results, and obtaining the signatures of the Receiving Agent(s) on the electronic manifest. Once a shipment is accepted, Transportation Agents will give custody of the shipment and confirm the products are entered into the receiving Licensee's inventory control system.

51. Please describe how the Applicant will assure that an agent of the receiving Licensee will confirm that (1) the transportation agent is carrying appropriate identification; (2) the package is secure, undamaged, and appropriately labeled; (3) each package in the shipment is labeled as described in the electronic manifest; (4) the contents of the shipment are as described in the electronic manifest. *

(1) [Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]

- (2) *[Reference 10.62.22.03 of the regulations. Graded 0 to 5 scoring. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]*
- (3) *[Reference 10.62.22.03 of the regulations. Graded 0 to 5 scoring. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]*
- (4) *[Reference 10.62.22.03 of the regulations. Graded 0 to 5 scoring. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]*

1) The Applicant will ensure Receiving Agents confirm the Transportation Agent is carrying appropriate identification by training staff to request a signed confirmation (included in the electronic shipping manifest) that appropriate identification documents were presented to the receiving Licensee upon arrival.

Upon arrival, the Transportation Agent must present appropriate identification to the receiving Licensee. Before departure, the Transportation Agent must obtain the Receiving Agent's signature on the identification confirmation document.

2) The Applicant will ensure Receiving Agents confirm that packaging is secure, undamaged, and appropriately labeled by training staff to request a signed confirmation (included in the electronic shipping manifest) that all packages delivered were secure, undamaged, and appropriately labeled.

Before departure, the Transportation Agent must obtain the Receiving Agent's signature on the packaging and labeling confirmation document. The Processor Supervisor will later review the document to ensure proper execution.

3) The Applicant will ensure Receiving Agents confirm that each package in the shipment is labeled as described in the electronic manifest by training staff to request a signed confirmation (included in the electronic shipping manifest) that each package in the shipment was labeled as described in the electronic manifest.

Before departure, the Transportation Agent must obtain the Receiving Agent's signature on the labeling confirmation document within the electronic manifest.

4) The Applicant will ensure Receiving Agents confirm that the contents of the shipment are as described in the electronic manifest by training staff to request a signed confirmation (included in the electronic shipping manifest) that the contents of the shipment were as described in the electronic manifest.

Before departure, the Transportation Agent must obtain the Receiving Agent's signature on the shipment description confirmation document within the electronic manifest.

52. Please describe how the Applicant will assure that an agent of the receiving Licensee will record the confirmations of the electronic manifest. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]

Transportation Agents will be trained upon hire and during annual refresher training to obtain signatures from Receiving Agents on all required confirmations in the electronic manifest as part of standard delivery procedures. Failure to record the confirmations may result in

suspension or termination of employment.

Upon return to the processor facility, the Processor Supervisor will review all completed electronic manifests to ensure all confirmations have been signed and recorded.

53. Please describe how the Applicant will assure that an agent of the receiving Licensee will obtain in the electronic manifest the signature or identification number of the transportation agent who delivers the shipment. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

Transportation Agents will be trained ensure the Receiving Agent obtains the delivering Transportation Agent's signature or identification number in the electronic manifest. Before departure, the Transportation Agent must request a signed confirmation that the Receiving Agent has entered such Transportation Agent's signature or identification number in the electronic manifest.

The Processor Supervisor will review all completed electronic manifests to ensure compliant entry of the Transportation Agent's signature or identification number.

54. Please describe how the Applicant will assure that an agent of the receiving Licensee will record in the electronic manifest the date and time the receiving agent takes custody of the shipment. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]

Transportation Agents will be trained ensure Receiving Agents record in the electronic manifest the date and time the Receiving Agent(s) takes custody of the shipment. Before departure, the Transportation Agent must request a signed confirmation that the Receiving Agent(s) has recorded the date and time of taking custody in the electronic manifest.

The Processor Supervisor will review all completed electronic manifests to ensure compliant entry of the date and time.

55. Please describe how the Applicant will assure that an agent of the receiving Licensee will enter the products containing cannabis into the inventory control system. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

Transportation Agents will be trained ensure Receiving Agents(s) enter the products containing cannabis into the receiving Licensee's inventory control system. Before departure, the Transportation Agent must request a signed confirmation (included in the electronic manifest) that the Receiving Agent(s) has entered the products into their inventory control system.

Upon return to the processor facility, the Processor Supervisor will review completed electronic manifests to ensure inventory entry confirmations have been signed.

56. Please describe how the Applicant will assure that an agent of the receiving Licensee will segregate the items in the shipment from the inventory until the item can be inspected. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

Transportation Agents will be trained ensure Receiving Agent(s) segregate items in a shipment from the inventory until the items can be inspected. Before departure, the Transportation Agent must request a signed confirmation (included in the electronic manifest) that the Receiving Agent(s) has segregated the shipped items from its inventory until the items were inspected.

The Processor Supervisor will review completed electronic manifests to ensure the segregation confirmations have been signed.

57. Please describe how the Applicant will assure that an agent of the receiving Licensee will inspect each item to ensure that the packaging of each item is undamaged, accurate, and complete. *

[Reference 10.62.22.03 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

Receiving Agents will be trained to inspect each item of a shipment of products containing medical cannabis to ensure that the packaging of each item is undamaged, accurate, and complete. Failure to inspect each item to confirm the integrity, quality, accuracy, and completeness of packaging may result in suspension or termination of employment. Items not passing internal inspection must be rejected and returned to the Shipping Licensee.

As shipped products are unpacked, at least 2 Receiving Agents (for quality control and redundancy of inspection purposes) will begin the first of several inspections of each item's packaging. Receiving Agents will review the provided electronic manifest and check it against the actual items in the shipment to confirm products appear as they are described in the manifest. As items are unloaded, employees must analyze the quality and state of the packaging. Damaged, inaccurate, and/or incomplete packaging is unacceptable and must be rejected without exception.

Once all items have been unloaded, Receiving Agents will conduct a second inspection of each items packaging to ensure they are secure, undamaged, accurate, complete, and appropriately labeled. Receiving Agents will follow a detailed inspection checklist to guide the review. After secondary review, Receiving Agents must confirm the inspections in the electronic manifest. Again, any damaged, inaccurate, and/or incomplete packaging must be rejected.

At this point, the Receiving Agents may accept only approved items, however such accepted items must remain segregated from inventory until they are inspected yet again to ensure packaging is undamaged, accurate and complete. This final inspection takes place as each item is entered into the Applicant's inventory control system. Upon passing final inspections, items may be released into inventory and must be transferred to the secure room for storage.

All receiving activities will be monitored and recorded by the surveillance system and must be handled by at least 2 trained and authorized employees to ensure absolute procedural compliance. Moreover, the Processor Supervisor will oversee all receiving activities, including the redundant quality control and quality assurance inspections of the packaging of each item.

58. Please describe how the Applicant will assure that an agent of the receiving Licensee will, upon determining that the item passes inspection, release the item into the stock. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]

The Applicant will ensure Receiving Agents release items into the stock only upon determining each item passes inspection by training staff to request a signed confirmation (included in the electronic shipping manifest) that the items were not released into the stock until passing inspection.

Before departure, the Transportation Agent must obtain the Receiving Agent's signature on the inspection confirmation. The Processor Supervisor will later review the document to ensure execution.

59. Please describe how the Applicant will assure that the transportation agent will provide a copy of the electronic manifest for the shipment to the receiving Licensee. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

The Applicant will ensure the Transportation Agent provides a copy of the electronic manifest for the shipment by training staff to request a signed confirmation that a copy of the completed electronic manifest for the shipment to the receiving Licensee was provided.

Before departure, the Transportation Agent must obtain the Receiving Agent's signature on the electronic manifest provision confirmation. The Processor Supervisor will later review the document to ensure execution.

60. Please describe the Applicant will assure that the transportation agent will provide the completed electronic manifest to the shipping Licensee. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

Transportation Agents will be trained in all shipment policies and procedures, including how to complete the required electronic manifest. All Transportation Agents are required to provide the completed electronic manifest to the Shipping Licensee (ie, the Applicant) upon return to the processor facility after every delivery without exception. Failure to do so may result in suspension or termination.

The Processor Supervisor will review all provided electronic manifests for compliant completion.

61. Please describe how the Applicant will assure that the shipping Licensee will retain the electronic manifest for the shipment for 5 years. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, Transportation Agents must complete an electronic manifest as part of every shipment and return the same to the facility for review and retention.

Upon completion, the Applicant will save each electronic manifest in its electronic recordkeeping system used to perpetually store all records created (for historical analysis

purposes). All printouts of electronic manifests will be retained on-site, with duplicate records stored off-site, for 5+ years.

62. Please describe how the Applicant will assure that a discrepancy between the electronic manifest and the shipment, identified by either a transportation agent or a receiving agent, will be reported by each agent to each agent's supervisor. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

Pursuant to standard operating procedures, upon suspicion of a discrepancy between the electronic manifest and the shipment, identified by either a Transportation Agent or a Receiving Agent, Receiving Agents must immediately cease all receiving procedures and confirm the existence of a discrepancy. Actions include reviewing recorded surveillance footage, re-checking the electronic manifest against all physical items in the subject shipment, and interviewing each person involved in the subject incident. If a discrepancy is confirmed, Receiving Agents must immediately report the circumstances to the Processor Supervisor and will instruct the Transportation Agent to immediately report the discrepancy to their supervisor. Failure to timely and properly report a shipment discrepancy to the Processor Supervisor (or to confirm the Transportation Agent has reported the discrepancy to their supervisor) will be grounds for suspension or termination.

In an effort to facilitate the detection of a shipment discrepancy, all shipment receiving activities must: (1) be monitored and recorded by the surveillance system, (2) only take place within the receiving room, (3) be conducted by 2+ Receiving Agents, including 1+ security guards, (4) be overseen by the Processor Supervisor, (5) properly logged in the electronic manifest, (6) be entered and recorded into the Applicant's inventory control system, and (7) include multiple rounds of audits and physical inspections conducted by the participating Receiving Agents.

These policies will both mitigate discrepancies and facilitate subsequent discrepancy investigations. Processor Agents will create accurate records of the entire discrepancy investigation, including record entries of the date and time that the discrepancy was first reported to the appropriate supervisors, which will be retained for at least 5 years.

If necessary based on the circumstances, the Applicant's standard operating procedures will be amended, updated and improved to avoid a similar incident from occurring again and enhance the reporting protocol. All Processor Agents will be given copies of the revised standard operating procedures and will be trained on new protocol accordingly.

63. Please describe how the Applicant will assure that, if a discrepancy can be immediately rectified, the accepting processor supervisor will record the rectification in the electronic manifest. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

Pursuant to standard operating procedures, upon suspicion of a discrepancy between the electronic manifest and the shipment, identified by either a Transportation Agent or a Receiving Agent, Receiving Agents must immediately cease all receiving procedures and

confirm the existence of a discrepancy. Actions include reviewing recorded surveillance footage, re-checking the electronic manifest against all physical items in the subject shipment, and interviewing each person involved in the subject incident. If a discrepancy is confirmed, Receiving Agents must immediately report the circumstances to the Processor Supervisor and will instruct the Transportation Agent to immediately report the discrepancy to their supervisor. Failure to timely and properly report a shipment discrepancy to the Processor Supervisor (or to confirm the Transportation Agent has reported the discrepancy to their supervisor) will be grounds for suspension or termination.

In an effort to facilitate the detection of a shipment discrepancy, all shipment receiving activities must: (1) be continuously monitored and recorded by the surveillance system, (2) only take place within the secure, access-controlled receiving room, (3) be conducted by at least 2 highly trained Receiving Agents, including at least one security guard, (4) be overseen by the Processor Supervisor, (5) properly logged and recorded in the electronic manifest, (6) be entered and recorded into the Applicant's inventory control and recordkeeping systems, and (7) include at least 3 rounds of mandatory audits and physical inspections conducted by the participating Receiving Agents.

If a shipment discrepancy can be immediately rectified, the Receiving Agents will take all appropriate actions, including creating accurate reports of the rectification in the Applicant's inventory control and recordkeeping systems. Upon any immediate rectification, the Processor Supervision will be responsible to record the rectification in the shipment's electronic manifest, with his or her registration identification number included in the entry. Failure to immediately record the immediate rectification in the electronic manifest or in the Applicant's inventory control and recordkeeping systems will be grounds for suspension or termination.

64. Please describe how the Applicant will assure that a discrepancy that cannot be immediately rectified will be reported to the Commission by the receiving Licensee within 24 hours of the observation of the discrepancy and an investigation of the discrepancy shall be initiated by the shipping Licensee. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

Pursuant to standard operating procedures, upon suspicion of a discrepancy between the electronic manifest and the shipment, Receiving Agents must immediately cease all receiving procedures and confirm the existence of a discrepancy. Actions include reviewing recorded surveillance footage, re-checking the electronic manifest against all physical items in the subject shipment, and interviewing each person involved in the subject incident. If a discrepancy is confirmed, Receiving Agents must immediately report the circumstances to the Processor Supervisor who will oversee all subsequent investigations. Failure to timely and properly report a shipment discrepancy to the Processor Supervisor will be grounds for suspension or termination.

In an effort to facilitate the discrepancy detection, all shipment receiving activities must: (1) be continuously monitored and recorded by the surveillance system, (2) only take place within the secure, access-controlled receiving room, (3) be conducted by at least 2 highly trained Receiving Agents, including at least one security guard, (4) be overseen by the Processor

Supervisor, (5) properly logged and recorded in the electronic manifest, (6) be entered and recorded into the Applicant's inventory control and recordkeeping systems, and (7) include at least 3 rounds of mandatory audits and physical inspections conducted by the participating Receiving Agents.

If a shipment discrepancy cannot be immediately rectified, the Processor Supervisor will initiate a comprehensive internal investigation (to commence no later than 24 hours after the observation of the discrepancy). Processor Agents will create accurate records and reports of the entire discrepancy investigation, which will be retained for at least 5 years. A preliminary report must be submitted to the Commission within 7 business days of discrepancy discovery and final investigation report must be submitted within 30 business days of the discrepancy discovery.

The entire discrepancy investigation, including submission of investigation reports to the Commission, must be completed within 30 business days of discovering the discrepancy. Failure to timely initiate the investigation, timely complete the investigation, or timely submit the investigation reports to the Commission will be grounds for suspension or termination.

65. Please describe how the Applicant will assure that the shipping Licensee will submit to the Commission a preliminary report of an investigation of a discrepancy within 7 business days of the observation of the discrepancy. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

The Applicant (ie, the Shipping Licensee) will ensure that if a discrepancy in the shipment is discovered, it will submit a preliminary report of the investigation to the Commission within 7 business days of the discovery, and it will also require the Receiving Licensee to do the same. Processor Agents will be trained on the relevant protocol, including requesting confirmation from the Receiving Licensee that it will submit a timely preliminary report. Such confirmation will be a condition of sale. Failure to accept the condition or failure to timely submit the preliminary report will be deemed a breach of contract. Upon notice of any such breach, the Applicant must immediately cease all dealings with the offending Licensee.

If evidence of a discrepancy is found, Transportation Agents must immediately cease all shipping/distribution procedures and confirm the existence of a discrepancy. Actions include requesting recorded surveillance footage, re-checking the electronic manifest against all physical items in the subject shipment, and interviewing each person involved in the subject incident (including all Receiving Agents). If a discrepancy is confirmed, Transportation Agents will immediately notify the Processor Supervisor.

The Applicant will create accurate records and reports of the entire discrepancy investigation, which will be retained for at least 5 years. Both the Applicant's and the Receiving Licensee's preliminary investigation reports must be sent to the Commission within 7 business days of the observation of the discrepancy. A Processor Agent's failure to timely submit a preliminary investigation report or obtain confirmation that the Receiving Licensee will do the same will be grounds for suspension or termination.

Both the Applicant's and the Receiving Licensee's final reports of the investigation must also be sent to the Commission. The entire investigation, including all final reports, must be completed and submitted to the Commission within 30 business days of discovering the discrepancy.

If the Applicant determines that a Processor Agent was intentionally or negligently involved in the discrepancy, such Processor Agent will be terminated, reported to the Commission, and reported to the Maryland State Police for prosecution.

66. Please describe how the Applicant will assure that the shipping Licensee will submit to the Commission a final report of the investigation within 30 business days. *

[Reference 10.62.22.03 of the regulations. Graded Yes or No. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

The Applicant (ie, the Shipping Licensee) will ensure that if a discrepancy in the shipment is discovered, it will submit a final report of the investigation to the Commission within 30 business days of the discovery, and it will also require the Receiving Licensee to do the same. Processor Agents will be trained on the relevant protocol, including requesting confirmation from the Receiving Licensee that it will submit a timely final report. Such confirmation will be a condition of sale. Failure to accept the condition or failure to timely submit the final report will be deemed a breach of contract. Upon notice of any such breach, the Applicant must immediately cease all dealings with the offending Licensee.

If evidence of a discrepancy is found, Transportation Agents must immediately cease all shipping/distribution procedures and confirm the existence of a discrepancy. Actions include requesting recorded surveillance footage, re-checking the electronic manifest against all physical items in the subject shipment, and interviewing each person involved in the subject incident (including all Receiving Agents). If a discrepancy is confirmed, Transportation Agents will immediately notify the Processor Supervisor.

The Applicant will create accurate records and reports of the entire discrepancy investigation, which will be retained for at least 5 years. Both the Applicant's and the Receiving Licensee's preliminary investigation reports must be sent to the Commission within 7 business days of the observation of the discrepancy.

Both the Applicant's and the Receiving Licensee's final reports of the investigation must also be sent to the Commission. The entire investigation, including all final reports, must be completed and submitted to the Commission within 30 business days of discovering the discrepancy. A Processor Agent's failure to timely submit a final investigation report or obtain confirmation that the Receiving Licensee will do the same will be grounds for suspension or termination.

If the Applicant determines that a Processor Agent was intentionally or negligently involved in the discrepancy, such Processor Agent will be terminated, reported to the Commission, and reported to the Maryland State Police for prosecution.

10.62.22.04

67. Please describe how an Applicant's standard operating procedure will provide for maintaining the cleanliness of any building or equipment used to store or display medical cannabis. *

[Reference 10.62.22.04 of the regulations. Graded 0 to 5 scoring. Weighted 4% of the Operational subsection. Maximum length 540 words.]

[REDACTED]

[REDACTED] Standard operating procedures include daily, weekly and monthly cleaning requirements of the facility and all fixtures with the goal of maintain a clean, sanitary and professional looking facility reminiscent of a professional research laboratory or pharmaceutical manufacturing plant.

According to protocol, the Processor Supervisor will oversee all cleaning and sterilization activities and will inspect the work of employees to ensure the goals of the Applicant are met.

Daily Cleaning. At the beginning and end of each business day, employees will be given a checklist of items which need cleaning and/or sanitization. Items include windows, door knobs, welcome mats, countertops, chairs, telephones, computers, scales/measuring devices, barcode scanners, labeling equipment, re-packaging equipment, and other tools used on a regular basis. Special attention will be given to any equipment used to store or display medical cannabis or that will come in contact with employees. Standard cleaning supplies will be used, including a light bleach solution or anti-microbial soap when appropriate. As items are cleaned, the employee undertaking the task must initial the checklist next to the item(s) once completed.

Staff will also clean all restrooms, the locker rooms, waiting area, extraction and infusion areas, packaging and labeling areas, storage areas, and all common areas of the facility which typically have heavy traffic. Employees will sweep the floors and sidewalk adjacent to the entryway. Rubbish must be discarded regularly.

Weekly Cleaning. At least once a week, employees will be given a checklist of items which need enhanced attention when cleaning and/or sanitizing. Items include components of the security system (ie, cameras, alarms, card swipes, etc.), office areas, break room, secure room (including all safes and other equipment used to store medical cannabis), and other areas of the facility which have relatively less traffic. As items are cleaned, the employee undertaking the task must initial the checklist next to the item(s) once completed.

Monthly Cleaning. At least once a month, employees will be given a checklist of items which need more intensive attention when cleaning and/or sanitizing. Items include the exterior facade of the building. As items are cleaned, the employee undertaking the task must initial the checklist next to the item(s) once completed.

Hygiene. Employees will be required to come to work in a clean and hygienic manner. Staff will be required to frequently wash their hands, particularly after handling medical cannabis, coming into contact with any other person, after eating, and after using the restroom. All uniforms and work attire must be clean and free of dirt, debris, dust, and the like. Employees failing to follow hygienic protocol may be subject to suspension or termination.

68. Please describe how an Applicant will have a standard operating procedure to maintain the medical cannabis free from contamination. *

[Reference 10.62.22.04 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

The Applicant will implement standard operating procedure to maintain the medical cannabis free of contamination. To ensure this, all Processor Agents are required to be thoroughly trained and tested on the standard operating procedures, including protocol ensuring the integrity of medical cannabis from the time it is received until it is distributed. Contaminated medical cannabis is prohibited from being distributed and will be immediately transferred to quarantine for disposal in accordance with an approved disposal plan.

Inventory Inspections. To ensure medical cannabis arrives free of contamination, Receiving Agents must strictly adhere to the Applicant's receiving policies and procedures, particularly the required inspections of all items shipped (eg, as items are unpacked, as items are logged into the electronic manifest, and as items are entered into the inventory tracking system) and will also review laboratory testing results regarding contamination. Medical cannabis will be re-inspected prior to extraction and/or infusion, including when items are (1) transferred to the secure room for storage, (2) transferred to the extraction and infusion areas, and (3) packaged for distribution. Medical cannabis will also be re-inspected when prepared for shipment to a receiving Licensee.

Employee Hygiene. Employees will be required to come to work in a clean and hygienic manner. Staff will be required to frequently wash their hands, particularly after handling medical cannabis, coming into contact with any person, after eating, and after using the restroom. All uniforms and work attire must be clean and free of dirt, debris, dust, and the like. Employees failing to follow hygienic protocol may be subject to suspension or termination. The hygiene policy will further ensure medical cannabis free of contamination.

Facility Cleanliness. Under the Processor Supervisor's supervision, employees will be given a checklist of items which need cleaning and/or sanitization on daily, weekly, and monthly basis. As items are cleaned, the employee undertaking the task must initial the checklist next to the item(s) once completed. Maintaining a clean, sanitary environment akin to a professional research laboratory or pharmaceutical manufacturing plant will mitigate the risk of medical cannabis contamination.

69. Please describe how an Applicant will have a standard operating procedure to require a processor agent to report any personal health condition that might compromise the cleanliness or quality of the medical cannabis the processor agent might handle. *

[Reference 10.62.22.04 of the regulations. Graded Yes or No. Weighted 2% of the Production Control subsection. Maximum length 135 words.]

Processor Agents are required to report to supervisors any personal health condition that might compromise the cleanliness or quality of the medical cannabis they might handle. To ensure this, Processor Agents will be trained on standard operating procedures, including protocol ensuring a clean and sanitary workplace and first-aid procedures.

When notified, supervisors will use their best judgment to protect the facility's interests, always erring on the side of caution. Options include segregating the employee and prohibiting them from handling medical cannabis, or sending the employee home until cleared to return.

If the condition appears serious, the employee must refrain from coming to the premises until cleared by a physician and may be advised to visit an emergency room or medical specialist.

Employees will never be reprimanded for disclosing a health condition to a supervisor.

70. Please describe how an Applicant's standard operating procedure will provide for disposal and segregated storage of any medical cannabis that is outdated, damaged, deteriorated, misbranded, or adulterated. *

[Reference 10.62.22.04 of the regulations. Graded 0 to 5 scoring. Weighted 2% of the Production Control subsection. Maximum length 135 words.]

Pursuant to standard operating procedures, the Applicant will separately store any medical cannabis that is outdated, damaged, deteriorated, misbranded, or adulterated in the secure quarantine room until such item is disposed. Processor Agents will be trained to inspect all medical cannabis each time it is handled in the facility. If a product is suspected of being unfit for manufacturing or distribution, the employee will immediately notify a supervisor and quarantine the item until further inspection.

During quarantine inspection, the product will undergo examination to assess whether it is outdated, damaged, deteriorated, misbranded, or adulterated. If the item is salvageable, appropriate action will be taken. Otherwise, the item will be discarded in a designated bin marked "FOR DISPOSAL." However, if such item could potentially contaminate other products on the premises, it must be disposed of immediately.

71. Please describe how an Applicant's standard operating procedure will provide for disposal and segregated storage of any medical cannabis whose containers or packages have been improperly or accidentally opened. *

[Reference 10.62.22.04 of the regulations. Graded 0 to 5 scoring. Weighted 2% of the Production Control subsection. Maximum length 135 words.]

Pursuant to standard operating procedures, the Applicant will separately store in the secure quarantine room any medical cannabis whose containers or packages have been improperly or accidentally opened until such item is disposed. Processor Agents will be trained to inspect all medical cannabis each time it is handled. If a product is suspected of being unfit for processing or distribution, the employee will immediately notify a supervisor who and quarantine the item until further inspection.

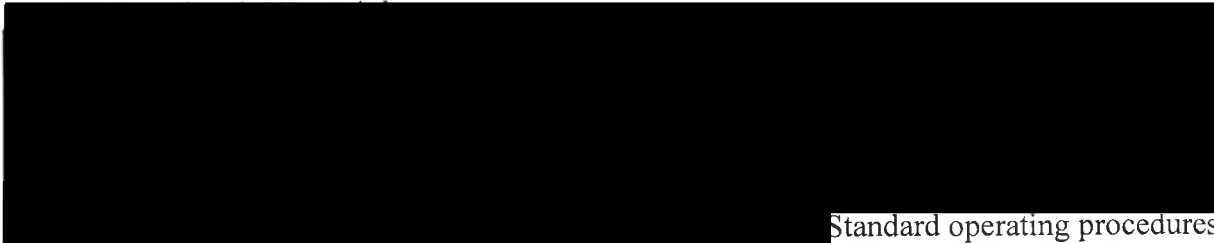
During quarantine inspection, the product will undergo examination to assess whether the container or packaging has been improperly or accidentally opened. If determined to be the

case, the item will be discarded in a designated bin marked “FOR DISPOSAL.” However, if such item could potentially contaminate other medical cannabis on the premises, it must be disposed of immediately.

10.62.22.05

72. Please describe how an Applicant’s standard operating procedure will provide for maintaining the sanitation of equipment that comes into contact with medical cannabis. *

[Reference 10.62.22.05 of the regulations. Graded 0 to 5 scoring. Weighted 4% of the Operational subsection.]

 Standard operating procedures include regular equipment cleaning and sanitation protocol with the goal of maintaining a clean, sanitary and contamination-free dispensary reminiscent of a professional research laboratory or pharmaceutical manufacturing plant.

According to protocol, the Processor Supervisor will oversee all equipment contamination prevention procedures and will inspect the work of employees to ensure the Applicant’s goals are met.

Employee Hygiene. Employees will be required to come to work in a clean and hygienic manner. Staff will be required to frequently wash their hands, particularly after handling medical cannabis, handling equipment coming into contact with medical cannabis, coming into contact with another person, after eating, and after using the restroom. All uniforms and work attire must be clean and free of dirt, debris, dust, and the like. Employees failing to follow hygienic protocol may be subject to suspension or termination. The hygiene policy will help ensure contamination-free equipment.

Facility Cleanliness. Under the Processor Supervisor’s management, employees will be given a checklist of items which need cleaning and/or sanitization on daily, weekly, and monthly basis. As items are cleaned, the employee undertaking the task must initial the checklist next to the item(s) once completed. Maintaining a clean, sanitary environment akin to a professional research laboratory or pharmaceutical manufacturing plant will mitigate the risk of equipment contamination.

Inventory Inspections. To ensure medical cannabis arrives free of contamination, Receiving Agents must strictly adhere to the Applicant’s receiving policies and procedures, particularly the required inspections of all items shipped (eg, as items are unpacked, as items are logged into the electronic manifest, and as items are entered into the inventory tracking system) and will also review laboratory testing results regarding contamination. Medical cannabis will be re-inspected often, including when items are (1) transferred to the secure room for storage, (2) transferred to the extraction and infusion areas, (3) transferred to the packaging and labeling areas, and (4) prepared for shipment. Contaminated items will be disposed of immediately to

ensure the maintenance of sanitary equipment.

Equipment Sanitation. All equipment coming into contact with medical cannabis (eg, scales, measuring devices, display cases, countertops, tables, packaging equipment, handling utensils, etc.) must be cleaned and sanitized at the beginning of each business day, immediately after coming in to contact with medical cannabis, and again at the end of each day. Employees must keep detailed records of each instance of sanitation.

Processor Agents will be trained to inspect each piece of equipment prior to use, particularly if such use involves contact with medical cannabis. If any equipment is suspected of being contaminated in a manner which cannot be cleaned or sanitized (ie, it is unsalvageable), it must be immediately disposed and removed from the facility to mitigate the potential spread of contamination. Upon suspicion of such unsalvageable equipment, the Processor Agent must notify the Processor Supervisor who will oversee disposal activities.

73. Please describe how the Applicant will ensure that automatic, mechanical, or electronic equipment is routinely calibrated and periodically check to ensure proper performance. *

[Reference 10.62.22.05 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]

The Applicant will require that automatic, mechanical, or electronic equipment be routinely calibrated and periodically checked to ensure proper performance, in accordance with approved standard operating procedures. To ensure this, the Applicant will require all Processor Agents to be thoroughly trained on standard operating procedures, including the routine inspection, calibration, and maintenance of all equipment with the goal of running a high functioning facility reminiscent of a professional research laboratory or pharmaceutical manufacturing plant.

According to protocol, the Processor Supervisor will oversee all equipment inspection, calibration, and maintenance and will inspect the work of employees to ensure the goals of the Applicant are met. Note, employees must keep detailed records of each instance of inspection and/or calibration.

Equipment Inspections. All automatic, mechanical, or electrical equipment will be regularly checked to ensure proper performance at the beginning of each business day and immediately after each use. If equipment is suspected of damage or malfunction, the Processor Supervisor will be immediately notified and a comprehensive inspection and test of the equipment will ensue. If the equipment cannot be repaired to perform to standards, it must be removed and replaced immediately.

Equipment Calibration. All equipment requiring calibration, such as scales, balances, or other measuring devices, will be checked (and immediately calibrated, if necessary) to ensure proper performance at the beginning of each business day and prior to each use. If equipment is suspected of damage or malfunction, the Processor Supervisor will be immediately notified and a comprehensive inspection and test of the equipment will ensue. If the equipment cannot be calibrated to perform to standards, it must be removed and replaced immediately.

74. Please describe how the Applicant will ensure that any scale, balance, or other measurement device is routinely calibrated and periodically check to ensure accuracy. *

[Reference 10.62.22.05 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]

The Applicant will require that any scale, balance, or other measurement device be routinely calibrated and periodically checked to ensure accuracy, in accordance with approved standard operating procedures. To ensure this, the Applicant will require all Processor Agents to be thoroughly trained on standard operating procedures, including the routine inspection, calibration, and maintenance of all measurement devices with the goal of running a high functioning facility reminiscent of a professional research laboratory or pharmaceutical manufacturing plant.

According to protocol, the Processor Supervisor will oversee all measurement device inspection, calibration, and maintenance and will inspect the work of employees to ensure the goals of the Applicant are met. Note, employees must keep detailed records of each instance of inspection and/or calibration.

Equipment Inspections. Every scale, balance, or other measurement device will be regularly checked to ensure proper performance at the beginning of each business day and immediately prior to each use. If equipment is suspected of permanent damage or malfunction, the Processor Supervisor will be immediately notified and a comprehensive inspection and test of the device will ensue. If the device cannot be repaired to perform to standards, it must be removed and replaced immediately.

Equipment Calibration. Every scale, balance, or other measurement device will be checked (and immediately calibrated, if necessary) to ensure accuracy at the beginning of each business day and prior to each use. If equipment is suspected of inaccurate measurements, the Processor Supervisor will be immediately notified and a comprehensive inspection and test of the device will ensue. If the device cannot be calibrated to perform to standards, it must be removed and replaced immediately.

75. Please describe how the Applicant will maintain an accurate log recording the cleaning of equipment. *

[Reference 10.62.22.05 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operation procedures, the Applicant will maintain an accurate log recording the cleaning of equipment. To ensure this, Processor Agents will be trained on cleaning and recordkeeping protocol.

In practice, employees will be given equipment cleaning checklists each day. Once cleaned, the employee undertaking the task must initial the checklist next to the item(s). The Processor Supervisor will review cleaning logs for accuracy and retain them for safekeeping.

76. Please describe how the Applicant will maintain an accurate log recording the maintenance of equipment. *

[Reference 10.62.22.05 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operation procedures, the Applicant will maintain an accurate log recording the maintenance of equipment. To ensure this, Processor Agents will be trained on maintenance and recordkeeping protocol.

In practice, employees will be given equipment maintenance checklists each day. Once inspected/maintained, the employee undertaking the task must initial the checklist next to the item(s). The Processor Supervisor will review maintenance logs for accuracy and retain them for safekeeping.

77. Please describe how the Applicant will maintain an accurate log recording the calibration of equipment. *

[Reference 10.62.22.05 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operation procedures, the Applicant will maintain an accurate log recording the calibration of equipment. To ensure this, Processor Agents will be trained on calibration and recordkeeping protocol.

In practice, employees will be given equipment calibration checklists each day. Once calibrated, the employee undertaking the task must initial the checklist next to the item(s). The Processor Supervisor will review calibration logs for accuracy and retain them for safekeeping.

10.62.22.06

78. Please describe how an Applicant will submit to the Commission at the end of the month following each calendar quarter a list of the products and the products' specifications that the Licensee offered for distribution in the previous calendar quarter. *

[Reference 10.62.22.06 of the regulations. Graded Yes or No. Weighted 1% of the Operational subsection. Maximum length 70 words.]

The Applicant will submit to the Commission on the last day of the month following each quarter a list of the products (and their specifications) that the Applicant offered for distribution in the previous quarter. The Processor Supervisor will be responsible to assure compliance with quarterly reporting requirements. To facilitate accurate reports, Processor Agents will be trained to utilize the inventory control system to track and document all distributed products.

10.62.23.02

79. Please describe how the Applicant will require that any person involved in processing medical cannabis concentrates and medical cannabis-infused products is (1) appropriately trained in accordance to their job description to safely operate and maintain the system used for processing and attendance records are retained, (2) has direct access to applicable material safety sheets and labels, and (3) follows OSHA protocols for handling and storage of all chemicals. *

(1) [Reference 10.62.23.02 of the regulations. Graded 0 to 5 scoring. Weighted 10% of the Operational subsection. Maximum length 1,350 words.]

(2) [Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]

(3) [Reference 10.62.23.02 of the regulations. Graded 0 to 5 scoring. Weighted 5% of the Operational subsection. Maximum length 675 words.]



run compliance with District Regulations, and training will begin upon hire and will take approximately 7-10 days to complete. All Processor Agents must complete initial training on the safe operation and maintenance of the system used for processing before being permitted to commence work at the facility.

Training Instructors. The Applicant will engage an appropriate assortment of subject matter experts to train its Processor Agents. Instructors will include the Processor Supervisor, qualified management personnel, the Security Manager, experienced personnel from affiliates in Washington DC, consultants, and other appropriate persons.

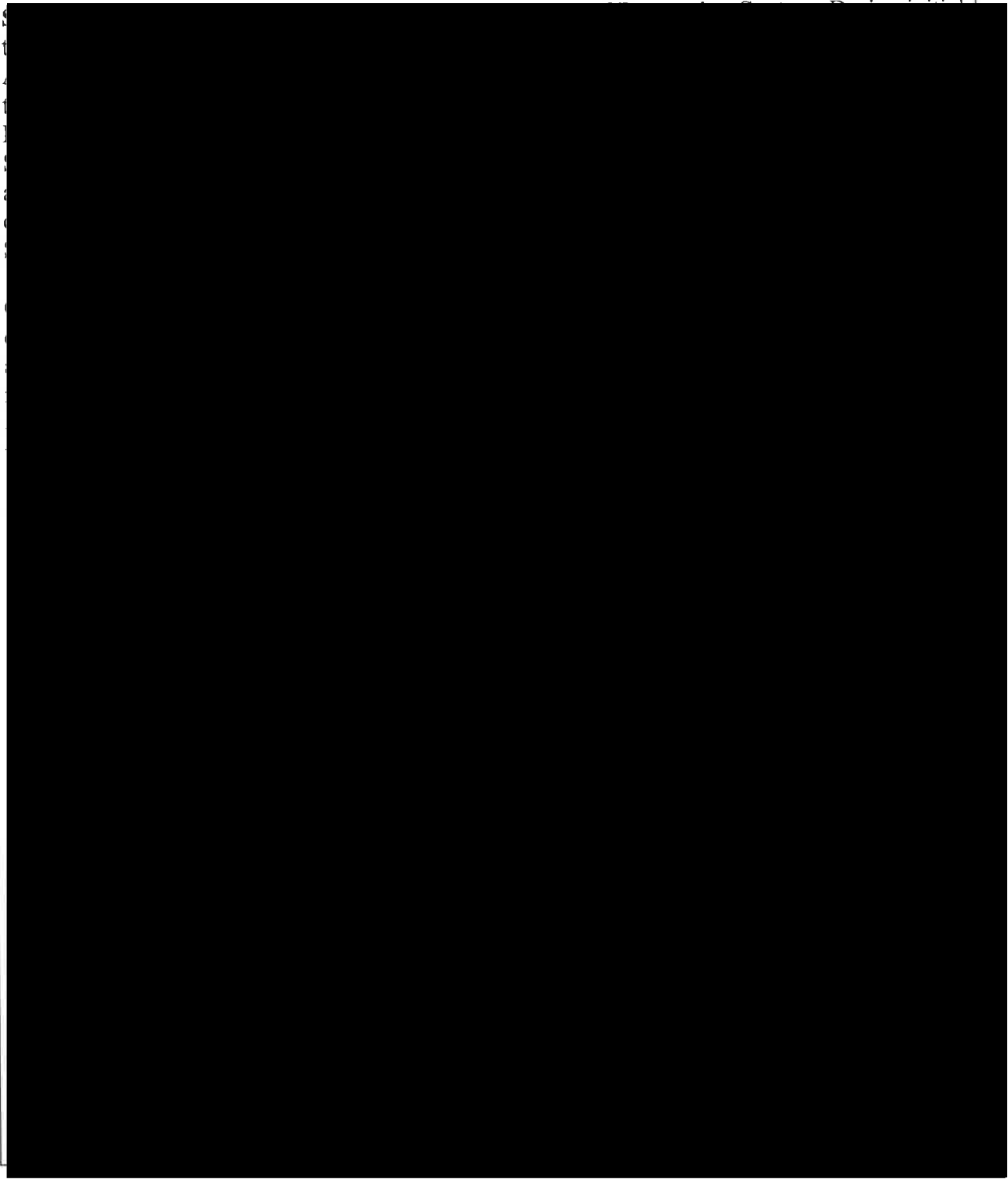
Training Program. The Processor training program will consist of a series of classes, videos, workbooks, manuals and one-on-one sessions to ensure compliance with facility rules, Applicant policies and procedures, security measures, Maryland Medical Cannabis Program regulations, and job requirements and responsibilities. General training topics will include, but are not limited to, operating and maintain the system used for processing, Federal and State medical cannabis laws and regulations pertinent to the Processor Agent's responsibilities, standard operating procedures, security procedures, safety procedures, Alcohol and Drug Free Workplace Policy, the inventory control system, discrepancy reporting, destruction and disposal protocol, medical cannabis shipment packaging and procedures, quality control measures, and other pertinent subjects which could enhance the quality of products manufactured by the Applicant.

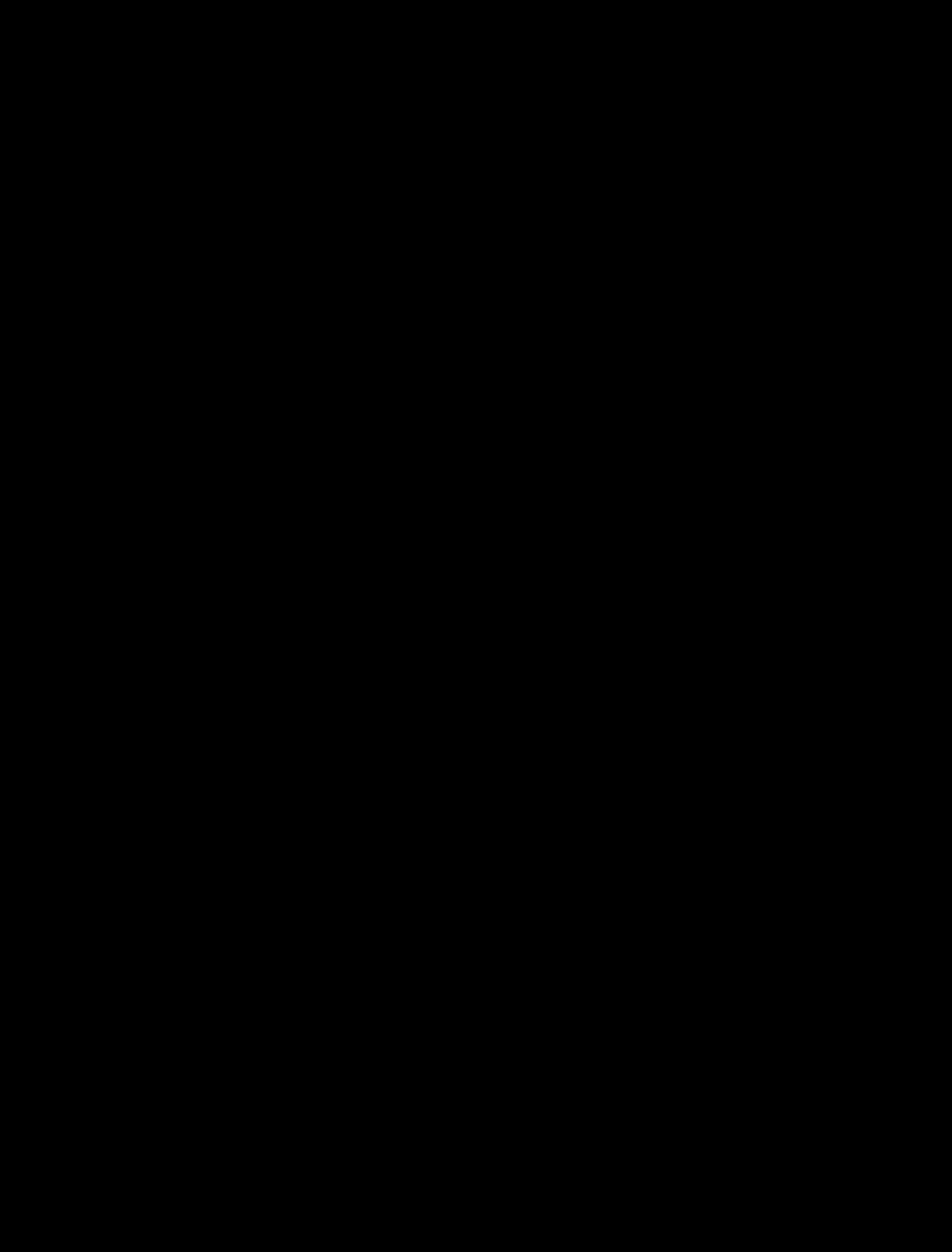
The training program will ensure all pertinent information is retained by Processor Agents through the use of multiple choice, open-ended question, and/or oral examinations upon the completion of each training session. Employees will need to score at least a 75% in each subject before being permitted to work at the premises. Those needing extra assistance or special examination accommodations must notify the Processor Supervisor, who will assure their needs are adequately met. The Applicant acknowledges that different people learn best using different techniques and will accommodate trainees accordingly. As each examination has been successfully passed, the trainee will be issued a certificate verifying mastery of the subject. A copy of the certificate will be retained by the Human Resources Department for safekeeping.

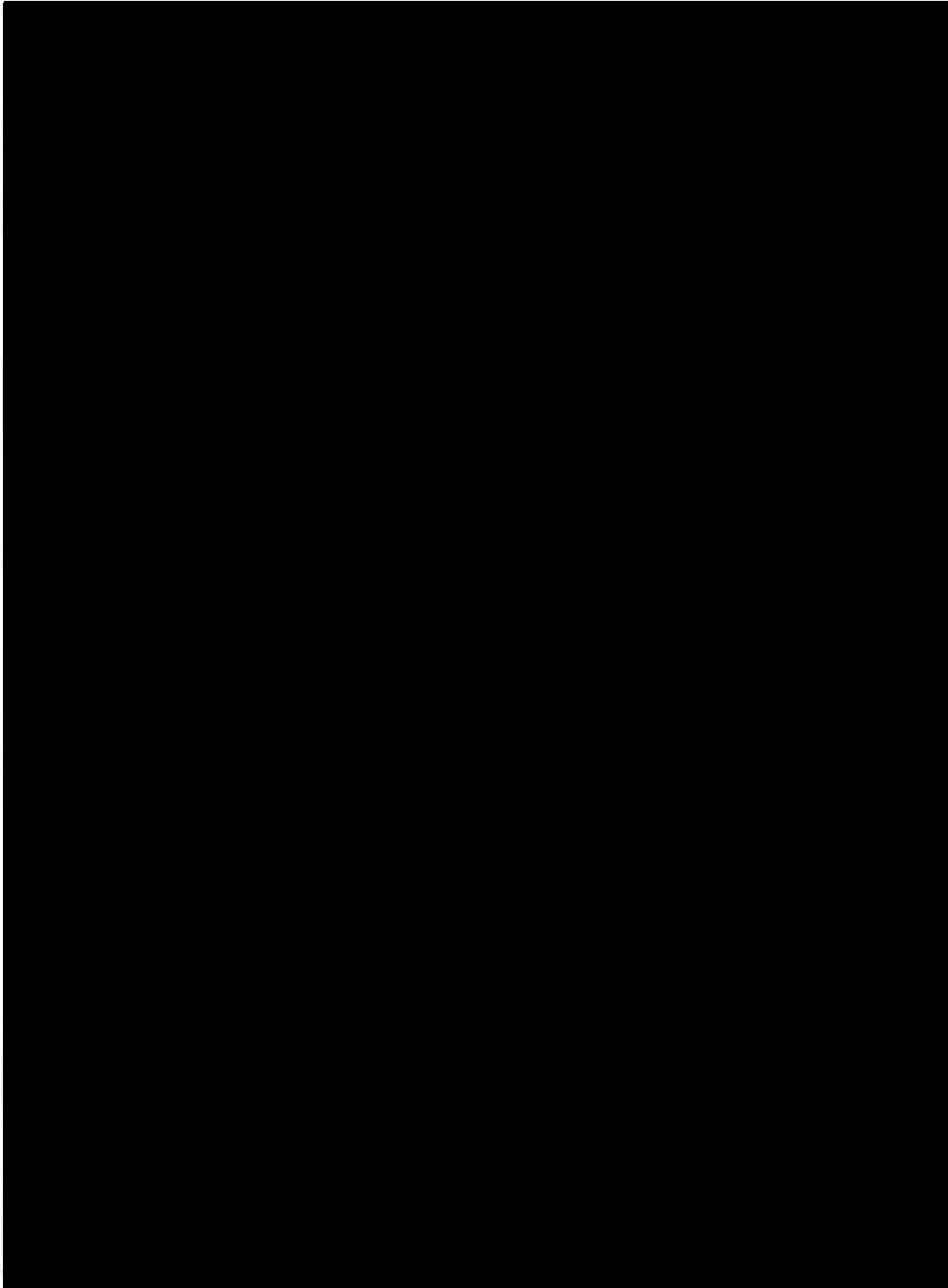
Refresher Training. At least once every twelve months, all Processor Agents will be required to attend refresher training courses on particular subjects such as updates to the safe operation and maintenance of the system used for processing, and other pertinent subjects which could enhance the quality of products manufactured by the Applicant. At least 10 hours of refresher training will be required to continue work at the facility, including at least 1 hour of continuing

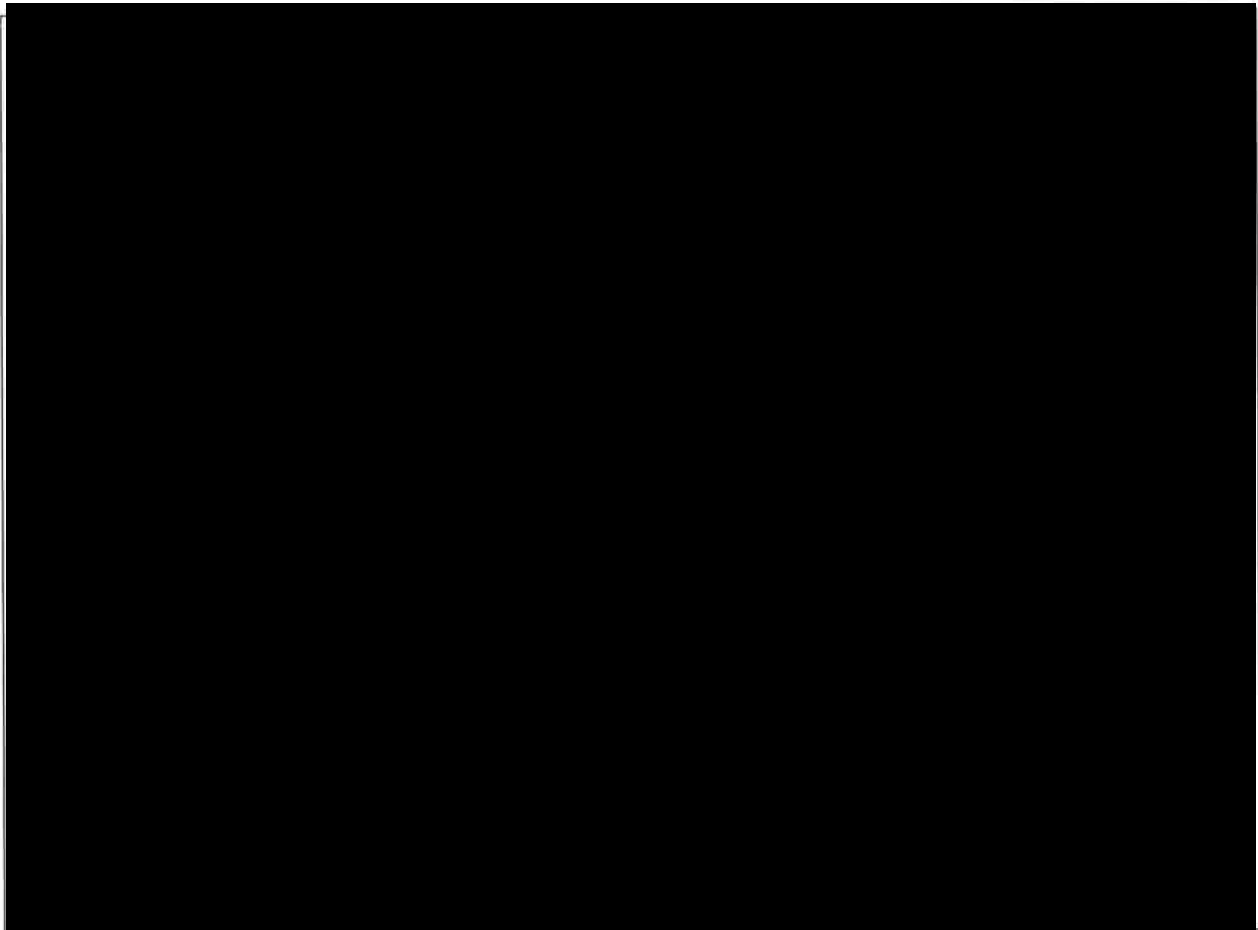
education on the operation and maintenance of the processing system.

Failure to attend annual refresher training will be grounds for suspension and possible termination. It will be within the Processor Supervisor’s discretion to determine appropriate punishment for delayed or non-attendance.









80. Please describe how the Applicant will assign a unique lot number to each lot of medical cannabis concentrate of medical cannabis-infused product. *

[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]

Pursuant to standard operating procedures, the Applicant will use its proprietary perpetual inventory control system, called Plant Manager, to create a unique lot number for lot of medical cannabis concentrate and medical cannabis-infused product. Upon receiving raw medical cannabis materials for processing from a Licensed Grower, a Processor Agent will immediately issue a unique lot number (embedded in a bar code) and affix a label displaying the lot number on the material's packaging. The bar code can be scanned to track the lot through processing. Processor Agents will be trained to scan the bar code and enter all actions and pertinent processing information related to the lot in Plant Manager, including weight, date of receiving, date and method of extraction, date and method of infusion, date of packaging, testing results, etc.)

Some medical cannabis lots will be extracted to create medical cannabis concentrates while others will be segregated for the infusion process to manufacture medical cannabis-infused products. At each processing stage when a new product is created from a lot, a new unique lot number will be issued and embedded in the product's bar code. This facilitates new product tracking by lot.

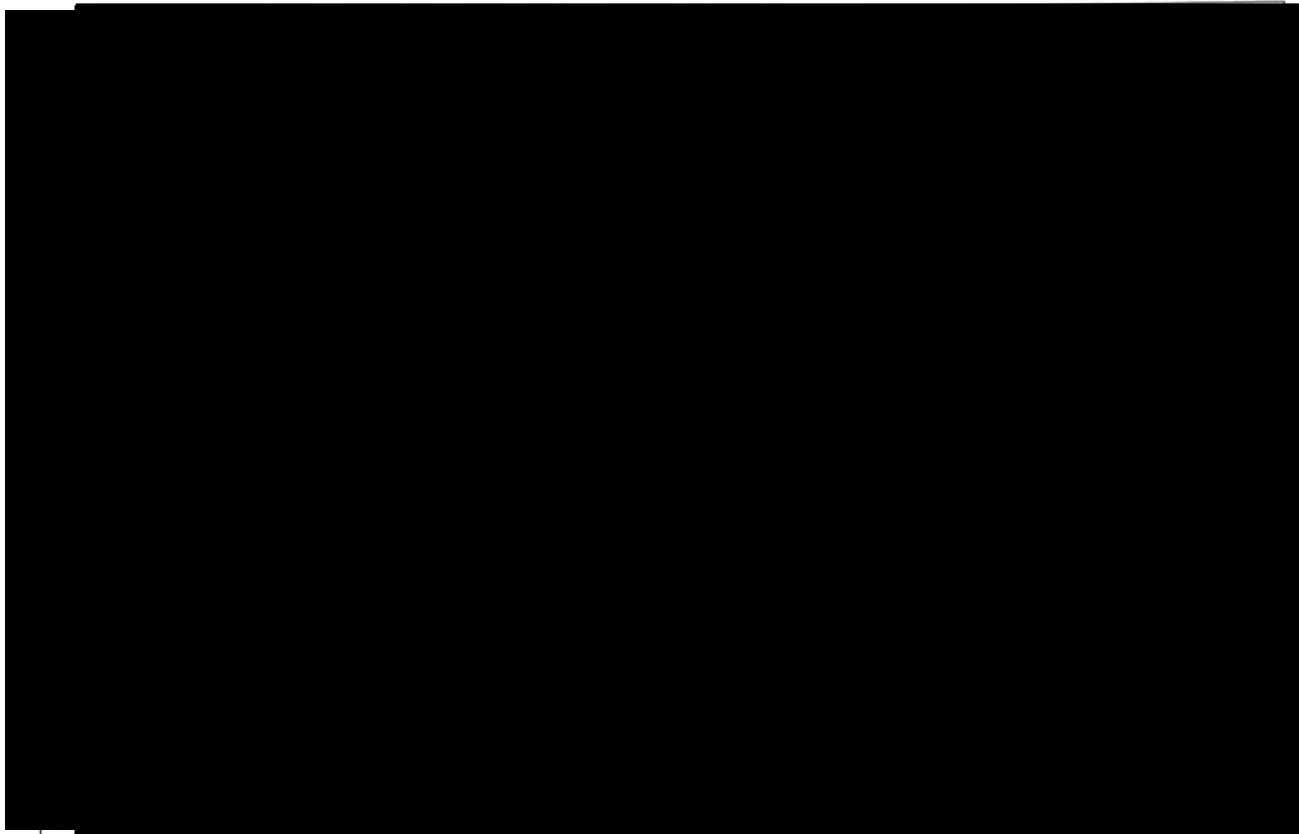
In order to create an accurate chain of custody report and to facilitate the tracking of all products manufactured, from the time raw material is received until a medical cannabis finished product is distributed, the entire “history” of each product must be stored on Plant Manager. Accordingly, all previously issued lot numbers will be saved in the product’s historical files retained in Plant Manager, even though a product is given at least one new unique number during processing.

81. Please describe how the Applicant will carry out a validation process on the first 10 lots of any new medical cannabis concentrate, medical cannabis-infused product, or process, to establish the validity of the production process. *

[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 9% of the Operational subsection. Maximum length 1,215 words.]

Pursuant to standard operating procedures, the Applicant will carry out a validation process on the first 10 lots of any new medical cannabis concentrate, medical cannabis-infused product, or process, to establish the validity of the production process. All Processor Agents will be thoroughly trained on the validation process required for any new products or processes introduced at the facility. The Processor Supervisor is responsible to ensure the compliant completion of all validation processes, including the review and approval of the testing results. To ensure the integrity of any validation process, a series of lot samples must be tested over an adequate period of time. For example, one lot sample will be tested every three days for thirty days, and testing results will be compared for analysis purposes.

Validation Process Experience. The CEO’s affiliated Washington DC medical cannabis businesses have been safely and compliantly processing medical cannabis concentrates and medical cannabis-infused products for several years and have developed a reputation in the marketplace for high quality, contaminant-free, pharmaceutical-grade products which are consistently and reliably produced. Such consistency and reliability come in part due to the proven validation processes implemented in the District, which will be adopted and modified accordingly by the Applicant at its Maryland processor facility, if licensed.



Validation Process Test Results. If any of the first 10 lots of any new medical cannabis concentrate, medical cannabis-infused product, or process fails validation process testing, the effected lots must be quarantined, destroyed and disposed according to approved disposal methods and the new products or processes will be either modified accordingly or prohibited from further production or implementation at the facility. If the process is modified, an entirely new set of the first 10 lots of any new medical cannabis concentrates and/or medical cannabis-infused products must undergo the validation process.

However, if validation process testing results are successful and lots meet or exceed the Applicant's quality control standards, then the new product types and/or process will be approved for future production or implementation at the facility. Accordingly, the Applicant will assign an expiration date to all lots successfully passing testing based upon the results of the stability testing conducted by the independent laboratory, release such lots for distribution (after proper labels are affixed to compliant packaging), and update the status of the lots in the inventory control system.

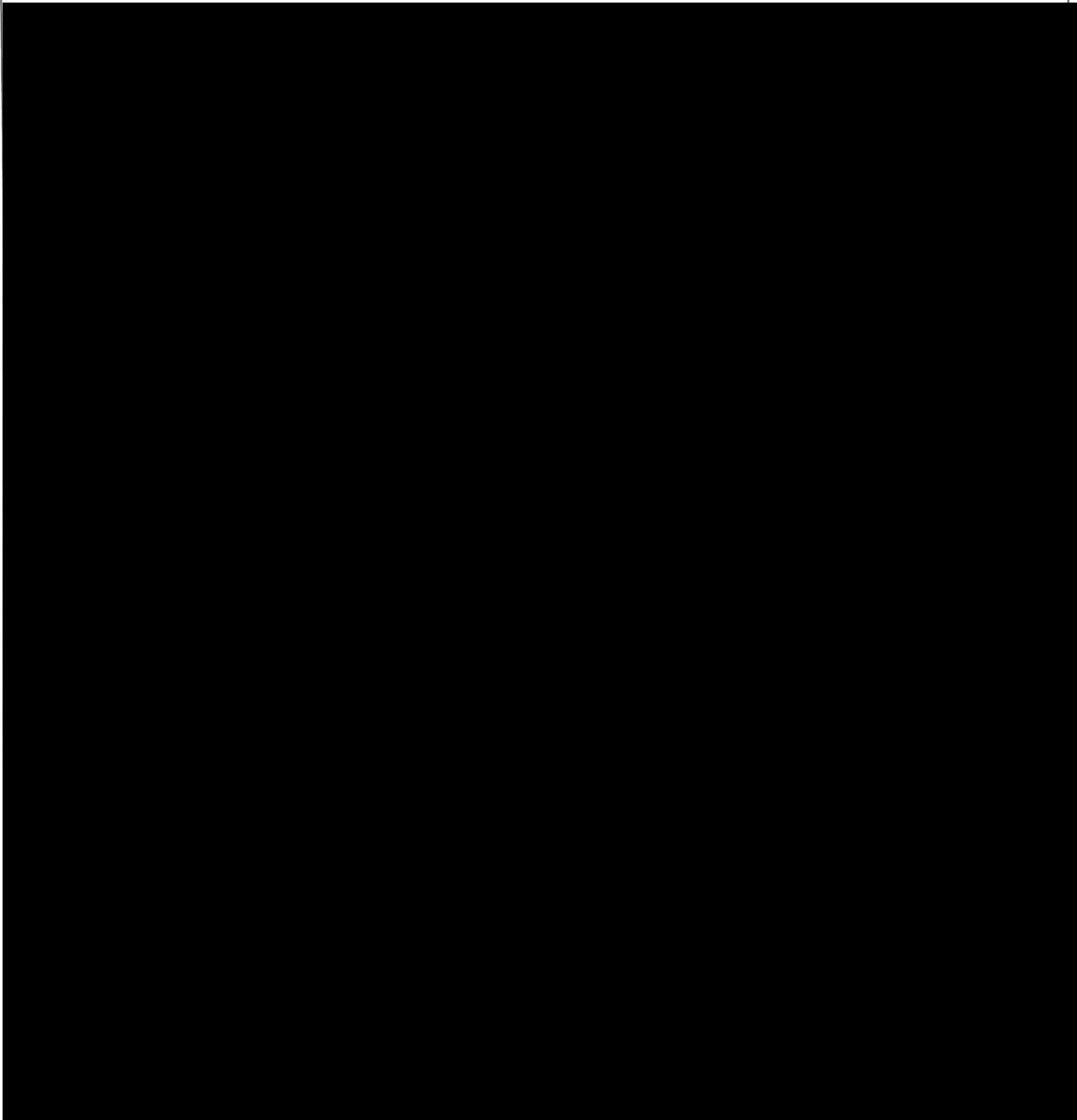
82. Please describe how the Applicant will establish a standard operating procedure for the methods, equipment, solvents, and gases when processing medical cannabis concentrates and medical cannabis-infused products. *

[Reference 10.62.23.02 of the regulations. Graded 0 to 5 scoring. Weighted 14.5% of the Operational subsection. Maximum length 1,960 words.]

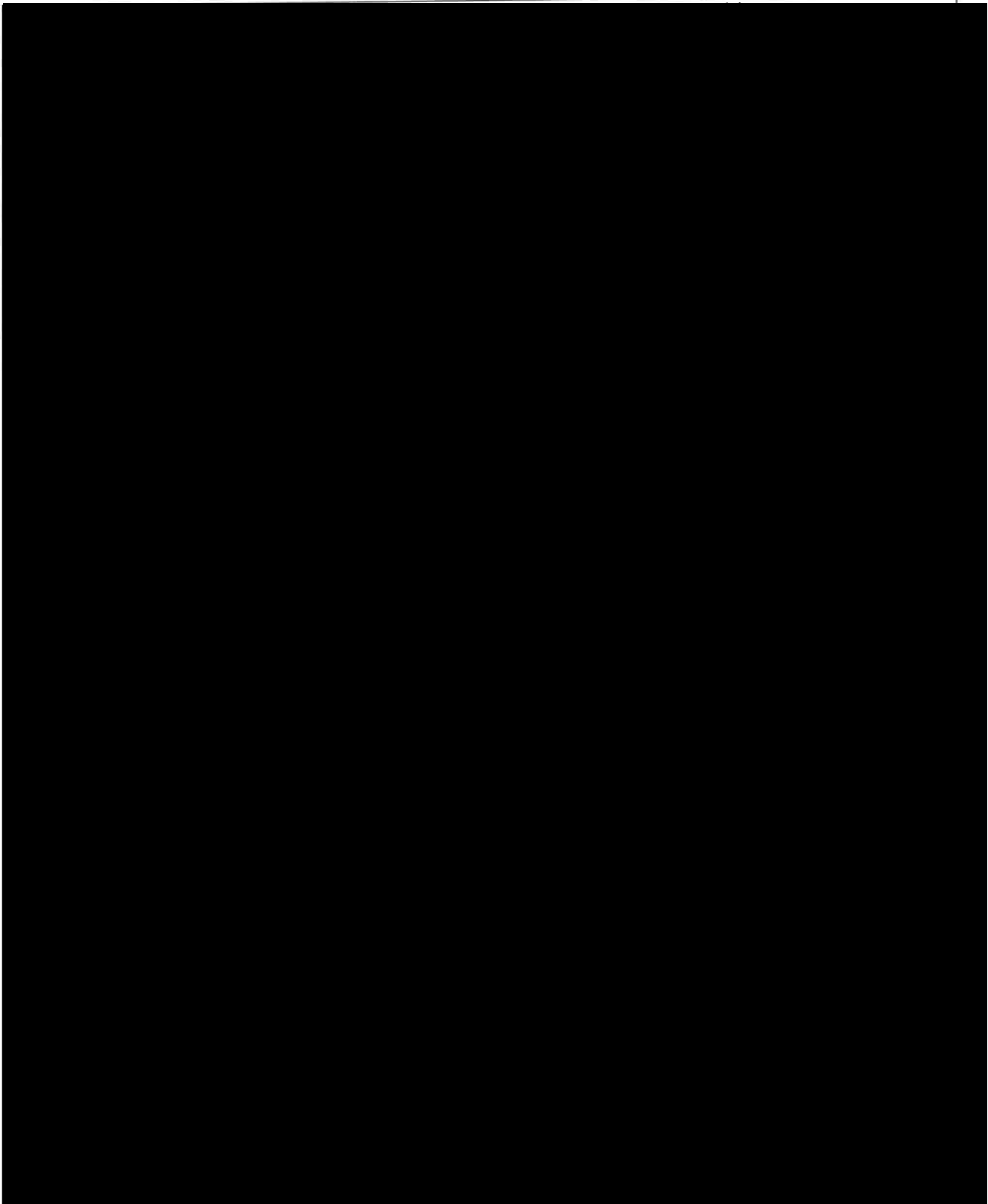
The Applicant will implement standard operating procedures which have been adopted, and modified accordingly, from the CEO's affiliated Washington DC medical cannabis businesses

which have been safely and compliantly processing medical cannabis concentrates and medical cannabis-infused products for several years. Such standard operating procedures establish clear protocol for the methods, equipment, solvents, and gases used when processing medical cannabis concentrates and medical cannabis-infused products. All Processor Agents will be thoroughly trained on standard operating procedures upon hire and during mandatory refresher training. Failure to strictly follow protocol related to the methods, equipment, solvents, and gases used in processing will be grounds for suspension or termination.

According to protocol, the Processor Supervisor will oversee all training and actual handling of the facility's methods, equipment, solvents, and gases used for processing.



grade products processed



83. Please describe how, if the Applicant uses a solvent-based extraction method, the solvents will be at least 99 percent pure. *

[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]

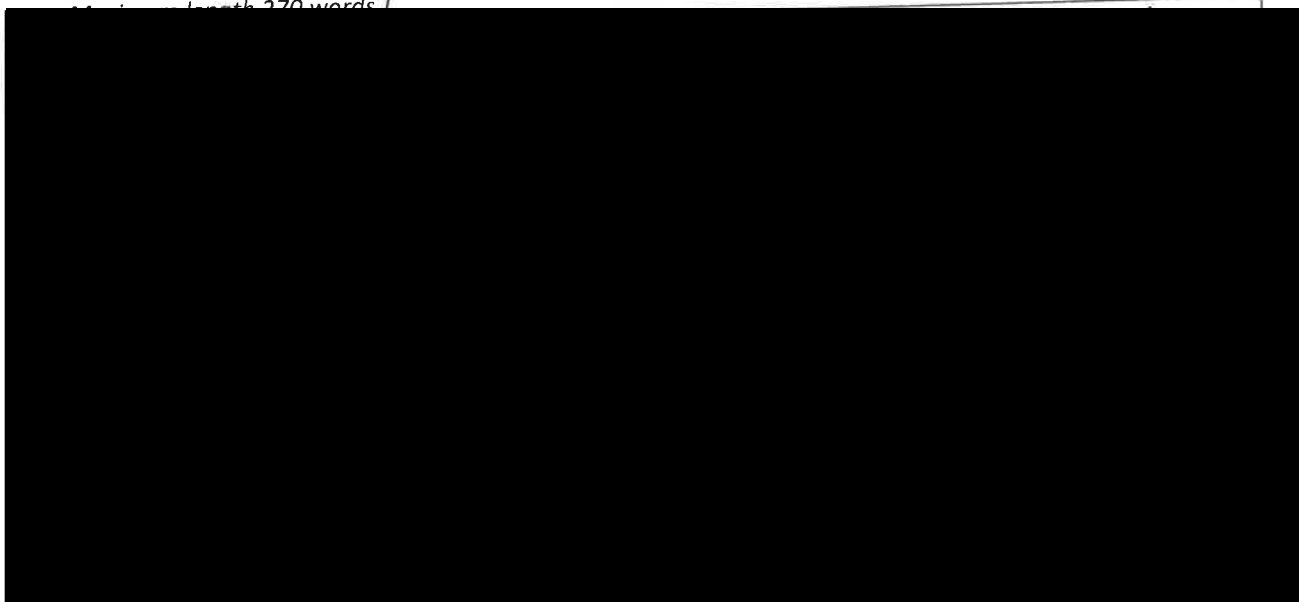
Although supercritical fluid CO2 extraction is the Applicant's preferred method due to its historical safety record, high extraction rates, and relatively low risk factor, the facility will be prepared to handle a number of effective alternative extraction methodologies as well to keep up with market demand. Methods may include (1) solvent-based extraction, (2) heat-based extraction, (3) screen extraction (ie, agitation extraction), (4) press extraction, (5), steam distillation extraction, (6) ice/ice water-based extraction, and (7) other methods to produce medical cannabis concentrates.

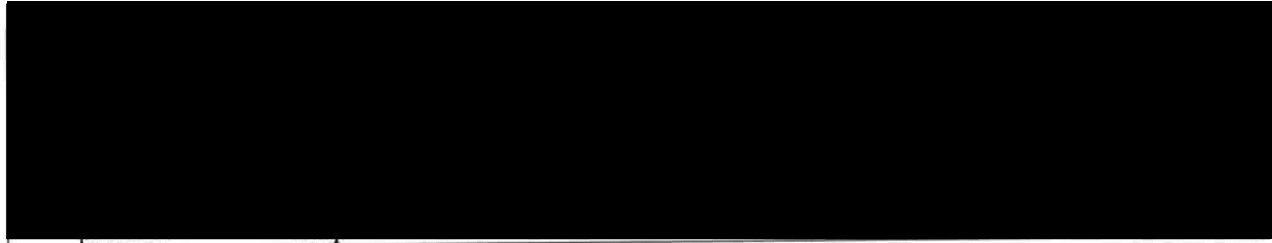
Solvent-based extraction methods are generally more labor intensive and have lower extraction rates than CO2 based extraction methods, however, such alternative methods produce certain medical cannabis concentrate types which can in turned be effectively used in the infusion process to create an assortment of products which Maryland qualifying patients may prefer, such as tinctures and oromucosal sprays. Like all other methods, Processor agents will be required to maintain a clean-room, sterile environment to mitigate contamination and operate the facility in a manner emulating a professional medical research laboratory or a pharmaceutical manufacturing plant.

In accordance with the Applicant's standard operating procedures, when using solvents in a solvent-based extraction method, such as alcohol or glycerin, such solvents must be at least 99% pure with a strong preference for those at least 99.5% pure, if available. The Processor Supervisor will be responsible for compliance with this requirement.

84. If the Applicant uses solvent extraction, please describe how the standard operating procedure of an Applicant will require the use of solvents in a professional grade, closed-loop extraction system designed to recover the solvents. *

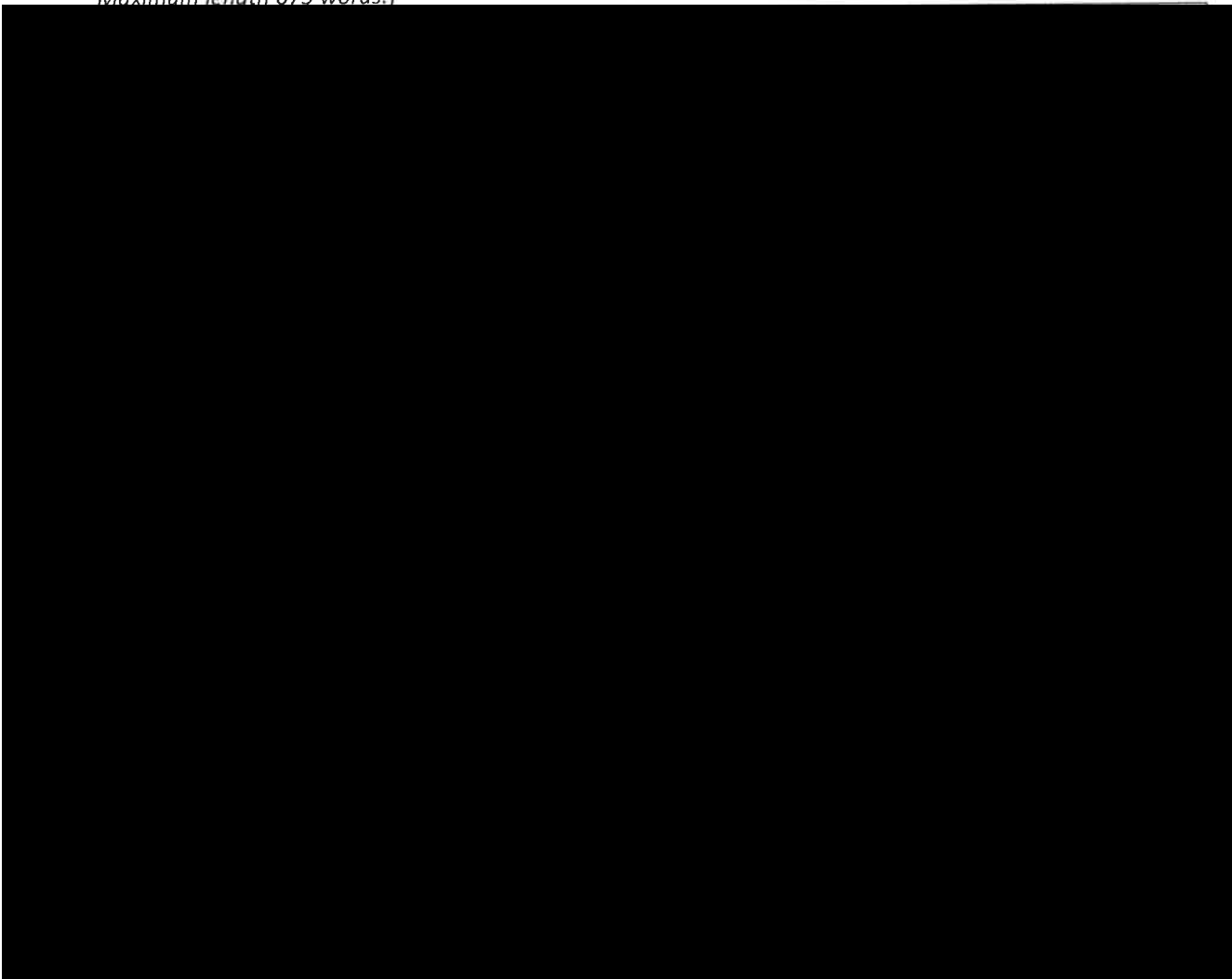
[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]





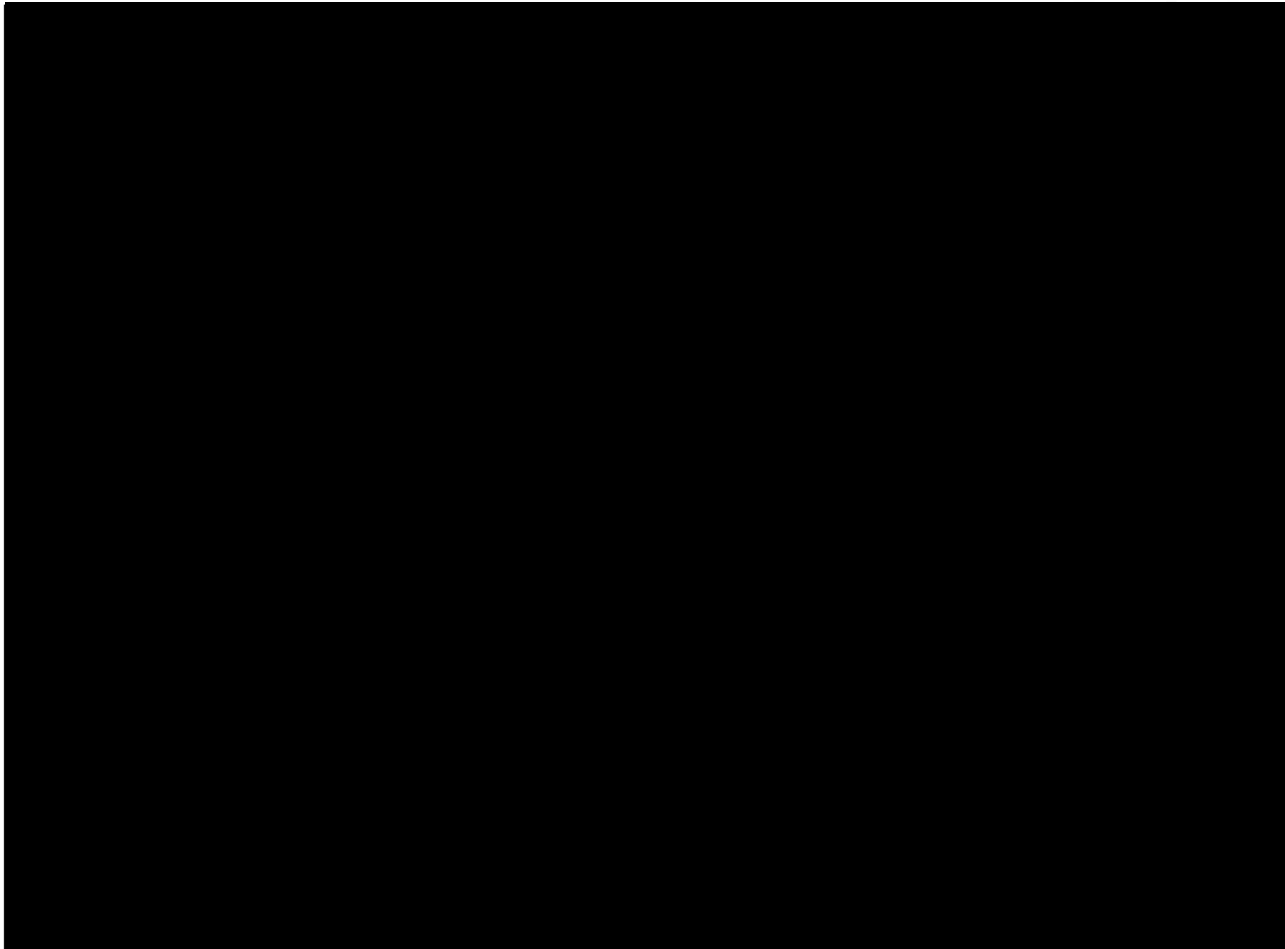
85. Please describe how, if the Applicant uses solvent extraction, the standard operating procedure of an Applicant will require work in a spark-free environment with proper ventilation. *

[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 5% of the Operational subsection. Maximum length 675 words.]



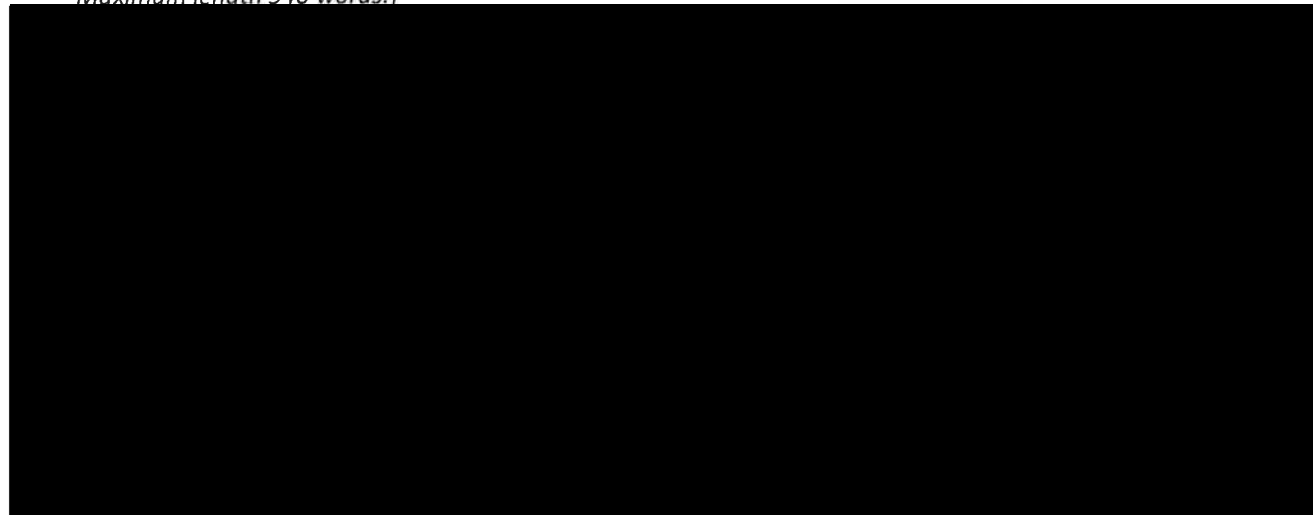
86. Please describe how, if the Applicant uses solvent extraction, the standard operating procedure of an Applicant will require following all applicable OSHA regulations, and local fire, safety, and building codes in the processing and storages of the solvents. *

[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]



87. Please describe how, if the Applicant uses carbon dioxide gas extraction, the standard operating procedure will require every vessel be rated to a minimum of 900 pounds per square inch. If using propane, the vessel should be rated to a minimum of 600 pounds per square inch. If using butane, the vessel should be rated to a minimum of 200 pounds per square inch. *

[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 4% of the Operational subsection. Maximum length 540 words.]





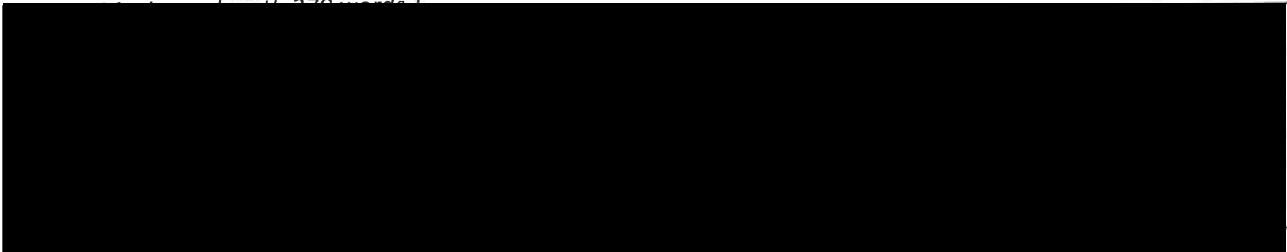
88. Please describe how, if the Applicant uses carbon dioxide gas extraction, the standard operating procedure will follow all applicable OSHA regulations, and local fire, safety, and building codes. *

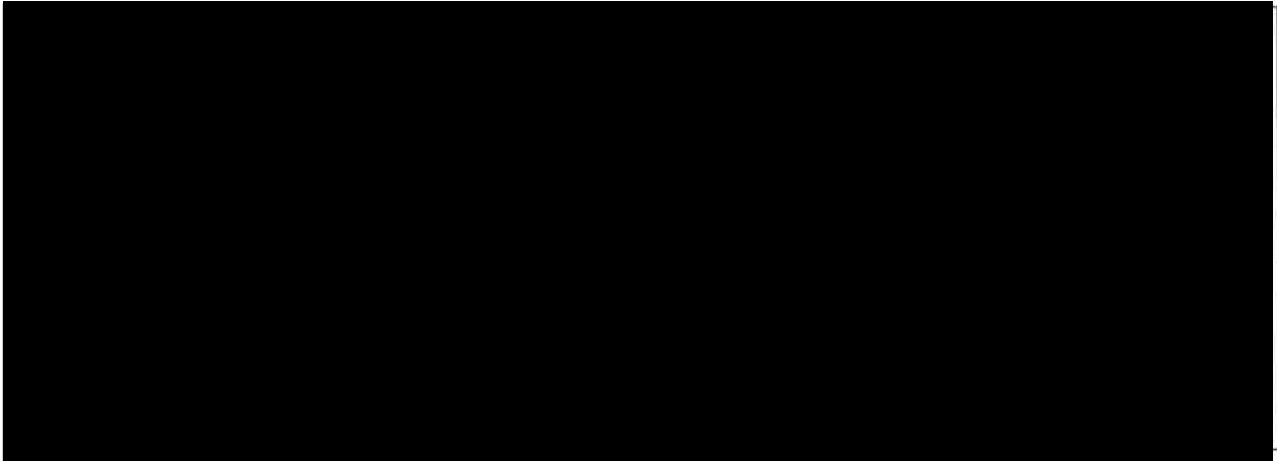
[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]



89. Please describe how, if the Applicant uses carbon dioxide gas extraction, the standard operating procedure will use carbon dioxide that is at least 99 percent pure. *

[Reference 10.62.23.02 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]





10.62.23.03

90. Please describe how, upon successful completion of a validation process, the Applicant will use an independent testing laboratory that has adopted a standard operating procedure to test medical cannabis and medical cannabis concentrate that is approved by an accreditation body that is a signatory to the International Laboratory Accreditation Cooperation (ILAC) Mutual Recognition Arrangement. *

[Reference 10.62.23.03 of the regulations. Graded Yes or No. Weighted 5% of the Production Control subsection. Maximum length 340 words.]

Upon notice of the award of a Processor License, the Applicant will designate a company representative to establish a business relationship with a properly certified independent testing laboratories located in the State of Maryland to conduct cannabinoid analysis testing, contaminant level testing, specification confirmation testing, and stability testing. The Applicant will only contract with a reputable independent testing laboratory that has adopted a standard operating procedure to test medical cannabis and medical cannabis concentrate that is approved by an accreditation body that is a signatory to the International Laboratory Accreditation Cooperation (ILAC) Mutual Recognition Arrangement.

Once a services agreement has been executed, the Applicant will discuss the logistics of the relationship, including required representative sampling size needed for testing, shipping protocol, maintaining the chain of custody, and the format and production of any issued Certificate of Analysis.

After an agreement has been signed and logistics have been determined, upon the successful completion of each validation process for any new medical cannabis concentrate, medical cannabis-infused product, or process, the Applicant will use the independent testing laboratory to analyze samples according to the most current version of the cannabis inflorescence monograph published by the American Herbal Pharmacopeia (AHP) or other scientifically valid methodology that is equal to or superior to that of the AHP monograph. Testing will include confirmation that the lot is within specifications for the lot (including THC, THCA, CBD, CBDA, CBG, CBN, and terpenes), and confirmation that residual solvents, foreign materials, mold, impurities, and other contaminants do not exceed levels as required by the AHP monograph. Laboratories will also conduct stability testing to facilitate the determination of an appropriate expiration date, and other testing as requested by the Applicant.

Note, all independent laboratory testing will be in addition to, not in lieu of, the Applicant's own internal testing of products during the extraction and infusion processes.

91. Please describe how, upon successful completion of a validation process, the Applicant will use an independent testing laboratory to have an agent of the independent testing laboratory obtain samples according to a statistically valid sampling method for each lot.

*

[Reference 10.62.23.03 of the regulations. Graded Yes or No. Weighted 2.5% of the Production Control subsection. Maximum length 170 words.]

Upon notice of the award of a Processor License, the Applicant establish a business relationship with a properly certified independent testing laboratories located in the State of Maryland to conduct cannabinoid analysis testing, contaminant level testing, specification confirmation testing, and stability testing. The Applicant will only contract with a reputable independent testing laboratory that has adopted a standard operating procedure to test medical cannabis and medical cannabis concentrate that is approved by an accreditation body that is a signatory to the ILAC Mutual Recognition Arrangement.

Once a services agreement has been executed, the Applicant will discuss the logistics of the relationship, including obtaining samples of each lot according to a statistically valid sampling method. Upon successful completion of a validation process, the laboratory will inform the Applicant of the required weight/amount required from each lot to conduct its analysis, and the Applicant will comply. To ensure the integrity of the Certificate of Analysis, an agent of the independent testing laboratory must select the random sampling to be tested.

92. Please describe how, upon successful completion of a validation process, the Applicant will use an independent testing laboratory to analyze the samples according to (1) the most current version of the cannabis inflorescence monograph published by the American Herbal Pharmacopeia (AHP) or (2) a scientifically valid methodology that is equal or superior to that of the AHP monograph. *

(1) [Reference 10.62.23.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

(2) [Reference 10.62.23.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

1) Pursuant to any services agreement with an independent testing laboratory, upon successful completion of a validation process, the Applicant will require that the laboratory analyze samples of lots according to the most current version of the cannabis inflorescence monograph published by the American Herbal Pharmacopeia (AHP). The laboratory must confirm the methodology used to test each batch on each issued Certificate of Analysis.

2) Pursuant to any services agreement with an independent testing laboratory, upon successful completion of a validation process, the Applicant will require that the laboratory analyze samples of lots according to a scientifically valid methodology that is equal to or superior to that of the AHP monograph (if the AHP monograph isn't used). The laboratory must confirm the methodology used to test each batch on each issued Certificate of Analysis.

93. Please describe how, upon successful completion of a validation process, the Applicant will use an independent testing laboratory that, in the event of a test result which falls out of specification, will follow their standard operating procedure to confirm or refute the original result. *

[Reference 10.62.23.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

Upon successful completion of a validation process, the Applicant will require that the laboratory follows its own standard operating procedures to confirm or refute an original result in the event a test result falls out of specification. The laboratory must confirm its standard operating procedures were followed in each instance of re-testing by virtue of an attestation clearly displayed on each Certificate of Analysis provided.

94. Please describe how, upon successful completion of a validation process, the Applicant will use an independent testing laboratory to destroy the remains of the sample of medical cannabis after analysis is completed. *

[Reference 10.62.23.03 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

Upon successful completion of a validation process, the independent testing laboratory must destroy the remains of the sample of medical cannabis after analysis is completed by one of two methods: (1) arrange for the Applicant's Transportation Agent to pick up the sample remains and return the same to the facility for destruction and disposal according to company protocol, or (2) destroy the sample itself according to a Commission approved plan.

10.62.23.04

95. Please describe how the Applicant will assure that an independent testing laboratory will issue a certificate of analysis for each lot, with supporting data, to report whether or not the lot conforms to the specifications for the lot of the following compounds: Δ9-Tetrahydrocannabinol (THC), Tetrahydrocannabinolic Acid (THCA), Cannabidiol (CBD), Cannabidiolic Acid (CBDA), the terpenes described in the most recent version of the cannabis Inflorescence monograph published by the American Herbal Pharmacopeia (AHP), Cannabigerol (CBG), and Cannabinol (CBN). *

[Reference 10.62.23.04 of the regulations. Graded Yes or No. Weighted 10% of the Production Control subsection. Maximum length 675 words.]

Pursuant to any services agreement with an independent testing laboratory, the laboratory must issue a Certificate of Analysis for each lot, with supporting data, by sending an electronic copy of the documents to the provided email addresses of the Applicant's Processor Supervisor and Quality Control Manager. The laboratory must also mail a hard copy of the Certificate of Analysis, with supporting data, to the Applicant, addressed to the Processor Supervisor. According to contractual terms and applicable Maryland regulations, the issued Certificate of Analysis must report whether or not the lot is within the lot specifications for the following compounds:

- 1) Δ9-Tetrahydrocannabinol (THC)
- 2) Tetrahydrocannabinolic Acid (THCA)
- 3) Cannabidiol (CBD)
- 4) Cannabidiolic Acid (CBDA)

- 5) Cannabigerol (CBG)
- 6) Cannabinol (CBN)
- 7) Terpenes described in the most recent version of the cannabis inflorescence monograph published by the American Herbal Pharmacopeia (AHP)

Failure to include the above required information will be deemed a breach of contract. Upon notice of any such breach, the Applicant must immediately cease all dealings with the offending laboratory.

Upon receiving any Certificate of Analysis, with supporting data, the Applicant's Quality Control Manager will carefully review and analyze the information provided to determine if the lot qualifies to be released in accordance with standard operating procedures. In particular, the Quality Control Manager will (1) assess the reported chemical profile and compare it against historical records for the lot to determine conformance with known standards, (2) analyze the reported contaminants exceeding AHP monograph levels, if any, and determine if the lot meets Applicant quality control standards (even if contaminants do not exceed such levels), and (3) confirm the laboratory's determination of whether or not the lot is within specifications.

If the test results indicate the lot falls out of specification, the lot must be quarantined, destroyed and disposed according to approved disposal methods. Otherwise, if test results indicate that the lot meets the specifications for the lot and also meets the Applicant's quality control standards, then the Quality Control Manager will assign an expiration date to the lot based upon the results of the stability testing conducted by the independent laboratory, release the lot for distribution (after proper labels are affixed to compliant packaging), and update the status of the lot in the inventory control system.

Failure to quarantine and dispose of lots which do not meet lot specifications or the Applicant's quality control standards will be grounds for suspension or termination.

96. Please describe how the Applicant will assure that an independent testing laboratory will issue a certificate of analysis for each lot, with supporting data, to report that the presence of the following contaminants do not exceed levels as required by the AHP monograph: any residual solvent or processing chemicals; foreign material such as hair, insects, or any similar or related adulterant; any microbiological impurity, including total aerobic microbial count (TAMC), total yeast mold count (TYMC), *P. aeruginosa*, *Aspergillus spp.*, *S. aureus*, *Aflatoxin B1*, *B2*, *G1*, and *G2*, and *Ochratoxin A*.; and whether the batch is within specification for odor and appearance. Please also describe how residual levels of volatile organic compounds (VOCs) will be below the specifications as set by the United States Pharmacopeia (USP Chapter 467). *

[Reference 10.62.23.04 of the regulations. Graded Yes or No. Weighted 10% of the Production Control subsection. Maximum length 675 words.]

Pursuant to any services agreement with an independent testing laboratory, the laboratory must issue a Certificate of Analysis for each lot, with supporting data, by sending an electronic copy of the documents to the provided email addresses of the Applicant's Processor Supervisor and Quality Control Manager. The laboratory must also mail a hard copy of the Certificate of

Analysis, with supporting data, to the Applicant, addressed to the Processor Supervisor. According to contractual terms and applicable Maryland regulations, the issued Certificate of Analysis must report any presence, if any, of the following contaminants:

- 1) Any residual solvent or processing chemicals
- 2) Foreign matter such as hair, insects, or any similar or related adulterant
- 3) Any microbiological impurity, including total aerobic microbial count (TAMC)
- 4) Total yeast mold count (TYMC)
- 5) *P. aeruginosa*
- 6) *Aspergillus sp.*
- 7) *S. aureus*
- 8) *Aflatoxin B1, B2, G1, and G2,*
- 9) *Ochratoxin A.*

The report must state: (1) whether or not the presence of any of the above contaminants exceeds levels as required by the AHP monograph, (2) whether or not the lot is within specification for odor and appearance, and (3) the presence of any residual levels of volatile organic compounds (VOCs) and whether or not they are below the specifications as set by the United States Pharmacopeia (USP Chapter 467).

Failure to include the above required information will be deemed a breach of contract. Upon notice of any such breach, the Applicant must immediately cease all dealings with the offending laboratory.

Upon receiving any Certificate of Analysis, with supporting data, the Applicant's Quality Control Manager will carefully review and analyze the information provided to determine if the lot qualifies to be released in accordance with standard operating procedures. In particular, the Quality Control Manager will (1) assess the reported chemical profile and compare it against historical records for the lot to determine conformance with known standards, (2) analyze the reported contaminants exceeding AHP monograph levels, if any, and determine if the lot meets Applicant quality control standards (even if contaminants do not exceed such levels), and (3) confirm the laboratory's determination of whether or not the lot is within specifications.

If the test results indicate the lot falls out of specification (particularly due to the presence of any prohibited contaminants in exceeding levels as required by the AHP monograph or the presence of and VOCs exceeding the specifications as set by USP Chapter 467), the lot must be quarantined, destroyed and disposed according to approved disposal methods. Otherwise, if test results indicate that the lot meets the specifications for the lot and also meets the Applicant's quality control standards, then the Quality Control Manager will assign an expiration date to the lot based upon the results of the stability testing conducted by the independent laboratory, release the lot for distribution (after proper labels are affixed to compliant packaging), and update the status of the lot in the inventory control system.

Failure to quarantine and dispose of lots which do not meet lot specifications or the Applicant's quality control standards will be grounds for suspension or termination.

10.62.23.05

97. Please describe how, if an Applicant/Licensee, upon review of the certificate of analysis, determines that a lot meets the specification for the product, the Applicant/Licensee could assign an expiration date to the lot. *

[Reference 10.62.23.05 of the regulations. Graded 0 to 5 scoring. Weighted 2% of the Operational subsection. Maximum length 270 words.]

Upon receiving any Certificate of Analysis, with supporting data, the Applicant will carefully review and analyze the information provided to determine if the lot qualifies to be released in accordance with standard operating procedures. In particular, the Applicant will (1) assess the reported chemical profile and compare it against historical records for the lot to determine conformance with known standards, (2) analyze the reported contaminants exceeding AHP monograph levels, if any, and determine if the lot meets Applicant quality control standards (even if contaminants do not exceed such levels), and (3) confirm the laboratory's determination of whether or not the lot is within specification. If results indicate the lot falls out of specification, the lot must be quarantined, destroyed and disposed according to approved disposal methods. Otherwise, if the lot meets specifications and meets the Applicant's quality control standards, then it will be assigned an expiration date based upon the stability testing results, released for distribution, and updated in the inventory control system.

Note, if stability test results are not available at the time the lot is released, the Applicant will assign a conservative conditional expiration date (likely 3 months or less) as a matter of precaution. Upon obtaining stability test results, the conditional expiration will be removed from relevant product labels and replaced with the expiration date based on stability results.

The Applicant intends to take a relatively conservative approach to assigning conditional and final expiration dates to a lot, particularly considering the lot distributed is intended for medicinal uses by patients with serious health concerns. The Applicant will never intentionally put a patient's health at risk under any circumstance.

98. Please describe how, if an Applicant/Licensee, upon review of the certificate of analysis, determines that a lot meets the specification for the product, the Applicant/Licensee could release the lot for distribution. *

[Reference 10.62.23.05 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]

Upon receiving any Certificate of Analysis, the Applicant's Quality Control Manager will carefully review the independent laboratory's test results to determine if the lot qualifies to be released for distribution. Accordingly, the Quality Control Manager will (1) assess the reported chemical profile and compare it against historical records for the lot to determine conformance with known standards, (2) analyze the reported contaminants exceeding AHP monograph levels, if any, and determine if the lot meets Applicant quality control standards (even if contaminants do not exceed such levels), and (3) confirm the laboratory's determination of whether or not the lot is within specification. If results indicate the lot falls out of specification, the lot must be quarantined, destroyed and disposed according to approved disposal methods.

Otherwise, if the lot meets the specification for the product and also meets the Applicant's stringent quality control standards, then the lot will be assigned an expiration date based upon the stability testing results and released for distribution to Licensed Dispensaries. At each step in the process, the lot's records will be updated in the Applicant's inventory control system.

99. Please describe how, if an Applicant/Licensee, upon review of the certificate of analysis, determines that a lot meets the specification for the product, the Applicant/Licensee could revise the status of the lot in the inventory control. *

[Reference 10.62.23.05 of the regulations. Graded Yes or No. Weighted 2% of the Operational subsection. Maximum length 270 words.]

Upon receiving any Certificate of Analysis, the Applicant's Quality Control Manager will carefully review the independent laboratory's test results to determine if the lot qualifies to be released for distribution. Accordingly, the Quality Control Manager will (1) assess the reported chemical profile and compare it against historical records for the lot to determine conformance with known standards, (2) analyze the reported contaminants exceeding AHP monograph levels, if any, and determine if the lot meets Applicant quality control standards (even if contaminants do not exceed such levels), and (3) confirm the laboratory's determination of whether or not the lot is within specification. If results indicate the lot falls out of specification, the lot must be quarantined, destroyed and disposed according to approved disposal methods.

Otherwise, if the lot meets the specification for the product and also meets the Applicant's stringent quality control standards, then the lot will be assigned an expiration date based upon the stability testing results and released for distribution to Licensed Dispensaries.

At each step in the process, including upon the determination that the lot meets the specifications for the product, the lot's status will be updated in the records created and stored in the Applicant's electronic perpetual inventory control system called Plant Manager. The Processor Supervisor is responsible to ensure all lot status revisions or updates in Plant Manager are entered correctly.

100. Please describe how, if an Applicant/Licensee receives test results that the lot does not meet specifications, the Applicant/Licensee could rework or reprocess the lot according to their standard operating procedure. *

[Reference 10.62.23.05 of the regulations. Graded 0 to 5 scoring. Weighted 2% of the Operational subsection. Maximum length 270 words.]

The Applicant intends to instill rigid quality control and quality assurance standards akin to a medical science laboratory or pharmaceutical manufacturing plant. The medical cannabis concentrates and medical cannabis-infused products produced must be of impeccable quality and integrity to ensure only the safest, purest, contaminant-free medical cannabis finished products are ultimately distributed to qualifying patients. Accordingly, the Applicant will have a strict policy to destroy and dispose of any lot which does not meet product specifications according to independent laboratory testing results (as indicated on the Certificate of Analysis) and/or which does not meet the Applicant's quality control and quality assurance standards.

Accordingly, Applicant will adopt a policy prohibiting reworking or reprocessing any lots

failing to meet specifications or Applicant quality control or quality assurance standards (as determined by the Applicant's Quality Control Manager). As such, the Applicant will eliminate any risk of potentially distributing unsafe or ineffective products which could ultimately harm the intended patient user. The Applicant's reputation as a patient-focused healthcare care company operating within a strict medical environment is taken very seriously.

101. Please describe how the reworked or reprocessed lot will be resampled and retested by the independent testing laboratory to meet all required specifications. *

[Reference 10.62.23.05 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Applicant will adopt a policy prohibiting reworking or reprocessing any lots failing to meet specifications or Applicant quality control or quality assurance standards. As such, the Applicant will eliminate any risk of potentially distributing unsafe or ineffective products which could ultimately harm the intended patient user. The Applicant's reputation as a patient-focused healthcare care company operating within a strict medical environment is taken very seriously.

102. Please describe how the Applicant will retain every certificate of analysis. *

[Reference 10.62.23.05 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

The laboratory must send an electronic copy of each Certificate of Analysis, with supporting data, to the provided email addresses of the Applicant. The laboratory must also mail a hard copy of the documents. Electronic copies will be perpetually saved in the electronic recordkeeping system (for historical reporting purposes), and also on password protected hard drives for 5+ years. Hard copies will also be saved for 5+ years by management.

10.62.23.06

103. Please describe how the Applicant will provide a sample from each released lot to an independent testing laboratory sufficient to perform stability testing at 6-month intervals to (1) ensure product potency and purity and (2) provide support for expiration dating. *

(1) [Reference 10.62.23.06 of the regulations. Graded Yes or No. Weighted 2.5% of the Production Control subsection. Maximum length 170 words.]

(2) [Reference 10.62.23.06 of the regulations. Graded Yes or No. Weighted 2.5% of the Production Control subsection. Maximum length 170 words.]

1) To ensure consistent product potency and purity over time, the Applicant will request the independent testing laboratory to identify the amount/quantity of each lot of medical cannabis concentrates and medical cannabis-infused products necessary to conduct reliable stability testing at 6-month intervals for each released lot. To ensure the integrity of the testing, an agent of the laboratory must select the random sampling to be tested. The Applicant will then provide the requisite sample to the laboratory and will await results.

The Applicant will store a sufficient amount/quantity of lot samples in a segregated TL-30 safe located within the environmentally-controlled secure vault room (set at ideal conditions for long term storage). The designated safe and its contents must be clearly marked as "lot samples." Each sample's packaging must clearly display the date of packaging and initial date of storage to ensure the integrity of the stability tests over time. The Applicant will be

prohibited from distributing any lot samples saved for stability testing purposes.

2) To provide support for expiration dating, the Applicant will request the independent testing laboratory to identify the amount/quantity of each lot of medical cannabis concentrates and medical cannabis-infused products necessary to conduct reliable stability testing at 6-month intervals for each released lot. To ensure the integrity of the testing, an agent of the laboratory must select the random sampling to be tested. The Applicant will then provide the requisite sample to the laboratory and will await results.

The Applicant will store a sufficient amount/quantity of lot samples in a segregated TL-30 safe located within the environmentally-controlled secure vault room (set at ideal conditions for long term storage). The designated safe and its contents must be clearly marked as lot samples. Each sample's packaging must clearly display the date of packaging and initial date of storage to ensure the integrity of the stability tests over time. The Applicant will be prohibited from distributing any lot samples saved for stability testing purposes.

104. Please describe how the Applicant will retain a sample from each released lot (1) sufficient to provide for follow-up testing if necessary, and will (2) properly store the sample for 1 year past the date of expiration of the lot. *

(1) [Reference 10.62.23.06 of the regulations. Graded Yes or No. Weighted 1% of the Production Control subsection. Maximum length 70 words.]

(2) [Reference 10.62.23.06 of the regulations. Graded Yes or No. Weighted 0.5% of the Production Control subsection. Maximum length 70 words.]

1) The Applicant will retain samples from each released lot sufficient to provide for follow-up testing, if necessary. Lot samples will be stored in proper packaging within a specially designated TL-30 safe (marked "Sample Storage") located in the locked, alarmed, and continuously monitored environmentally-controlled secure room (set to ideal temperature, humidity, and lighting conditions for long term storage). Stored lot sample amounts/quantities must be adequate for multiple tests over time.

2) The Applicant will retain samples from each released lot sufficient to provide for follow-up testing, if necessary. Lot samples will be stored in proper packaging within a designated TL-30 safe located in the locked, alarmed, and continuously monitored environmentally-controlled secure room (set to ideal temperature, humidity, and lighting conditions for long term storage). Lot samples must be stored for 1.5+ years past the date of expiration of the lot.

10.62.23.07

105. Please describe how the Applicant will submit to the Commission within 30 days following the end of a quarter a list of the products and the products' specifications that the Applicant offered for distribution in the quarter. *

[Reference 10.62.23.07 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

The Applicant will submit to the Commission within 30 days following the end of a quarter a list of the products (and their specifications) that the Applicant offered for distribution in the previous quarter. The Processor Supervisor will be responsible to assure compliance with quarterly reporting requirements. To facilitate accurate reports, Processor Agents will be

trained to utilize the inventory control system to track and document all distributed products.

10.62.24.01

106. Please describe how all items will be individually processed at the original point of processing. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all items processed by the Applicant must be individually packaged at the original point of processing. The Applicant's clean and sterile processing facility will be compartmentalized based on room function. One such room, adjacent to the extraction and infusion areas, will be the packaging and labeling room. All items will be compliantly packaged in this access-controlled area. Employees violating this policy are subject to termination.

107. Please describe how a package of medical cannabis finished product will be plain. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must be plain. The Processor Supervisor will be responsible to ensure packaging compliance. Processor Agents will be trained upon hire to thoroughly inspect packaging prior to use and confirm it is plain, with basic color schemes and stock design. Non-compliant packages must be re-packaged at the facility before they may be approved to be released for distribution.

108. Please describe how a package of medical cannabis finished product will be opaque. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must be opaque. The Processor Supervisor will be responsible to ensure packaging compliance. Processor Agents will be trained to thoroughly inspect packaging and confirm it is opaque by confirming the contents of the package cannot be seen without first opening the package. Non-compliant packages must be re-packaged at the facility before being approved to be released for distribution.

109. Please describe how a package of medical cannabis finished product will be tamper-evident, and if applicable or appropriate, child-resistant. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must be tamper-evident and, if applicable or appropriate, child-resistant. Processor Agents will be trained to thoroughly inspect packaging and confirm it is tamper-evident and confirm whether or not the product requires child-resistant packaging. In such cases, the employee must confirm the packaging is sufficiently child-resistant (eg, uses a push-and-turn or similar style container). Non-compliant packages must be re-packaged.

110. Please describe how a package of medical cannabis finished product will bear a finished-product lot number and expiration date. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear a finished-product lot number and expiration date. Processor Agents will be trained upon hire to thoroughly inspect packaging and confirm the lot number and expiration date are clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged at the facility before being approved to be released for distribution.

111. Please describe how a package of medical cannabis finished product will bear a clear warning that (1) the contents may be lawfully consumed only by a qualifying patient named on an attached label; (2) it is illegal for any person to possess or consume the contents of the package other than the qualifying patient; and (3) it is illegal to transfer the package or contents to any person other than a transfer by a caregiver to a qualifying patient. *

(1) [Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

(2) [Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

(3) [Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

1) Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear a clear warning that the contents may be lawfully consumed only by a qualifying patient named on an attached label. Processor Agents will be trained to inspect packaging and confirm compliant warning labels are clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged before being released for distribution.

2) Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear a clear warning that it is illegal for any person to possess or consume the contents of the package other than the qualifying patient. Processor Agents will be trained to inspect packaging and confirm compliant warning labels are clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged.

3) Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear a clear warning that it is illegal to transfer the package or contents to any person other than a transfer by a caregiver to a qualifying patient. Processor Agents will be trained to inspect packaging and confirm compliant warning labels are clearly visible and located in a conspicuous place. Non-compliant packages must be re-packaged.

112. Please describe how a package of medical cannabis finished product will bear a clear warning to keep the package and its contents away from children other than a qualifying patient. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear a clear warning to keep the package and its contents away from children other than a qualifying patient. Processor Agents will be trained to carefully inspect packaging and confirm compliant warning labels are clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged before being released for distribution.

113. Please describe how a package of medical cannabis finished product will bear the Maryland Poison Control Center emergency telephone number. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear the Maryland Poison Control Center emergency telephone number. Processor Agents will be trained to carefully inspect packaging and confirm the emergency telephone number (ie, 1-800-222-1222) is clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged at the facility before being released for distribution.

114. Please describe how a package of medical cannabis finished product will bear the name of the Licensee that packaged the medical cannabis finished product and the telephone number of the Licensee for reporting an adverse patient event. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear the name and telephone number of the Licensee that packaged the finished product (ie, the Applicant) for reporting an adverse patient event. Processor Agents will be trained to carefully inspect packaging and confirm the Applicant's name and telephone number are clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged.

115. Please describe how a package of medical cannabis finished product will bear any allergen warning required by law. *

[Reference 10.62.24.01 of the regulations. Graded 0 to 5 scoring. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear any allergen warning required by law. The Processor Supervisor will ensure all staff is aware which finished products contain allergens. Processor Agents will be trained to inspect packaging and confirm compliant allergen warning labels are clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged before being released for distribution.

116. Please describe how a package of medical cannabis finished product will bear a listing of the non-medical cannabis ingredients. *

[Reference 10.62.24.01 of the regulations. Graded 0 to 5 scoring. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must bear a listing of the non-medical cannabis ingredients. The Processor Supervisor will ensure all staff is aware which finished products contain non-medical cannabis ingredients. Processor Agents will be trained to inspect packaging and confirm compliant ingredient listings are clearly visible and located in a conspicuous place on the packages. Non-compliant packages must be re-packaged before being released.

117. Please describe how a package of medical cannabis finished product will bear an itemization, including weight, of all cannabinoid and terpene ingredients specified for the product, and the concentrates of any cannabinoid of less than one percent will be printed with a leading zero before the decimal point. *

[Reference 10.62.24.01 of the regulations. Graded 0 to 5 scoring. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

All packages of medical cannabis finished product must bear a conspicuous itemization, including weight, of all cannabinoid and terpene ingredients specified for the product, and the concentrates of any cannabinoid of less than 1% will be printed within a leading zero before the decimal point. Processor Agents will inspect packaging and confirm compliant itemizations (with leading zeros, if necessary) are clearly visible and conspicuously located. Non-compliant packages must be re-packaged.

118. Please describe how a package of medical cannabis finished product will leave space for a licensed dispensary to attach a personalized label for the qualifying patient. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, all packages of medical cannabis finished product must leave space for a Licensed Dispensary to attach a personalized label for the qualifying patient. Processor Agents will be trained to inspect packaging and confirm sufficient space remains for a personalized patient label to be affixed by a dispensary. Non-compliant packages must be re-packaged at the facility before being released for distribution.

119. Please describe how the Applicant will assure that a package of medical cannabis finished product does not bear any resemblance to the trademarked, characteristic, or product-specialized packaging of any commercially available candy, snack, baked good, or beverage. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, packages of medical cannabis finished product MAY NOT bear any resemblance to the trademarked, characteristic, or product-specialized packaging of any commercially available candy, snack, baked good, or beverage. All product packaging must be pre-approved by the Processor Supervisor before use in the facility. Processor Agents will be trained to inspect packaging and confirm packaging does not violate the prohibition. Non-compliant packages must be re-packaged immediately.

120. Please describe how the Applicant will assure that a package of medical cannabis finished product does not bear any statement, artwork, or design that could be

reasonably mislead any person to believe that the package contains anything other than a medical cannabis finished product. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, packages of medical cannabis finished product MAY NOT bear any statement, artwork or design that could reasonably mislead any person to believe that the package contains anything other than a medical cannabis finished product. The Processor Supervisor must pre-approved all packaging before use in operations. Processor Agents will be trained to inspect packaging and confirm packaging doesn't violate the prohibition. Non-compliant packages must be re-packaged.

121. Please describe how the Applicant will assure that a package of medical cannabis finished product does not bear any seal, flag, crest, coat of arms, or other insignia that could reasonably mislead any person to believe that the product has been endorsed, manufactured, or used by any State, county, or municipality or any agency thereof. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to facility procedures, packages of medical cannabis finished product MAY NOT bear any seal, flag, crest, coat of arms, or other insignia that could reasonably mislead any person to believe that the product has been endorsed, manufactured, or used by any State, county, or municipality or any agency thereof. Processor Agents will be trained to inspect packaging and confirm packaging doesn't violate the prohibition. Non-compliant packages must be re-packaged.

122. Please describe how the Applicant will assure that a package of medical cannabis finished product does not bear any cartoon, color scheme, image, graphic, or feature that might make the package attractive to children. *

[Reference 10.62.24.01 of the regulations. Graded Yes or No. Weighted 0.5% of the Operational subsection. Maximum length 70 words.]

Pursuant to standard operating procedures, packages of medical cannabis finished product MAY NOT bear any cartoon, color scheme, image, graphic or feature that might make the package attractive to children. The Processor Supervisor must pre-approved all packaging before use in operations and ensure compliance with Maryland regulations. Processor Agents will be trained to inspect packaging and confirm packaging does not violate the prohibition. Non-compliant packages must be re-packaged immediately.

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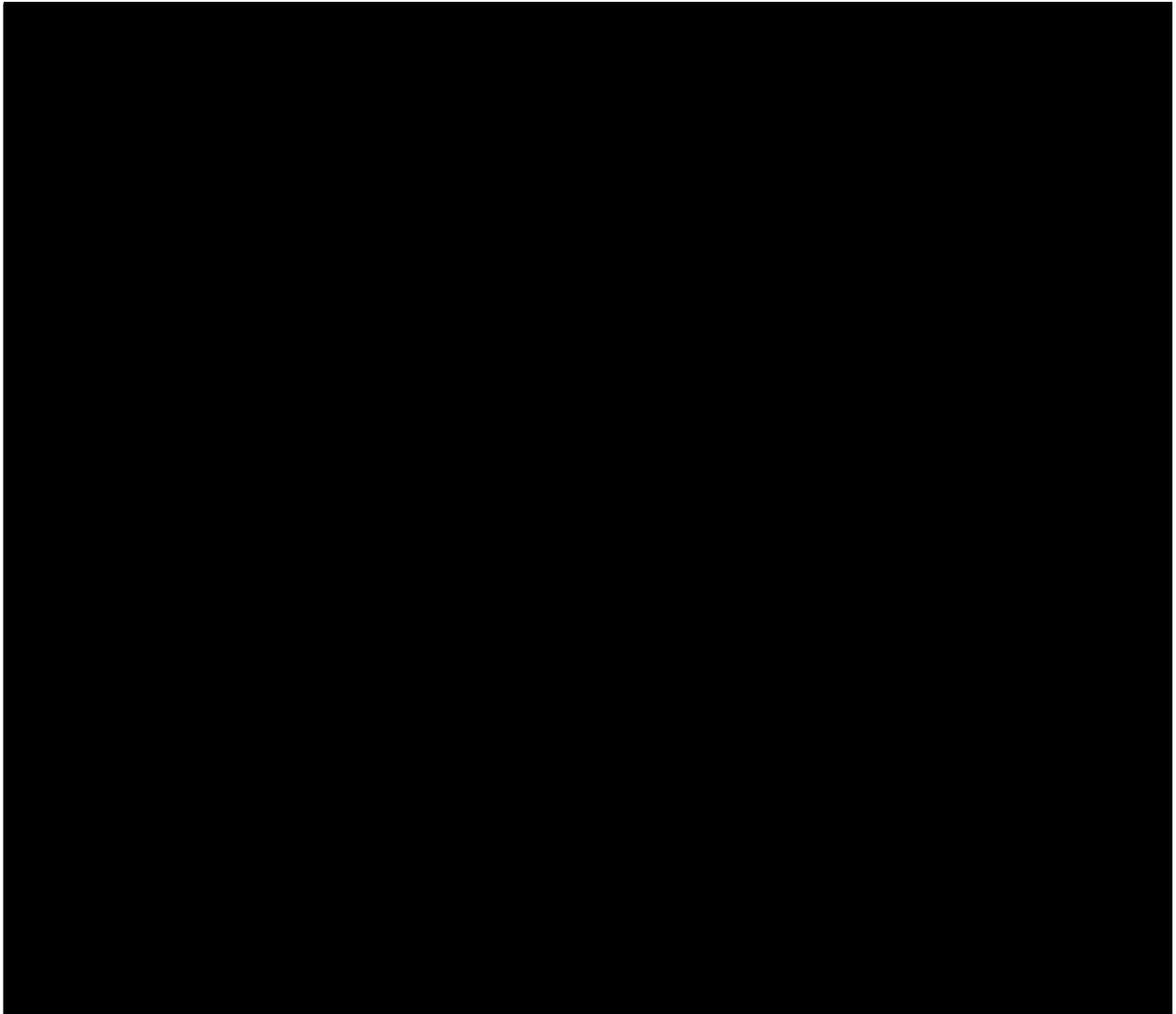
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 - b. Branch Banking and Trust Company Account Verification Letter
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4. Letter of Support from Maryland Senate President Thomas V. Mike Miller, Jr.
5. Letter of Support from Prince George's County Council Chairman Mel Franklin

CONFIDENTIAL: Financial Data
Processor Question 2b: Adequate Capitalization

RTJ Holistic LLC
7811 Montrose Road, Suite 200
Potomac, MD 20854

October 1, 2015



Sincerely,

RTJ Holistic LLC

By: 

Richard S. Cohen,
Authorized Signatory



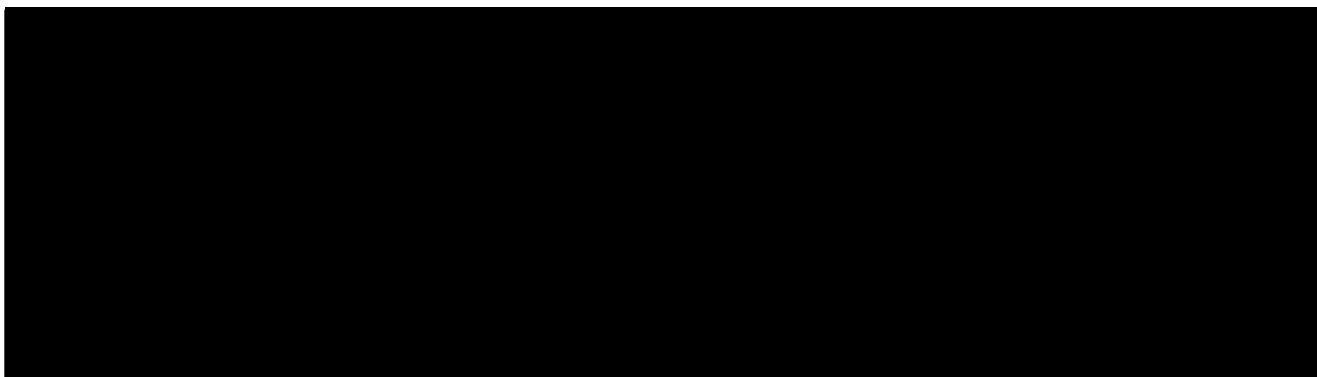
CONFIDENTIAL: Financial Data
Processor Question 2b: Adequate Capitalization

Branch Banking and Trust Company

Commercial Deposit Services
1909 K Street NW 2nd Floor
Washington, DC 20006

October 21, 2015

RTJ Holistic LLC
7811 Montrose Road, Suite 200
Potomac, MD 20854

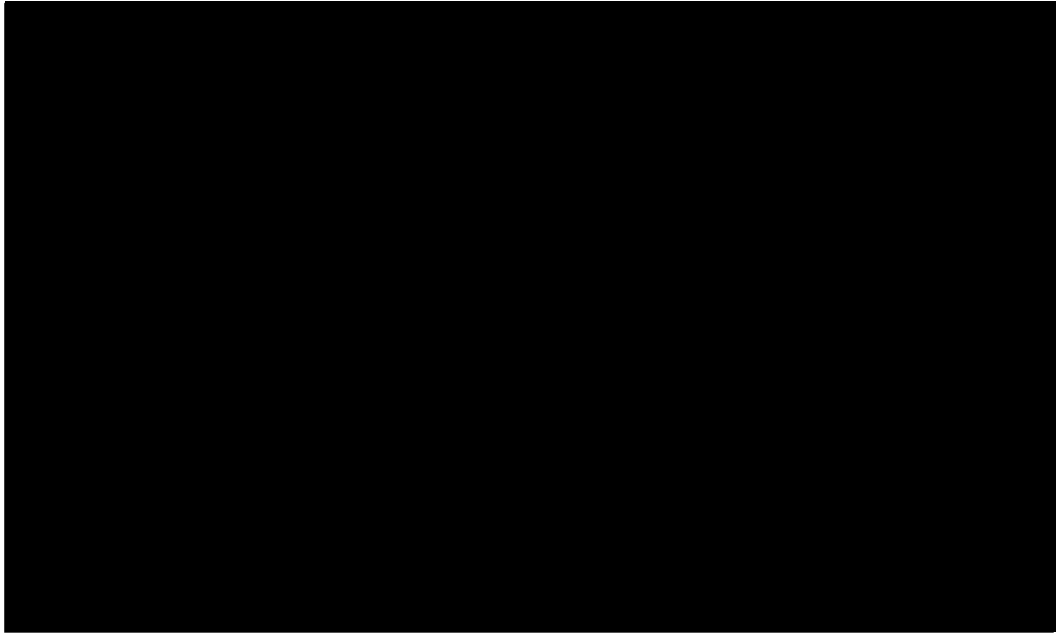


Sincerely,

Beverly L. Hargrove
Administrative Assistant



Processor Question 3a: Maryland Residency of Owner/Investor



STATE OF MARYLAND
Department of Assessments and Taxation

I, PAUL B. ANDERSON OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO LIMITED LIABILITY COMPANIES, OR THE RIGHTS OF LIMITED LIABILITY COMPANIES TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT HOLISTIC INDUSTRIES LLC, REGISTERED JULY 02, 2015, IS A LIMITED LIABILITY COMPANY EXISTING UNDER AND BY VIRTUE OF THE LAWS OF THE STATE OF MARYLAND, AND THAT THE LIMITED LIABILITY COMPANY IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING TO TRANSACT BUSINESS.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS SEPTEMBER 28, 2015.



Paul B. Anderson
Charter Division



301 West Preston Street, Baltimore, Maryland 21201
Telephone Balto. Metro (410) 767-1340 / Outside Balto. Metro (888) 246-5941
MRS (Maryland Relay Service) (800) 735-2258 TT/Voice
Fax (410) 333-7097

THOMAS V. MIKE MILLER, JR.
PRESIDENT OF THE SENATE
27th Legislative District



The Senate of Maryland
ANNAPOLIS, MARYLAND 21401-1991

October 27, 2015

Annapolis Address
H-107 State House
Annapolis, Maryland 21401-1991
410-841-3700 · 301-858-3700
800-492-7122 Ext. 3700

District Addresses
Prince George's County
P. O. Box 219
8808 Old Branch Avenue
Clinton, Maryland 20735
301-868-6931
Calvert County
P. O. Box 364
Dunkirk, Maryland 20754
410-257-4400

Ms. Hannah Byron, Executive Director
Natalie M. LaPrade Maryland Medical Cannabis Commission
Department of Health and Mental Hygiene
4201 Patterson Avenue
Baltimore, MD 21215

Dear Ms. Byron:

It has come to my attention that a group entitled Holistic Industries, LLC is seeking a license to grow, process and dispense medical cannabis here in Maryland. I have had the pleasure of knowing a number of the individuals in this organization for many years, and wholeheartedly believe that were they awarded a license by your esteemed Commission they would make an exemplary contribution to this burgeoning state industry.

Holistic Industries, LLC is led by a gentleman named Mr. Richard S. Cohen. Mr. Cohen is a life-long Maryland resident, a successful businessman and developer, and most importantly a family man and philanthropist. For over 40 years he and his businesses have created jobs and helped support Maryland's economy. I am confident that, if successful, Holistic Industries, LLC will continue to model the ideals Mr. Cohen has built his success upon and will provide a benchmark for others in this industry to follow. The additional members of the Board of Directors are key local business and community leaders each of whom are representative of the knowledge and skills necessary to run a medical cannabis operation here in Maryland. Members of this Board have extensive prior experience in the fields of public safety, public health, business, farming and cultivation, and medical marijuana. Based on the collective experience and expertise this group possesses, should they decide to place their operation in Prince George's County, I would welcome them to the County and to my home district, District 27.

Again, I thank you for your time and consideration of this worthy group of individuals. I understand your Commission has a very difficult task to undertake in the months to come and look forward to speaking with you further should you have any questions or concerns.

Sincerely,

A handwritten signature in dark ink, appearing to read 'Tom Miller', written over a large, stylized flourish that extends to the right.

Thomas V. Mike Miller, Jr.



PRINCE GEORGE'S COUNTY GOVERNMENT

(301) 952-3820

Mel Franklin
Council Chairman, District 9

October 28, 2015

Ms. Hannah Byron, Executive Director
Natalie M. LaPrade Maryland Medical Cannabis Commission
Department of Health and Mental Hygiene
4201 Patterson Avenue
Baltimore, MD 21215

Dear Ms. Byron:

My District is filled with good, hard-working people trying to create a better life for their families and neighbors. Recently, I have learned that a company called Holistic Industries, LLC. is vying to build and operate a medical marijuana business in the heart of my district. Not only does the prospect of new jobs and economic development bode well for Prince George's County, but the notion that they will be utilizing cutting-edge technology to produce life-changing medicines for the relief of pain and the ravages of epilepsy makes them a welcome addition to our county.

The individuals who will own and operate this new venture have long and impressive ties to the community. The group is led by Mr. Richard S. Cohen, a man who has built a lifetime of successful businesses while embarking on unparalleled philanthropic endeavors. He is truly one of Maryland's best. Several outstanding leaders in the healthcare field have also joined his effort. Dr. Don Wilson, former Dean of the University of Maryland Medical School, is a Harvard trained physician who has spent a lifetime advancing the study of medicine while fighting discrimination and prejudice in the field. Dean Wilson's rare training, experience and background make him uniquely qualified to guide this effort. Another key advisor in this group is former Maryland Secretary of Health Nelson Sabatini. For over 30 years Mr. Sabatini has served the public and worked diligently to advance progressive policy changes in the public health sector. Holistic Industries, LLC is also privileged to have Mr. Phil Miller of Miller Farms, a 276-acre farm that has been family-owned and operated in Prince George's County since 1840, as a key member of their group. Mr. Miller brings a lifetime of knowledge and expertise in the area of cultivation and growing to this worthy cause.

Thank you again for your time and consideration of Holistic Industries, LLC as they apply for licenses to grow, process and dispense medical cannabis here in Maryland. Were this group successful in their pursuit of licensure I am confident that they would make a positive addition to our great county. Please do not hesitate to contact my office should you have any further questions.

Best regards,

A handwritten signature in black ink that reads 'Mel Franklin'.

Mel Franklin
Chairman
Prince George's County Council, District 9

14741 Governor Oden Bowie Drive
County Administration Building – Upper Marlboro, Maryland 20772
CouncilDistrict9@co.pg.md.us Fax: 301-952-5275